

# $UV^k$ -MAPPINGS ON HOMOLOGY MANIFOLDS

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## 1. INTRODUCTION

A deformation theorem of Bestvina and Walsh [2] states that, below middle and adjacent dimensions, a  $(k + 1)$ -connected mapping of a compact topological manifold to compact polyhedron can be deformed to a  $UV^k$ -mapping; that is, a surjection whose fibers are in some sense  $k$ -connected. For example, if one has a map  $f$  from the  $n$ -sphere to the  $m$ -sphere, where  $n \leq m$ , one might expect a typical point inverse image to be a finite set (usually empty, if  $n < m$ ), but the truth, however, may be rather the opposite: if  $n > 4$ , then  $f$  is homotopic to a surjection with simply connected point inverses. This is predicted by the high connectivity of the homotopy fiber of the map. It is sometimes more useful to consider approximations by maps that behave like these “space-filling curves,” which are closer models of the underlying abstract homotopy theory, rather than adopt the usual strategy of approximating by smooth or piecewise linear maps. Controlled versions of this phenomenon were essential in the construction of non-resolvable homology manifolds in [5] and in the “desingularization” of higher dimensional homology manifolds in [7].

The goal of this paper is to establish results of this nature for maps from a homology manifold (with the disjoint disks property, or  $DDP$ , if its dimension is greater than 4) to a polyhedron. The methods we develop here, which are new, even in the case of topological manifolds, are an adaptation of a cell-trading argument that has proved useful in the classification theory for topological manifolds. In fact, they apply to *any*  $ENR$  having sufficient general position properties, and the essential propositions and lemmas will be presented in this setting. These methods allow us to take a map that, in Quinn’s terminology [22], is  $(\epsilon, k + 1)$ -connected, which we call a  $UV^k(\epsilon)$ -map, and “squeeze” it in a controlled fashion to be  $(\mu, k + 1)$ -connected, for arbitrarily small  $\mu$ . The desired  $UV^k$ -map is obtained by taking a limit. The controls on the homotopies have sufficient uniformity to show that a compact  $ENR$  with the disjoint  $(k + 1)$ -disks property ( $DDP^{k+1}$ ) has the linear  $UV^k$ -approximation property, introduced in [7]. As a consequence we see that a homology  $n$ -manifold,  $n \geq 5$ , with the  $DDP$  has the linear  $UV^{\lfloor \frac{n-3}{2} \rfloor}$ -approximation property. This is a considerable strengthening of the disjoint disks property and indicates yet another way in which the exotic homology manifolds constructed in [5] resemble topological manifolds. Our techniques are strong enough to yield a relative theorem, which asserts that the homotopies of a given map to a  $UV^k$ -map may be kept fixed on a sufficiently nicely embedded compact set. As a result we obtain a strong relative theorem for maps from a homology manifold with boundary.

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As a separate application we invoke a theorem of Krupski [16] to get the curious result that a 1-connected map from a compact, connected, homogeneous,  $n$ -dimensional  $ENR$ ,  $n \geq 3$ , to a connected  $ANR$  is homotopic to a monotone map, that is, a surjection with connected point-inverses.

Here is our main result. ( $LCC^k$  subsets are defined in the next section. Informally, they are subsets that can be avoided by maps of a  $(k + 1)$ -dimensional polyhedron into the ambient space.)

**Theorem 1.** *Suppose  $X$  is a compact, connected  $ENR$  satisfying the disjoint  $(k + 1)$ -disks property,  $B$  is a connected finite polyhedron,  $Y$  is a metric space, and  $p: B \rightarrow Y$  is a map. If  $f: X \rightarrow B$  is  $UV^k(\epsilon)$  over  $Y$ , then  $f$  is  $(C(k) \cdot \epsilon)$ -homotopic (over  $Y$ ) to a  $UV^k$ -map, where  $C(k)$  is a positive constant depending only on  $k$ .*

*Moreover, if  $Z$  is a compact,  $LCC^k$  subset of  $X$ , then the homotopy of  $f$  to a  $UV^k$ -map can be chosen to be fixed on  $Z$ .*

As Theorem 2 essentially defines the relative linear  $UV^k$ -approximation property, we get as a corollary the result that motivated this paper.

**Theorem 2.** *Suppose  $X$  is a compact  $ENR$  homology  $n$ -manifold,  $n \geq 3$ , with boundary  $\partial X$ . If  $n \geq 5$  assume that  $X$  has the  $DDP$  and that  $\partial X$  is  $LCC^1$  in  $X$ . Then  $(X, \partial X)$  has the relative linear  $UV^{\lfloor \frac{n-3}{2} \rfloor}$ -approximation property.*

*Proof.* It is well-known that a connected  $ENR$  of dimension  $\geq 1$  is arcwise connected and locally arcwise connected. In particular, any continuous map of  $[0, 1]$  into  $X$  can be approximated by one whose image has dimension  $\leq 1$ . If  $n = 3$  or  $4$ , the  $DDP^1$  property of  $X$  follows from this fact together with Alexander duality: if  $U$  is any connected open subset of  $X$  and  $A$  is a closed, 1-dimensional subset of  $U$ , then  $H_1(U, U - A) \cong \check{H}^{n-1}(A) = 0$ . This, in turn, implies that the reduced homology group  $\tilde{H}_0(U - A) = 0$ . The  $LCC^0$  property of  $\partial X$  in  $X$  follows immediately from the homology conditions given in the definition below.

If  $n \geq 5$ , the results of [28] and [4] show that a homology  $n$ -manifold with the disjoint disks property also has the disjoint  $\lfloor \frac{n-1}{2} \rfloor$ -disks property. (See the discussion in the next section.) If  $U$  is an open subset of  $X$ , then, by definition (below), the inclusion  $U - \partial X \subseteq U$  induces an isomorphism on homology. If  $X - \partial X$  is locally simply connected at points of  $\partial X$ , then, by the eventual Hurewicz theorem [11],  $U - \partial X \subseteq U$  also induces an isomorphism on homotopy groups, hence, is a homotopy equivalence. (A subset of a space  $X$  with this property is called a **Z-set**.)  $\square$

A similar argument establishes a hybrid version.

**Theorem 3.** *Suppose  $X$  is a compact  $ENR$  homology  $n$ -manifold,  $n \geq 3$ , possibly with boundary,  $\partial X$ , and  $Z$  is a compact,  $LCC^0$  subset of  $X$  containing  $\partial X$ . If  $n \geq 5$  assume further that  $X$  has the  $DDP$  and that  $Z$  is  $LCC^{\lfloor \frac{n-3}{2} \rfloor}$  in  $X$ . Then  $(X, Z)$  has the relative linear  $UV^{\lfloor \frac{n-3}{2} \rfloor}$ -approximation property.*

As a special case ( $Y = \text{a point}$ ) we recover the analogue of the theorem of Bestvina and Walsh for “nice” homology manifolds.

**Theorem 4.** *Suppose  $X$  is a compact, connected, ENR homology  $n$ -manifold, with boundary  $\partial X$ , and suppose  $B$  is a connected finite polyhedron. Suppose  $f: X \rightarrow B$  is a  $(k+1)$ -connected map for some  $k \geq 0$ ,  $2k + 3 \leq n$ . If  $k \geq 1$ , we assume further  $X$  has the disjoint disks property and  $\partial X$  is LCC<sup>1</sup> in  $X$ . Then  $f$  is homotopic, rel  $f|\partial X$ , to a  $UV^k$ -map.*

**Remark.** By applying Theorem 2, one can easily generalize each of these results to allow  $B$  to be a compact ANR. If  $B$  is finite dimensional, it has a mapping cylinder neighborhood  $N$  in some euclidean space [20] with mapping cylinder projection  $\pi: N \rightarrow B$ . The composition of  $f$  with the inclusion  $\iota: B \rightarrow N$  remains  $UV^k(\epsilon)$  over  $B$ , so we can apply Theorem 2 to  $\iota \circ f: X \rightarrow N$ . Composing the result with  $\pi$ , which is cell-like, will then recover the desired homotopy of  $f$  to a  $UV^k$ -map. If  $B$  is infinite dimensional, cross with the Hilbert cube to get a Hilbert cube manifold (see [9]), which is triangulable, and proceed in much the same way.

Our methods provide an alternative proof of the Bestvina-Walsh theorem referred to above.

**Theorem 5** (Bestvina and Walsh [2]). *Suppose  $M^m$  is a compact manifold and  $K$  is a polyhedron. If  $f: M \rightarrow K$  is a  $(k + 1)$ -connected map, then  $f$  is homotopic rel  $f|\partial M$  to a  $UV^k$  map, provided that  $k \leq \lfloor \frac{m-3}{2} \rfloor$ .*

Other results of this type are due to Keldyš [15], Anderson [1], Wilson [30, 31], Walsh [29], Černavskii [8], and Ferry [11].

**Remarks.** (1) Lacher, ([17], §5 and §7) (see also [13]), has shown that a  $UV^{\lfloor \frac{n-1}{2} \rfloor}$ -map between compact  $n$ -manifolds must be cell-like if  $n$  is odd, and, if  $n$  is even, it must be a *spine map*, in which spines of connected summands are collapsed to points. Thus, the result in Theorem 4 is best possible for maps from the  $n$ -sphere  $S^n$  to itself of degree  $d \neq \pm 1$ .

(2) Somewhat more provocative examples result from Quinn’s resolution obstruction [23] combined with the examples constructed in [5]. For given integers  $\iota \in 1 + 8\mathbb{Z}$  and  $n \geq 6$ , a homology  $n$ -manifold  $X$  with the *DDP* is constructed in [5], with the property that  $X$  is homotopy equivalent to  $S^n$  and has Quinn index  $\sigma(X) = \iota$ . If  $\sigma(X) \neq 1$ , then there is no cell-like map from  $X$  to  $S^n$  (or from  $S^n$  to  $X$ ). By Theorem 2 any homotopy equivalence  $f: X \rightarrow S^n$  is homotopic to a  $UV^{\lfloor \frac{n-3}{2} \rfloor}$ -map, whereas Lacher’s result, cited above, can be used to show no such  $f$  is homotopic to a  $UV^{\lfloor \frac{n-1}{2} \rfloor}$ -map.

## 2. DEFINITIONS AND PRELIMINARY RESULTS

A **homology  $n$ -manifold** is a space  $X$  having the property that for each  $x \in X$ ,

$$H_k(X, X - x; \mathbb{Z}) \cong \begin{cases} \mathbb{Z} & k = n \\ 0 & k \neq n. \end{cases}$$

We say that  $X$  is an **homology  $n$ -manifold with boundary** if the condition  $H_n(X, X -$

$x; \mathbb{Z}) \cong \mathbb{Z}$  is replaced by  $H_n(X, X - x; \mathbb{Z}) \cong \mathbb{Z}$  or 0, and, if  $\partial X = \{x \in X : H_n(X, X - x; \mathbb{Z}) \cong 0\}$ , then  $\partial X$  is a homology  $(n - 1)$ -manifold and  $H_*(U, U - \partial X) = 0$  for every open subset  $U$  of  $X$ . (In [21] Mitchell shows that, if  $X$  is an *ENR*,  $\partial X$  is a homology  $(n - 1)$ -manifold.)

A **euclidean neighborhood retract (ENR)** is a space homeomorphic to a closed subset of euclidean space that is a retract of some neighborhood of itself, that is, a locally compact, finite dimensional *ANR*. Topological manifolds and locally compact, finite dimensional polyhedra are the most well-known examples of *ENR*'s, but there are many other interesting types of examples, such as the exotic homology manifolds constructed in [5]. Perhaps the most important property of a topological manifold or locally compact polyhedron that generalizes to an arbitrary *ENR*  $X$  is the existence of **mapping cylinder neighborhoods**, which we have already mentioned above: If  $X$  is *LCC*<sup>1</sup> embedded in a topological manifold  $M$ ,  $\dim M - \dim X \geq 3$ , then  $X$  has a topological manifold neighborhood  $W$  with boundary in  $M$ , which admits a retraction  $p: W \rightarrow X$ , such that  $W$  is the mapping cylinder of  $p|_{\partial W}$ , and  $p$  is the mapping cylinder retraction [20]. This generalizes the notion of normal bundle neighborhoods for topological manifolds and regular neighborhoods for polyhedra. In fact, there are stable classification theorems for mapping cylinder neighborhoods of *ENR* homology manifolds analogous to those for normal bundle neighborhoods of topological manifolds. (See [6].)

A space  $X$  satisfies the **disjoint disks property (DDP)** if for every  $\epsilon > 0$  and maps  $f, g: D^2 \rightarrow X$ , there are maps  $f', g': D^2 \rightarrow X$  so that  $d(f, f') < \epsilon$ ,  $d(g, g') < \epsilon$  and  $f'(D^2) \cap g'(D^2) = \emptyset$ . More generally, we say that a space  $X$  has the **disjoint  $k$ -disks property**, or **DDP<sup>k</sup>**, if any two maps of a  $k$ -cell into  $X$  can be approximated by maps with disjoint images. The *DDP<sup>k</sup>* implies that maps  $f: D^i \rightarrow X$  and  $g: D^j \rightarrow X$  can be approximated by maps with disjoint images whenever  $i, j \leq k$ .

Given  $\epsilon > 0$  and a map  $p: B \rightarrow C$ , a map  $f: A \rightarrow B$  is **UV<sup>k</sup>( $\epsilon$ ) over  $C$** , if it has the  $\epsilon$ -homotopy lifting property over  $C$  for  $(k + 1)$ -dimensional polyhedra. That is, if  $(P, Q)$  is a polyhedral pair with  $\dim P \leq k + 1$ ,  $\alpha_0: Q \rightarrow A$  and  $\alpha: P \rightarrow B$ , with  $f \circ \alpha_0 = \alpha|_Q$ , then there is a map  $\bar{\alpha}: P \rightarrow A$  extending  $\alpha_0$  such that  $f \circ \bar{\alpha}$  is  $\epsilon$ -homotopic over  $C$  to  $\alpha$  in  $B$ , rel  $\alpha|_Q$ . The lift  $\bar{\alpha}$  of  $\alpha$  will be called an  **$\epsilon$ -lift of  $\alpha$ , rel  $\alpha_0$**  (or, sometimes, rel  $Q$ ), over  $C$ . This is the same as Quinn's notion of a relatively  $(\epsilon, k + 1)$ -connected map over  $C$  (Definition 5.1 of [22]).

There are two important special cases of this definition representing the two extremes on the degree of control. If  $p$  is a constant map, or, equivalently,  $C$  is a point, then we have the usual notion of a  $k$ -connected map  $f: A \rightarrow B$ . This is equivalent to  $f$  inducing isomorphisms on homotopy groups through dimension  $k$  and an epimorphism in dimension  $k + 1$ . At the other extreme we have  $C = B$  and  $p = id_B$ . In this case we will often omit reference to  $B$  as a control space and just say  $f: A \rightarrow B$  is *UV<sup>k</sup>( $\epsilon$ )*.

A compact connected space  $C$  **has property UV<sup>k</sup>**,  $k \geq 0$ , if for some (and, hence, any) embedding of  $C$  in an *ANR*  $X$  and every neighborhood  $U$  of  $C$  in  $X$ , there is a connected neighborhood  $V$  of  $C$  lying in  $U$  such that the inclusion  $\pi_i(V) \rightarrow \pi_i(U)$  is 0 for  $0 \leq i \leq k$ .

A surjection  $f: A \rightarrow B$  between compact ENR's is UV<sup>k</sup>,  $k \geq 0$ , if its point inverses have property UV<sup>k</sup>. A UV<sup>-1</sup>-map is a surjection.

**Remark.** For ANR's, property UV<sup>k</sup> is equivalent to  $k$ -connectedness. For non-ANR's, especially non-locally connected spaces, the situation is quite different. For example, the fundamental group of the diadic solenoid,  $\Sigma = \text{projlim}\{S^1, z \rightarrow z^2\}$ , is trivial, in fact, its Čech  $\pi_1$  vanishes as well, but it fails to have property UV<sup>1</sup>.

A compact metric pair  $(X, Z)$  has the **relative linear UV<sup>k</sup>-approximation property** if, for a given finite polyhedron  $B$  and map  $p: B \rightarrow Y$  of  $B$  to a metric space  $Y$ , every map  $f: X \rightarrow B$  that is UV<sup>k</sup>( $\epsilon$ ) over  $Y$ , for some  $\epsilon > 0$ , is  $C \cdot \epsilon$ -homotopic over  $Y$ , keeping  $f|Z$  fixed, to a UV<sup>k</sup>-map, where  $C$  is a constant depending only on  $k$ .

A subset  $A$  of an ENR  $X$  is **locally  $k$ -co-connected, or LCC<sup>k</sup>, in  $X$**  if, for every open set  $U \subseteq X$ ,  $\pi_i(U, U - A) = 0$  for  $0 \leq i \leq k + 1$ . This is equivalent to the condition that the inclusion map  $\iota: (X - A) \rightarrow X$  is UV<sup>k</sup>( $\epsilon$ ) for every  $\epsilon > 0$ . If  $X$  is a topological  $n$ -manifold and  $A$  is a closed subset of dimension  $r$ ,  $n - r \geq 3$ , then  $A$  is LCC <sup>$n-r-2$</sup>  if and only if  $A$  is LCC<sup>1</sup>. This is essentially a consequence of Alexander duality and the Hurewicz isomorphism theorem. (See [3] and [27].) This remains true if  $X$  is an ENR homology  $n$ -manifold,  $n \geq 5$ , with the DDP [4, 28].

**Proposition 1.** *If an ANR  $X$  has the DDP<sup>k</sup> and  $A$  is an LCC <sup>$k-1$</sup>  subset of  $X$ , then any map  $f$  of a  $k$ -dimensional polyhedron  $K$  into  $X$  can be approximated by an LCC <sup>$k-1$</sup>  embedding that misses  $A$ . Moreover, if  $f$  is already an LCC <sup>$k-1$</sup>  embedding on a subpolyhedron  $L$  (into the complement of  $A$ ), then the approximation can be made to agree with  $f$  on  $L$ .*

*Outline of proof.* This proposition is proved using techniques similar to those used to prove the main results of [4] and [29]. Since there are some essential differences, we outline a proof here.

Suppose  $K$  is an  $k$ -dimensional polyhedron, and  $f: K \rightarrow X$  is a map. Let  $K_1, K_2, \dots$  be a sequence of triangulations of  $K$  with mesh tending to 0. Use the DDP<sup>k</sup> property of  $X$  to get a sequence  $f_j$ ,  $j = 1, 2, \dots$  of maps, where  $f_j$  is a approximation of  $f_{j-1}$ ,  $j \geq 1$ , ( $f_0 = f$ ), such that  $f_j(\sigma) \cap f_j(\tau) = \emptyset$  whenever  $\sigma$  and  $\tau$  are disjoint  $k$ -simplexes of  $K_j$ . By taking extra care in choosing the sizes of subsequent approximations, we can guarantee that the limit map  $\bar{f}: K \rightarrow X$  satisfies this property for every  $j$  and, hence, is an embedding. Likewise, we can assume that the first and all subsequent approximations are chosen so that their images, as well as the image of  $\bar{f}$ , misses  $A$ . Arguments such as these may be found in [14].

In order to get an LCC <sup>$k-1$</sup>  embedding we need an extra ingredient. Let  $N$  be a mapping cylinder neighborhood of  $X$  in some euclidean space of dimension  $\geq 2k + 1$  with mapping cylinder projection  $p: N \rightarrow X$ . Let  $T_1 \subseteq T_2 \subseteq \dots$  be the  $k$ -skeletons of a sequence of triangulations of  $N$  with mesh tending to 0. Given a map  $f: K \rightarrow X$  as above, we combine the process above with a sequence  $p_j: N \rightarrow X$ , where  $p_j$  is an approximation of  $p_{j-1}$ ,  $j \geq 1$ , ( $p_0 = p$ ) so that  $p_j(T_j) \cap f_j(K) = \emptyset$  and the limit maps  $\bar{p} = \lim p_j$  and  $\bar{f} = \lim f_j$  satisfy  $\bar{p}(\bigcup T_j) \cap \bar{f}(K) = \emptyset$ . If  $\alpha: (P, Q) \rightarrow (X, X - \bar{f}(K))$  is a map of a  $k$ -dimensional polyhedral pair, then there is a small homotopy of  $\alpha$  to a map of  $P$  into  $T_j$  for some  $j$ . We can choose  $j$  large enough and the homotopy small enough so that the image of the composition of the

homotopy restricted to  $Q$  with  $p$  does not meet  $\bar{f}(K)$ . After composing this map with  $p$  and using the estimated homotopy extension theorem [5], we can get a small homotopy of  $\alpha$ , rel  $\alpha|Q$ , to a map into  $X - \bar{f}(K)$ .

This argument can easily be adapted to get the relative result.  $\square$

The following property of  $UV^k$ -maps between compact ENR's is similar to results that may be found in Lacher [18, 19].

**Proposition 2.** *If a map  $f: X \rightarrow Y$  between compact ENR's is  $UV^k$ , for each point  $y$  and each pair of open sets  $U$  and  $V$  in  $Y$  such that  $y \in \bar{V} \subseteq U$  and  $V$  is contractible to a point in  $U$ , the induced homomorphisms  $\pi_i(f^{-1}(V)) \rightarrow \pi_i(f^{-1}(U))$  are zero for  $0 \leq i \leq k$ .*

We can use Proposition 2 inductively to prove

**Proposition 3.** *Suppose  $f: X \rightarrow Y$  is a  $UV^k$ -map between compact ENR's and  $y \in Y$ . Then for any neighborhood  $U$  of  $y$ , there is a neighborhood  $V$  of  $y$  such that if  $g: (P, p_0) \rightarrow (V, g(p_0))$  is a map of a polyhedron  $P$  of dimension  $\leq k$  into  $V$ , then  $g$  is homotopic, rel  $p_0$ , in  $U$  to a constant map.*

The following proposition illustrates a ‘‘Seifert-van Kampen’’-type property of  $UV^k$ -maps.

**Proposition 4.** *Suppose  $X = A \cup B$ ,  $A \cap B = C$ , where  $A$ ,  $B$ , and  $C$  are compact ANR's, and suppose a mapping  $f: X \rightarrow Y$  of  $X$  to a compact ANR  $Y$  has the property that  $f|A$  and  $f|B$  are  $UV^k$  and  $f|C$  is  $UV^{k-1}$ ,  $k \geq 0$ . Then  $f$  is  $UV^k$ .*

*Proof.* Suppose  $X = A \cup B$  and  $f: X \rightarrow Y$  are given as above. For any  $Z \subseteq Y$ , set  $Z^* = f^{-1}(Z)$ . If  $k = 0$ , then, for each  $y \in Y$ ,  $y^* = (y^* \cap A) \cup (y^* \cap B)$  and  $(y^* \cap A) \cap (y^* \cap B) = y^* \cap C \neq \emptyset$ . Thus,  $y^*$  is connected, and  $f$  is  $UV^0$  on  $X$ .

Assume that  $k \geq 1$ . Suppose  $y \in Y$  and  $W \subseteq V \subseteq U$  are neighborhoods of  $y$  in  $Y$  such that  $W \subseteq V$  satisfy the conclusion of Proposition 3 for  $f|C$  (for  $UV^{k-1}$ -maps) and  $V \subseteq U$  satisfy the conclusion of Proposition 3 for  $f|A$  and  $f|B$  (for  $UV^k$ -maps). Suppose  $g: S^i \rightarrow W^*$  is a mapping of the  $i$ -sphere,  $1 \leq i \leq k$ . Assume that  $g^{-1}(A - B)$  and  $g^{-1}(B - A)$  are non-empty. (Otherwise,  $g$  is homotopic to a constant map in either  $A$  or  $B$  by Proposition 3.) Let  $Q$  be a polyhedral neighborhood of  $g^{-1}(C)$  in  $S^i$ , let  $P = \mathcal{C}l(S^i - Q)$ , and let  $P^A$  and  $P^B$  be the union of the closures of the components of  $S^i - Q$  lying in  $g^{-1}(A - B)$  and  $g^{-1}(B - A)$ , respectively.

Using the ANR properties of  $A$ ,  $B$ , and  $C$ , we can choose a fine enough neighborhood  $Q$  of  $g^{-1}(C)$  so that there is a small homotopy of  $g$ , rel  $g|g^{-1}(C)$ , in  $W^*$  to a map we will still call  $g: S^i \rightarrow W^*$  such that  $g(Q) \subseteq C$  and the homotopy keeps  $P^A$  and  $P^B$  mapped into  $A$  and  $B$ , respectively. As a separating polyhedron in a PL  $i$ -manifold,  $Q$  collapses to polyhedron  $Q_0 \subseteq Q$  of dimension  $i - 1$  using only collapses across  $i$ -dimensional simplexes. The associated deformation retraction of  $Q$  onto  $Q_0$  extends to a homotopy  $R: S^i \times [0, 1] \rightarrow S^i$  of the identity map of  $S^i$  to a map  $r: S^i \rightarrow S^i$  such that  $R((Q \cup P^A) \times [0, 1]) \subseteq Q \cup P^A$  and  $R((Q \cup P^B) \times [0, 1]) \subseteq Q \cup P^B$ . Let  $P_0^A$  and  $P_0^B$  be the union of the closures of the

components of  $S^i - Q_0$  that contain  $P^A$  and  $P^B$ , respectively. Precomposing  $g$  with  $R$  gives a homotopy of  $g$  to a map  $h: S^i \rightarrow W^*$  such that  $h(Q_0) \subseteq C$ ,  $h(P_0^A) \subseteq A$ , and  $h(P_0^B) \subseteq B$ .

By Proposition 3  $h|_{Q_0}: Q_0 \rightarrow W^* \cap C$  is homotopic to a constant map  $c$  in  $V^* \cap C$ . Use regular neighborhoods of  $Q_0$  in  $P_0^A$  and  $P_0^B$  to extend this homotopy to a homotopy of  $h$  in  $W^* \cup (V^* \cap C)$  to a map  $h_0: S^i \rightarrow W^* \cup (V^* \cap C) \subseteq V^*$  such that  $h_0(P_0^A) \subseteq A$ , and  $h_0(P_0^B) \subseteq B$ . Let  $L$  be a quotient polyhedron of  $S^i$  topologically homeomorphic to  $S^i/Q_0$ , containing subpolyhedra  $L^A$  homeomorphic to  $P_0^A/Q_0$  and  $L^B$  homeomorphic to  $P_0^B/Q_0$  so that  $L^A \cap L^B$  is the point  $[Q_0] \in S^i/Q_0$ . Then  $h_0$  induces a map  $\bar{h}: L \rightarrow V^*$  such that  $\bar{h}(L^A) \subseteq (V^* \cap A)$ ,  $\bar{h}(L^B) \subseteq (V^* \cap B)$ , and  $\bar{h}([Q_0]) = c(Q_0)$  is a point of  $C$ . Proposition 3 then applies to each of  $\bar{h}|_{L^A}$  and  $\bar{h}|_{L^B}$  to provide a homotopy of  $\bar{h}$ , rel  $[Q_0]$ , in  $U^*$  to a constant. Thus, the inclusion homomorphism  $\pi_i(W^*) \rightarrow \pi_i(U^*)$  is zero proving that  $y^*$  is  $UV^k$  for each  $y \in Y$ .  $\square$

**Proposition 5.** *Suppose  $A$  and  $B$  are compact, connected ENR's of dimension  $\geq 1$ ,  $\epsilon > 0$ ,  $Z$  is an  $LCC^0$  subset of  $A$ , and  $p: B \rightarrow C$  is a map, where  $C$  is a metric space. If  $f: A \rightarrow B$  is  $UV^{-1}(\epsilon)$  over  $C$ , then  $f$  is  $2\epsilon$ -homotopic (over  $C$ ), rel  $f|_Z$ , to a surjection.*

*Proof.* Assume all measurements are made in  $C$ . Let  $P$  be a finite subset of  $B$  such that every point of  $B$  can be joined to a point of  $P$  by an arc of diameter  $\leq \epsilon/2$  in both  $B$  and  $C$ . By hypothesis, there is a map  $\alpha: P \rightarrow A$  whose composition with  $f$  is  $\epsilon$ -homotopic to the inclusion. Since  $\dim A \geq 1$ , we may assume  $\alpha$  is one-to-one, and, since  $Z$  is  $LCC^0$ , we may assume  $\alpha(P) \cap Z = \emptyset$ . Let  $P' = \alpha(P)$ . Using the homotopy extension theorem on a small neighborhood of  $P'$  in  $A$ , which is disjoint from  $Z$ , we can get an extension of the  $\epsilon$ -homotopy of  $f|_{P'}$  to  $\alpha^{-1}$  to an  $\epsilon$ -homotopy of  $f$  to a map that sends  $P'$  to  $P$ . Thus there is an  $\epsilon$ -homotopy of  $f$ , rel  $f|_Z$ , to a map that is  $UV^{-1}(\epsilon/2)$  over both  $B$  and  $C$ . A sequence of such maps can be constructed so as to converge to a surjection that is  $2\epsilon$ -homotopic to  $f$ .  $\square$

The following basic result is due to Lacher [17, 18].

**Theorem 6.** *A surjection  $f: A \rightarrow B$  between compact ENR's is  $UV^k$  iff it is  $UV^k(\epsilon)$  for every  $\epsilon > 0$ .*

The next lemma gives a criterion for determining when an extension of an  $UV^k(\epsilon)$ -map is (almost)  $UV^k(\epsilon)$ .

**Lemma 1.** *Suppose  $X_1 \subseteq X_2$  and  $B$  are compact ENR's,  $\delta > 0$ , and  $\epsilon > 0$ , suppose  $p: B \rightarrow Y$  is a map of  $B$  to a metric space  $Y$ , and suppose that for some integer  $k \geq 0$ ,  $f: X_2 \rightarrow B$  is a map such that*

- (i)  $f|_{X_1}$  is  $UV^k(\epsilon)$  over  $Y$ , and
- (ii) if  $g$  is a map of a  $k$ -dimensional polyhedron  $R$  into  $X_2$ , then  $g$  is  $\delta$ -homotopic over  $Y$  to a map of  $R$  into  $X_1$ .

*Then  $f$  is  $UV^k(2\delta + \epsilon)$  over  $Y$ .*

*Proof.* Suppose  $(P, Q)$  is a polyhedral pair,  $\dim P \leq k + 1$ , and suppose  $\alpha: P \rightarrow B$ , and  $\alpha_0: Q \rightarrow X_2$  satisfy  $f \circ \alpha_0 = \alpha|_Q$ . For any  $\mu > 0$  there is a  $\mu$ -homotopy over  $B$  of the identity on  $P$  to a map  $r: P \rightarrow P$ , which is fixed on  $Q$  and outside a neighborhood of  $Q$ ,

that deformation retracts a small regular neighborhood  $N$  of  $Q$  onto  $Q$ . By precomposing  $\alpha$  with such a map, we can get an  $\mu$ -homotopy of  $\alpha$  to a map  $\alpha_1: P \rightarrow B$ , whose restriction to  $N$  can also be lifted by  $\alpha_0$ .

Let  $P_0 = \mathcal{C}\ell(P - N)$ , and let  $Q_0 = Q \cap P_0 = \text{bd}(N)$ . Since  $\dim Q_0 \leq k$ , there is a  $\delta$ -homotopy (over  $Y$ ) of  $\alpha_0|_{Q_0}$  that takes  $Q_0$  into  $X_1$ . Since  $Q_0$  is collared in  $N$ , this homotopy can be extended to a  $\delta$ -homotopy of  $\alpha_0$  on  $N$  (over  $Y$ ) that is fixed on  $Q$ . Call the resulting map  $\bar{\alpha}_0: N \rightarrow X_2$ . Composing with  $f$  gives an  $\delta$ -homotopy of  $\alpha_1|_N$  in  $B$ , which can be extended to an  $\delta$ -homotopy of  $\alpha_1$  on  $P$  to  $\alpha_2: P \rightarrow B$ , since  $N$  is collared in  $P$ . By hypothesis,  $f|_{X_1}$  is  $UV^{k-1}(\epsilon)$  over  $Y$ , and so  $\alpha_2|_{P_0}$  can be  $\epsilon$ -lifted to  $X_1$  (over  $Y$ ),  $\text{rel } \bar{\alpha}_0|_{Q_0}$ . This map, in turn, extends to a map  $\bar{\alpha}: P \rightarrow X_2$ , whose restriction to  $Q$  is  $\alpha_0$ , and, assuming  $\mu$  is sufficiently small,  $f \circ \bar{\alpha}$  is  $(2\delta + \epsilon)$ -homotopic to  $\alpha \text{ rel } \alpha|_Q$ .  $\square$

An argument virtually identical to the one just given also proves the following lemma.

**Lemma 2.** *Suppose  $X$  and  $B$  are compact ENR's,  $\delta > 0$ , and  $\epsilon > 0$ . If  $f: X \rightarrow B$  is  $UV^k(\epsilon)$  over a metric space  $Y$  and  $g$  is  $\delta$ -homotopic to  $f$  over  $Y$ , then  $g$  is  $UV^k(2\delta + \epsilon)$  over  $Y$ .*

The proof of the next lemma is an easy application of the definition.

**Lemma 3.** *Suppose  $A$ ,  $B$ , and  $C$  are compact metric spaces and  $f: B \rightarrow C$  is an  $UV^k(\epsilon)$ -map for some  $\epsilon > 0$ . Then there exists  $\delta > 0$  such that if  $g: A \rightarrow B$  is  $UV^k(\delta)$  over  $B$ , then  $f \circ g: A \rightarrow C$  is  $UV^k(\epsilon)$  (over  $C$ ).*

Consider the  $(k + 1)$ -cell  $D = B^k \times [0, 1] \subseteq R^n \times [0, 1] \subseteq R^{n+1}$ , where  $B^k$  is the unit ball in  $R^k = R^k \times 0 \subseteq R^n$ . Let  $E$  be a relative  $n$ -cell neighborhood of  $B^k$  in  $R^n$ ,  $\text{rel } \partial B^k$ , chosen so that the natural projection  $p: R^n \rightarrow R^k$  has the property that  $p^{-1}(x) \cap \partial E$  is an  $(n - k - 1)$ -sphere, a point, or the empty set, accordingly as  $x \in \text{int} B^k$ ,  $x \in \partial B^k$ , or  $x \notin B^k$ . Let  $F$  be a relative  $(n + 1)$ -cell neighborhood of  $D$  in  $R^n \times [0, 1]$ ,  $\text{rel } \partial D$ , containing  $E$  in its boundary, chosen so that the natural projection  $\bar{p}: R^n \times [0, 1] \rightarrow R^k \times 0 \times [0, 1]$  has the property that  $\bar{p}^{-1}(x) \cap (\partial F - \text{int} E)$  is an  $(n - k - 1)$ -sphere, a point, or the empty set, accordingly as  $x \in \text{int} D$ ,  $x \in \partial D$ , or  $x \notin D$ , and the projection  $R^n \times [0, 1] \rightarrow R^n$  maps  $\partial F - \text{int} E$  homeomorphically onto  $E$ . Let  $E'$  be another relative  $n$ -cell neighborhood of  $B^k$  satisfying the same properties listed above for  $E$  such that  $E \subseteq (\text{int} E' \cup \partial B^k)$ . By using the various projections above or their inverses, it is possible to construct a map  $q: R^n \rightarrow R^n \cup D$  such that  $q|(R^n - E')$  is the identity,  $q$  retracts  $E$  onto  $B^k$ , and  $q^{-1}(x)$  is a point or an  $(n - k - 1)$ -sphere.

If  $M$  is a topological  $n$ -manifold and  $D$  is a  $(k + 1)$ -cell attached to  $M$  along a  $k$ -cell that is nice in both  $M$  and  $\partial D$ , then we can use the model above to construct a map  $h: M \rightarrow M \cup_A D$ , which is the identity outside a relative neighborhood of  $A$ ,  $\text{rel } \partial A$ , whose point-inverses are either points or  $(n - k - 1)$ -spheres. This implies  $h$  is  $UV^{n-k-2}$ . If  $2k + 1 \leq n$ , then  $M$  has the  $DDP^k$  and  $h$  will be  $UV^{k-1}$ . This is the familiar cell-trading procedure one sees in controlled surgery theory, which is used to improve the connectivity of a map below the middle dimension. The following proposition shows that an approximate



version of this result holds for an ENR  $X$  with the  $DDP^k$ . It will provide an important step in the proof of our main results. (Ultimately, the main results will apply to show that the inclusion  $X \subseteq X \cup_A D$  is homotopic to a  $UV^{k-1}$ -map.)

**Proposition 6.** *Suppose  $X$  is an ENR with the  $DDP^k$ ,  $k \geq 0$ , and suppose  $\gamma: C \rightarrow X$  is an embedding of a  $k$ -cell  $C$  onto an  $LCC^{k-1}$   $k$ -cell  $A \subseteq X$ , and  $\overline{X} = X \cup_A D$  is the relative mapping cylinder of  $\gamma$ , rel  $\partial C$ , with mapping cylinder retraction  $d: \overline{X} \rightarrow X$ . Assume a metric on  $\overline{X}$  extending a given one on  $X$ . Then for every neighborhood  $U$  of  $A$  in  $X$  and every  $\eta > 0$ , there is an  $\eta$ -homotopy  $h: X \times I \rightarrow \overline{X}$  over  $X$  of the inclusion  $\iota: X \rightarrow \overline{X}$  such that*

- (i) each  $h_t$  is the identity outside  $U$ ,
- (ii)  $d \circ h: X \times I \rightarrow X$  is an  $\eta$ -homotopy that deformation retracts a neighborhood of  $A$  onto  $A$  inside  $U$ ,
- (iii)  $h_1: X \rightarrow \overline{X}$  is  $UV^{k-1}(\eta)$  over  $\overline{X}$ .

*Proof.* Assume that  $X$  is tamely embedded in  $\mathbb{R}^m$ ,  $m > 2 \dim X$ , so as to have a mapping cylinder neighborhood  $N$  with mapping cylinder projection  $\pi: N \rightarrow X$  [20]. Given any triangulation of  $N$ ,  $\pi$  restricted to its  $k$ -skeleton can be approximated arbitrarily closely by an  $LCC^{k-1}$  embedding whose image misses  $A$ . For any  $\epsilon > 0$ , there is a triangulation of  $N$ , with  $k$ -skeleton  $T$  such that any map of a  $k$ -dimensional polyhedral pair  $(P, Q)$  into  $(N, T)$  can be  $\epsilon$ -homotoped, rel  $Q$ , into  $T$ . Thus, for a given sequence  $\epsilon_0, \epsilon_1, \dots$  of positive numbers, there is a sequence  $T_0 \subseteq T_1 \subseteq \dots$  of  $k$ -dimensional polyhedra,  $LCC^{k-1}$  embedded in  $X - A$ , such that any map of a  $k$ -dimensional polyhedral pair  $(P, Q)$  into  $(X, T_j)$ ,  $j < i$ , can be  $\epsilon_i$ -homotoped, rel  $Q$ , into  $T_i$ .

Suppose we are given  $\overline{X} = X \cup_A D$ . Let  $X' = (X \times 0) \cup (A \times I) \subseteq X \times I$ ,  $I = [0, 1]$ , and let  $p: X' \rightarrow X$  be projection to the first factor. Let  $g: X' \rightarrow \overline{X}$  be the map that sends each of the vertical intervals in  $\partial A \times I$  to a point, but is otherwise one-to-one. We may assume  $d: \overline{X} \rightarrow X$  is the map induced by  $p$ . Equip  $X'$  with the metric  $\rho$  inherited from the embedding into  $\mathbb{R}^m \times [0, 1]$  with the product metric, where  $X \subseteq \mathbb{R}^m \times 0$  as above and  $(x, t) \mapsto (x, t)$  if  $x \in A$ . Since the quotient map  $g: X' \rightarrow \overline{X}$  is cell-like, it is sufficient, by Lemma 3, to prove the theorem with  $\overline{X}$  replaced by  $X'$  and  $d: \overline{X} \rightarrow X$  replaced by  $p: X' \rightarrow X$ .

Suppose then that we are given  $\eta > 0$ . Let  $\{0 = t_0 < t_1 < \dots < t_\ell = 1\}$  be a subdivision of  $I$  of mesh  $< \eta/3$ . Given a neighborhood  $U$  of  $A$ , positive numbers  $\epsilon_0, \dots, \epsilon_\ell$ , and  $k$ -dimensional polyhedra  $T_0 \subseteq T_1 \subseteq \dots \subseteq T_\ell$  in  $X - A$ , as above, construct a sequence of neighborhoods

$$V_\ell \subseteq \dots \subseteq V_1 \subseteq V_0 \subseteq U$$

of  $A$  and an  $\epsilon_0$ -homotopy  $R: X \times I \rightarrow X$  as follows.

- (1)  $R_0 = \text{id}_X$ .
- (2)  $R_t|[(X - U) \cup A] = \text{id}$  for all  $t \in I$ .
- (3)  $R(U \times I) \subseteq U$ .
- (4)  $R(\mathcal{C}\ell(V_0) \times I) \subseteq U$ .
- (5)  $R_1^{-1}(A) = \mathcal{C}\ell(V_0)$ .
- (6)  $R(\mathcal{C}\ell(V_i) \times I) \subseteq (V_{i-1} - T_{i-1})$  for  $1 \leq i \leq \ell$ .
- (7)  $R|V_i \times I$  is an  $\epsilon_i$ -homotopy,  $0 \leq i \leq \ell$ .

That is,  $R$  is an  $\epsilon_0$ -deformation retraction of a neighborhood  $V_0$  of  $A$  onto  $A$  inside a neighborhood  $U$  of  $A$ , which has been extended to  $X$  by the estimated homotopy extension theorem of [5]. Having constructed  $R$  satisfying (1) - (5), the neighborhoods  $V_1, \dots, V_\ell$  satisfying properties (6) and (7) are obtained from continuity of  $R$ . The positive number  $\epsilon_0$  will be chosen so that subsets of  $X$  of diameter  $< \epsilon_0$  will have diameter  $< \eta/2$  throughout the homotopy  $R$ . The numbers  $\epsilon_i$  (and the polyhedra  $T_i$ ),  $i \geq 1$ , will be chosen inductively so that, for any polyhedral pair  $(P, Q)$  of dimension  $\leq k + 1$  and map  $\alpha: (P, Q) \rightarrow (V_{i-1}, T_{i-1})$ , there is an  $\epsilon_i$ -homotopy of  $\alpha$ , rel  $\alpha|Q$ , in  $V_{i-2}$  to a map of  $P$  into  $T_i$  (where  $U = V_{-1}$ ). We assume, furthermore, that  $\epsilon_i < \min\{\epsilon_0/3, \text{dist}(A, X - V_i)\}$ , for  $i > 0$ .

For each  $i = 1, \dots, \ell$ , let  $\lambda_i: (\mathcal{C}\ell(V_{i-1}) - V_i) \rightarrow [t_{i-1}, t_i]$  be a Urysohn function that takes  $\text{bd}(V_{i-1})$  to  $t_{i-1}$  and  $\text{bd}(V_i)$  to  $t_i$ . Combine these maps to get a map  $\lambda: X \rightarrow I$  that takes  $X - V_0$  to 0 and  $V_\ell$  to 1.

A map  $q: X \rightarrow X'$  can then be defined by setting

- (a)  $q(x) = (R_1(x), 0)$ , if  $x \in (X - V_0)$ ,
- (b)  $q(x) = (R_1(x), \lambda_i(x))$ , if  $x \in (V_{i-1} - V_i)$ ,  $i = 1, \dots, \ell$ , and
- (c)  $q(x) = (R_1(x), 1)$ , if  $x \in V_\ell$ .

Then  $R_1 = p \circ q$ , and the homotopy  $\text{id}_{X'} \simeq p$  composed with  $q$  gives a homotopy of  $q$  to  $p \circ q = R_1$ . Piecing this homotopy together with  $R$  gives a homotopy  $h': X \times I \rightarrow X'$  from the inclusion  $X \subseteq X'$  to  $q$ .

The claim now is that  $q: X \rightarrow X'$  is  $UV^{k-1}(\eta)$ .

To this end, suppose we are given a polyhedral pair  $(P, Q)$  of dimension  $\leq k$  and maps  $\alpha: P \rightarrow X'$  and  $\alpha_0: Q \rightarrow X$  with  $q \circ \alpha_0 = \alpha|Q$ . As in the proof of Lemma 1 we may assume that, after a small perturbation of  $\alpha$ , rel  $\alpha|Q$ , there is a small regular neighborhood  $W$  of  $Q$  in  $P$  and an extension of  $\alpha_0$  to  $W$  lifting  $\alpha|W$ . This perturbation is obtained by precomposing  $\alpha$  with a perturbation of the identity on  $P$  that deformation retracts  $W$  to  $Q$ . Let  $Q_0 = \text{bd}(W)$  and let  $P_0 = P - \text{int}(W)$ . After a second small perturbation of  $\alpha$  we may assume each  $S_i = \alpha^{-1}(A \times [t_{i-1}, t_i]) \cap P_0$  ( $i \geq 1$ ) and each  $B_i = \alpha^{-1}(A \times t_i) \cap P_0$  ( $i \geq 0$ ) are subpolyhedra of  $P_0$ . Set  $S_0 = \alpha^{-1}(X) \cap P_0$ . Thus, we have

$$P = W \cup P_0 = W \cup S_0 \cup S_1 \cup \dots \cup S_\ell$$

where  $W \cap P_0 = \text{bd}(W)$  and  $S_{i-1} \cap S_i = B_{i-1}$  for  $1 \leq i \leq \ell$ .

Observe that  $h': X \times I \rightarrow X'$  provides a homotopy from  $\alpha_0: W \rightarrow X$  to  $\alpha|W: W \rightarrow X'$ . Set  $\alpha' = p \circ \alpha$  and observe that  $\alpha'(P_0 - S_0) \subseteq A$ .

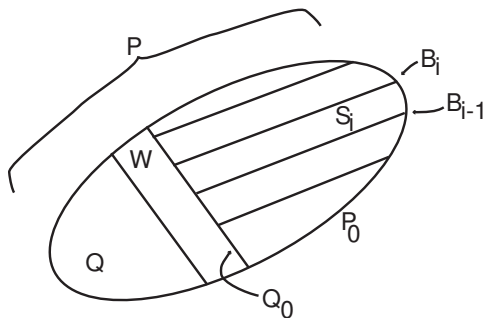
Proceed inductively to move  $\alpha'(P_0 - \text{int}(S_\ell))$  off of  $A$  using the moves below:

- an  $\epsilon_0$ -homotopy of  $\alpha'$  to a map  $\alpha'_0$ , that takes  $B_0$  into  $T_0$  and is constant outside a small neighborhood of  $B_0$  in  $P_0$  that misses  $S_i$ ,  $i \geq 2$ ,
- an  $\epsilon_1$ -homotopy of  $\alpha'_0$  to a map  $\alpha'_1$  that takes  $S_1$  into  $T_1$  and is constant on  $S_0$  and outside a small neighborhood of  $S_1$  that misses  $S_i$ ,  $i \geq 3$ . Since  $\alpha'_0(S_2) \subseteq A$ , our choice of  $\epsilon_1$  ensures that  $\alpha'_1(B_1) \subseteq \alpha'_1(S_2) \subseteq V_1$ ,

- an  $\epsilon_2$ -homotopy of  $\alpha'_1$  to a map  $\alpha'_2$  that takes  $S_2$  into  $T_2$  and is constant on  $S_0 \cup S_1$  and outside a small neighborhood of  $S_2$  that misses  $S_i$ ,  $i \geq 4$ . Since  $\alpha'_1(S_3) \subseteq A$ , our choice of  $\epsilon_2$  ensures that  $\alpha'_2(B_2) \subseteq \alpha'_2(S_3) \subseteq V_2$ .

Continuing this process produces a homotopy of  $\alpha'$  to  $\alpha'_{\ell-1}: P_0 \rightarrow X$ , which moves no point of  $P_0$  more than twice, such that  $\alpha'_{\ell-1}(S_i) \subseteq V_{i-2} - V_{i+1}$  for  $1 \leq i \leq \ell$  (where  $V_{\ell+1} = \emptyset$ ). Since  $W$  is a (small) regular neighborhood of  $Q$  in  $P$ , this homotopy, restricted to  $\text{bd}(W)$ , can be extended over  $W$  to a  $2\epsilon_0$ -homotopy of  $\alpha'|_W$  that is constant on  $Q$  by the estimated homotopy extension theorem. The resulting map  $\bar{\alpha}: P \rightarrow X$  satisfies  $\bar{\alpha}|_Q = \alpha_0$ .

Our choice of  $\epsilon_0$  ensures that  $p \circ \bar{\alpha}$  is  $\eta/2$ -homotopic to  $p \circ \alpha$ . Since  $\bar{\alpha}(S_i) \subseteq V_{i-2} - V_{i+1}$ ,  $q \circ \bar{\alpha}$  is  $\eta$ -homotopic to  $\alpha$ .  $\square$



**Addendum 1.** *The neighborhoods of  $A$  in the statement and proof of Proposition 6 can be chosen to be relative neighborhoods,  $\text{rel } \partial A$ .*

**Addendum 2.** *If  $Z$  is a closed subset of  $X - A$ , then the homotopy  $h: X \times I \rightarrow \bar{X}$  can be chosen to be fixed on  $Z$ .*

We will establish Theorem 2 by first proving the special case in which  $C = B$  and  $p = \text{id}_B$ .

**Theorem 7.** *Suppose  $X$  is a compact, connected ENR satisfying the disjoint  $(k + 1)$ -disks property,  $B$  is a connected finite polyhedron, and  $f: X \rightarrow B$  is  $UV^k(\epsilon)$  for some  $\epsilon > 0$ . Then  $f$  is  $(C(k) \cdot \epsilon)$ -homotopic to a  $UV^k$ -map, where  $C(k)$  is a positive constant depending only on  $k$ .*

*Moreover, if  $Z$  is an  $LCC^k$  subset of  $X$ , then the homotopy of  $f$  to a  $UV^k$ -map can be chosen to be fixed on  $Z$ .*

In Section 5 we indicate how the proof of Theorem 7 can be modified to obtain our main result. We shall separate the proof of Theorem 7 into two cases:  $k = 0$  and  $k \geq 1$ . The intent is to present the main ideas first in a somewhat less cluttered setting, so that they may be a bit more transparent. This approach has, of course, introduced redundancies into the exposition, but we hope they prove to be of value to the reader.

3.  $UV^0$ 

In this section we assume only that  $X$  is a compact *ENR* satisfying the *DDP*<sup>1</sup>, also known as the *disjoint arcs property* and that  $Z$  is a compact, *LCC*<sup>0</sup> subset of  $X$ . We shall also assume throughout that  $B$  is a finite complex. We start by proving a simple homotopy analogue of our main result in the base case  $k = 0$ . Keep in mind that all measurements are made in  $B$  unless specifically indicated otherwise.

**Proposition 7.** *Suppose a surjection  $f: X \rightarrow B$  is an  $UV^0(\delta)$ -map and  $\mu > 0$ . Then there is an *ENR*  $\bar{X}$  obtained by adding 1- and 2-cells to  $X - Z$  and an extension  $\bar{f}: \bar{X} \rightarrow B$  such that  $\bar{f}$  is  $UV^0(\mu)$  and  $\bar{X}$   $2\delta$ -collapses to  $X$ .*

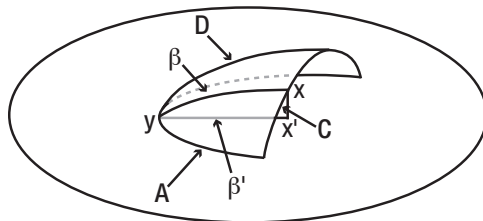
*Proof.* Triangulate  $B$  so that the diameter of the star of each simplex is less than  $\mu' < \mu/3$ , where  $\mu'$  is chosen so that maps into  $B$  that are  $\mu'$ -close are  $\mu/3$ -homotopic. The inverse image under  $f$  of each simplex  $\sigma \in B$  is compact. If  $U_\sigma$  is a small path-connected open neighborhood of  $\sigma$  in  $B$ , then  $f^{-1}(U_\sigma)$  is contained in finitely many components of  $f^{-1}(U_\sigma)$ . Attach finitely many 1-cells to  $X - Z$  connecting the components of  $f^{-1}(U_\sigma)$  that contain points of  $f^{-1}(\sigma)$  and extend the map  $f$  over each of these 1-cells so that their images lie in  $U_\sigma$ . Doing this for each  $\sigma \in B$  produces a space  $X_1$  and an extension  $f_1: X_1 \rightarrow B$  of  $f$ . If the neighborhood  $U_\sigma$  of each  $\sigma \in B$  is sufficiently small,  $f_1$  is  $UV^0(\mu/3)$ : For each simplex  $\sigma$  in  $B$ , choose a neighborhood  $V_\sigma$  of  $\sigma$  lying in  $U$  so that  $f^{-1}(V_\sigma)$  meets only components of  $f^{-1}(U_\sigma)$  which meet  $f^{-1}(\sigma)$ . A path in  $B$  can be broken into finitely many segments, each lying in one of these sets  $V_\sigma$ . It suffices to  $\mu'$ -lift one such segment relative to given lifts on the ends. But this is easily accomplished using the 1-cells of  $X_1$ .

Let  $C$  be a 1-cell in  $\mathcal{C}\ell(X_1 - X)$ . Since  $f: X \rightarrow B$  is  $UV^0(\delta)$ ,  $f_1|_C$  has a  $\delta$ -lift to  $X$ , which we may assume is an embedding into  $X - Z$ . Call the image arc  $A$ . Attach a 2-cell  $D$  to  $X_1$  by identifying its boundary with  $A \cup C$ . Call the result  $X_2$ , and use the  $\delta$ -homotopy from  $f_1(C)$  to  $A$  to extend  $f_1$  to  $f_2: X_2 \rightarrow B$ . Unfortunately, the map  $f_2$  is no longer  $UV^0(\mu/3)$ , since all we know about the image of  $D$  is that it has size  $\delta$  in  $B$ .

We remedy this as follows. Parameterize  $D$  as the quotient of  $A \times I$  with the intervals over  $\partial A$  identified to points, and identify  $A$  with  $A \times 0$  and  $C$  with  $A \times 1$ . Let  $A_0$  be a finite subset of  $A$  such that every point of  $D$  is within  $\mu/3$  (measured in  $B$ ) of a point of  $A_0 \times I \subseteq D$ . Let  $y$  be a point of  $A_0$ , let  $\beta = y \times I \subseteq D$ , and let  $x = y \times 1 \in C$ . Since  $f$  is surjective, there is a point  $x'$  in  $X$  such that  $f_2(x) = f(x')$ . By changing  $f$  by a small homotopy, if necessary, we can assume  $x' \notin Z$ . Since  $f_1$  is  $UV^0(\mu/3)$ , there is a path  $\beta'$  in  $X_1 - Z$  connecting  $y$  to  $x'$  such that  $f_2 \circ \beta$  is  $(\mu/3)$ -homotopic to  $f_1 \circ \beta'$  (rel  $\{x, y\}$ ). We have a map from  $\beta$  to  $\beta'$  sending  $x$  to  $x'$  and  $y$  to  $y$ , so we can attach its mapping cylinder (rel  $y$ ) to  $X_2$ . We can extend the map  $f_2$  to this mapping cylinder, using the  $(\mu/3)$ -homotopy above, so that mapping cylinder fibers have size  $< \mu/3$  in  $B$ . Thus, all points on the new 2-cell are  $(\mu/3)$ -close to  $X$ , as well. Performing this construction for all  $y \in A_0$  produces a relative 2-complex  $X_3$ , and a map  $f_3: X_3 \rightarrow B$ , which, by Lemma 1, is  $UV^0(\mu)$ .  $X_3$   $\delta$ -collapses to  $X_2$ , which, in turn,  $\delta$ -collapses to  $X_1 - \text{int}C$ .

Repeat this construction for each 1-cell,  $C \subseteq \mathcal{C}\ell(X_1 - X)$ , making sure that the corresponding family of attaching arcs is mutually exclusive in  $X$ . The resulting space  $\bar{X}$   $2\delta$ -collapses to  $X$  and admits an  $UV^0(\mu)$ -map  $\bar{f}: \bar{X} \rightarrow B$ .  $\square$

The figure below illustrates a single 2-cell attached to  $X_2$  and a single point  $y \in A_0$ . The placement of the path  $\beta'$  is misleading, however, since it can wind about the other 1-cells we attached to  $X$  when we formed  $X_1$ .



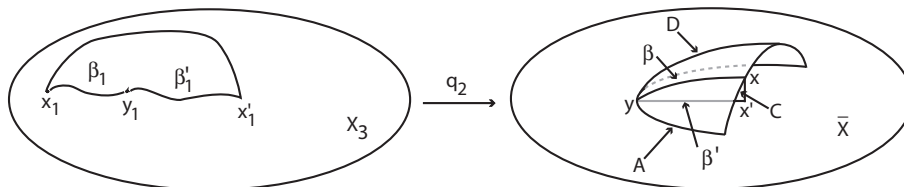
The following proposition provides the key to proving Theorem 7 for the case  $k = 0$ .

**Proposition 8.** *Suppose  $f: X \rightarrow B$  is  $UV^0(\epsilon)$ , and  $\mu > 0$ . Then  $f$  is  $10\epsilon$ -homotopic, rel  $f|Z$ , to an  $UV^0(\mu)$ -map.*

*Proof.* Suppose  $X$  and  $B$  are given as in the hypothesis, and suppose  $\mu > 0$ . By Proposition 5, we can get a  $2\epsilon$ -homotopy of  $f$  to a surjection. By Lemma 2 the resulting map, which we shall still call  $f$ , is  $UV^0(5\epsilon)$ . Set  $\delta = 5\epsilon$ .

Proceed as in the proof of Proposition 7. Obtain  $X_1 \subseteq X_2$  from  $X$  by attaching 1-cells to  $X - Z$  to get  $X_1$  and 2-cells to  $X_1 - Z$  to get  $X_2$ , together with extensions  $f_1 \subset f_2$  of  $f: X \rightarrow B$  to  $X_1$  and  $X_2$ , respectively. These were constructed so that  $f_1$  is  $UV^0(\mu')$  and  $f_2$  is  $UV^0(\delta)$ , where  $\mu' > 0$  will be determined later. We may assume that the arcs in  $X$  along which the 2-cells are attached to form  $X_2$  are mutually exclusive.

Enclose the attaching arcs in neighborhoods whose closures are mutually exclusive and miss  $Z$ . Let  $D$  be a 2-cell of  $X_2 - X_1$  attached to  $X$  along an arc  $A$ . (The complementary arc  $C \subseteq \partial D$  was added when  $X_1$  was constructed.) The arc  $\beta \subseteq D$  and path  $\beta' \subseteq X_1$  from points  $x \in C$  and  $x' \in X$ , respectively, to a point  $y$  in  $A$ , were chosen so that  $f_2(x) = f(x')$  and  $f_2|_\beta$  and  $f_1|_{\beta'}$  are  $\mu'$ -homotopic in  $B$ .



For a given  $\eta_2 > 0$  Proposition 6 provides us with a homotopy  $h: X \times I \rightarrow X_2$  of the inclusion  $\iota: X \rightarrow X_2$  to an  $UV^0(\eta_2)$ -map  $q_2: X \rightarrow X_2$  over  $X_2$  such that  $h$  is fixed at the identity on the complement of the union of the neighborhoods of the attaching arcs and  $h$  composed with the collapse  $X_2 \searrow X$  is an  $\eta_2$ -homotopy on  $X$ . In particular,  $h$  is fixed on  $Z$ . Let  $y_1, x_1, x'_1$  be points of  $X$  that map to  $y, x, x'$ , respectively. Then there are arcs  $\beta_1$  and  $\beta'_1$  in  $X - Z$  joining  $y_1$  to  $x_1$  and  $y_1$  to  $x'_1$ , respectively, such that  $q_2(\beta_1)$  and  $q_2(\beta'_1)$  are  $\eta_2$ -homotopic to  $\beta$  and  $\beta'$ , respectively. We may assume that  $\beta_1$  and  $\beta'_1$  are embedded and

that  $\beta_1 \cap \beta'_1 = y_1$ . We may also assume that the collection of all the arcs  $\beta_1 \cup \beta'_1$  is mutually exclusive.

It is possible to arrange it so that  $q_2(\beta_1) = \beta$  and  $q_2(\beta'_1) = \beta'$  at the expense of ending up with a map  $q_2$  that is  $UV^0(6\eta_2)$  over  $X_2$ : Given  $\beta_1 \cup \beta'_1$  in  $X$ , let  $X'$  be the space obtained by attaching  $(\beta_1 \cup \beta'_1) \times I$  to  $X$  so that  $(\beta_1 \cup \beta'_1) \times 0$  is identified with  $(\beta_1 \cup \beta'_1)$  and the intervals over the endpoints of  $\beta_1$  and  $\beta'_1$  are identified to points. Construct a map  $X' \rightarrow X_2$  extending  $q_2$  using the  $\eta_2$ -homotopy from  $q_2(\beta_1 \cup \beta'_1)$  to  $\beta \cup \beta'$ , rel the endpoints of  $\beta$  and  $\beta'$ . Then, by Lemma 1, this map is  $UV^0(3\eta_2)$  over  $X_2$ . By Lemma 3 and Proposition 6, we can find a map from  $X$  to  $X'$  so that the composition  $X \rightarrow X' \rightarrow X_2$  is  $UV^0(6\eta_2)$  over  $X_2$ . Thus, after rescaling, we may assume that  $q_2$  is  $UV^0(\eta_2)$  over  $X_2$ ,  $q_2(\beta_1) = \beta$ , and  $q_2(\beta'_1) = \beta'$ .

In Proposition 7 this construction is performed a finite number of times for each of the 2-cells added to  $X$  to form  $X_2$ . Since the collection of arcs  $\beta_1 \cup \beta'_1$  is mutually exclusive, we can perform this construction for all of the arcs simultaneously; hence, we can assume that we have an  $UV^0(\eta_2)$ -map  $q_2: X \rightarrow X_2$  over  $X_2$  that works as above for all of the  $(\beta, \beta')$  arc-path pairs.

The next step in the proof of Proposition 7 was to add mapping cylinders of the maps  $\beta \rightarrow \beta'$  (rel  $y$ ) to  $X_2$ . The ENR  $\bar{X}$  is obtained from  $X_2$  by attaching 2-cells (the mapping cylinders) along the family of arcs  $\beta \cup \beta'$ . We also obtain an extension  $\bar{f}: \bar{X} \rightarrow B$  of  $f_2$  that is  $UV^0(\mu')$  and  $\delta$ -homotopic to the collapse from  $\bar{X}$  to  $X_2$  composed with  $f_2$ .

Form the space  $X_3$  by attaching 2-cells to  $X$  along the arcs  $\beta_1 \cup \beta'_1$ , and get an  $UV^0(\eta_2)$ -map  $q': X_3 \rightarrow \bar{X}$  over  $\bar{X}$  by combining  $q_2: X \rightarrow X_2$  with a map between corresponding attaching 2-cells that realizes the mapping cylinder identification. That is, the 2-cell attached along  $\beta_1 \cup \beta'_1$  should be thought of as the product  $\beta_1 \times I$ , with  $\beta_1 \times 0$  identified with  $\beta_1$ ,  $\beta_1 \times 1$  identified with  $\beta'_1$ , and  $y_1 \times I$  identified to the point  $y_1$ . Given an  $\eta_3 > 0$  apply Proposition 6 to get an  $UV^0(\eta_3)$ -map  $q_3: X \rightarrow X_3$  over  $X_3$ , along with accompanying homotopies.

Lemma 3 tells us that we can choose  $\mu'$ ,  $\eta_2$ , and  $\eta_3$  sequentially so that, after performing the constructions above, the composition

$$X \xrightarrow{q_3} X_3 \xrightarrow{q'} \bar{X} \xrightarrow{\bar{f}} B$$

is  $UV^0(\mu)$ . During this process,  $f$  has undergone two  $\delta$ - or one  $10\epsilon$ -homotopy, and each of these homotopies can be chosen to be fixed on  $Z$ .  $\square$

*Proof of Theorem 7 in the case  $k = 0$ .*

To get a  $UV^0$ -map from an  $UV^0(\epsilon)$ -map, simply apply Proposition 8 inductively to get a sequence of homotopies of maps from  $X$  to  $B$ , which start with  $f$  and converge to a homotopy of  $f$  to a map that is  $UV^0(\delta)$  for every  $\delta > 0$  and is fixed on  $Z$ . We may make the positive number  $\mu$  in Proposition 8 small enough so that the homotopy from the  $UV^0(\mu)$ -map to a  $UV^0$ -map has size  $< \epsilon$ ; hence,  $f$  is  $11\epsilon$ -homotopic to a  $UV^0$ -map, rel  $f|Z$ .  $\square$

4. UV<sup>k</sup>, k ≥ 1

Throughout this section we will assume that  $X$  is a compact ENR with the DDP<sup>k+1</sup>,  $k \geq 1$ ,  $Z$  is a compact, LCC<sup>k</sup> subset of  $X$ , and  $B$  is a finite complex. To proceed, we need the following finite generation lemma.

**Lemma 4.** *Suppose  $f: X \rightarrow B$  is UV<sup>k-1</sup>, where  $k \geq 1$ . Given  $\mu > 0$ , we can attach finitely many  $(k + 1)$ -cells to  $X - Z$  along their boundaries to obtain an ENR  $X_1$  and an extension of  $f$  to an UV<sup>k</sup>( $\mu$ )-map  $f_1: X_1 \rightarrow B$ .*

*Proof.* Triangulate  $B$  so that each vertex star  $U$  has diameter  $\ll \mu$ . Given  $\alpha: I^{k+1} \rightarrow B$  with a lift  $\alpha_0: \partial I^{k+1} \rightarrow X$ , choose a subdivision of  $I^{k+1}$  so that the image of each simplex lies in a vertex star of the triangulation of  $B$ . Since  $f$  is UV<sup>k-1</sup>, we can lift the  $k$ -skeleton of this subdivision and assume the lifts to be embeddings into  $X - Z$ . Attaching  $(k + 1)$ -cells to allow us to extend the lift over the  $(k + 1)$ -skeleton would produce the desired UV<sup>k</sup>( $\mu$ )-map, so we would like to know that  $\pi_k(f^{-1}(U))$  is either

1. normally generated by finitely many elements, if  $k = 1$ , or
2. finitely generated as a  $\pi_1$ -module, if  $k > 1$ ,

for each such  $U$ . This is not necessarily true, but, since  $X$  is an ENR, it is true that  $\text{im}(\pi_k(f^{-1}(U)) \rightarrow \pi_k(f^{-1}(V)))$  is finitely generated (in the appropriate sense) whenever  $V$  is an open set such that  $V \supset \mathcal{C}l(U) \supset U$ . Choosing a finite set of generators for each such image and attaching  $(k + 1)$ -cells to kill the images completes the construction.  $\square$

The next result is the analogue of Proposition 7 for  $k \geq 1$ .

**Proposition 9.** *Suppose  $f: X \rightarrow B$  is UV<sup>k-1</sup> and UV<sup>k</sup>( $\delta$ ). For every  $\mu > 0$  there exists an ENR  $\bar{X}$  obtained by adding cells of dimension  $\leq k + 2$  to  $X - Z$  and an extension  $\bar{f}: \bar{X} \rightarrow B$  so that  $\bar{f}$  is UV<sup>k</sup>( $\mu$ ) and  $\bar{X}$   $2\delta$ -collapses to  $X$ .*

*Proof.* Since  $f$  is UV<sup>k-1</sup>, Lemma 4 ensures that we can attach finitely many  $(k + 1)$ -cells to  $X$  along their boundaries, forming  $X_1$ , and extend  $f$  to  $f_1: X_1 \rightarrow B$  so that  $f_1$  is UV<sup>k</sup>( $\mu'$ ), where  $0 < \mu' \ll \mu$ . By Proposition 1, we may assume the attaching spheres are LCC<sup>k</sup> embedded and mutually exclusive in  $X - Z$ . Let  $C$  be one such  $(k + 1)$ -cell. Since  $f$  is UV<sup>k</sup>( $\delta$ ),  $f_1|C$  has a  $\delta$ -lift to  $X$ , rel  $\partial$ , which we may assume to be an LCC<sup>k</sup> embedding into  $X - Z$ . Call the image  $A$ . Attach a  $(k + 2)$ -cell  $D$  to  $X_1$  along  $A \cup C$ , obtaining  $X_2$ . The  $\delta$ -homotopy of  $f|A$  to  $f_1|C$ , rel  $f|\partial A (= \partial C)$ , gives us an extension of  $f_1$  to  $f_2: X_2 \rightarrow B$  so that  $f_2(D)$  has size  $\delta$  in  $B$ .

Unfortunately,  $f_2$  is only UV<sup>k</sup>( $\delta$ ). We modify the proof of Proposition 7 so that we can recover property UV<sup>k</sup>( $\mu'$ ).

Use the  $\delta$ -homotopy of  $f|A$  to  $f_1|C$ , rel  $f|\partial A$ , to parameterize  $D$  as the quotient of  $A \times I$  with the intervals in  $\partial A \times I$  identified to points. Here,  $A$  is identified with  $A \times 0$  and  $C$  is identified with  $A \times 1$ . Suppose  $0 < \eta \ll \mu'$ . Introduce the following notation:

- $J$  is the  $k$ -skeleton of a fine triangulation of  $A$ ,
- $K \subseteq J$  is the  $(k - 1)$ -skeleton of  $A$ ,
- $R = J \times [0, 1] \subseteq D$ ,
- $S = K \times [0, 1] \subseteq R \subseteq D$ ,

- $L = S \cup (J \times \{0, 1\}) \subseteq R \subseteq D$ .

Choose the triangulation of  $A$  fine enough so that if  $P$  is an  $i$ -dimensional polyhedron,  $0 \leq i \leq k$ , then any map of  $P$  into  $D$  can be  $\eta$ -homotoped into  $R$  (over  $B$ ).

By the inductive hypothesis we can  $\eta'$ -lift the map  $f_2|L$  to  $X$  (rel  $f_2|L \cap A$ ), for any preassigned  $\eta' > 0$ . This gives a map  $\alpha_0: L \rightarrow X$ , which is the identity on  $L \cap A$ , and which we may assume results in an  $LCC^k$  embedding of  $L \cup A$  into  $X - Z$  (Proposition 1). Let  $L'$  be the image of this map. Since  $\eta'$  can be made arbitrarily small, we may use the estimated homotopy extension theorem to deform  $f_2|D$  (rel  $f_2|A$ ) slightly so that this lift is exact. Thus, we also have a map of the mapping cylinder  $M$  of the map  $\alpha_0: L \rightarrow L'$  (rel  $J \times 0$ ) into  $B$  with mapping cylinder fibers projecting to points in  $B$ . Attach  $M$  to  $X_2$  along  $L \cup L'$  to get  $X'_2$  and an extension  $f'_2: X'_2 \rightarrow B$  that sends mapping cylinder fibers of  $M$  to points. Observe that if  $M'$  is the portion of this mapping cylinder under  $S$ , then  $X_2 \cup M'$   $\delta$ -collapses to  $X_2$ .

We now have a map  $\alpha = f_2|R: (R, L) \rightarrow B$  and a lift  $\alpha_0$  of  $\alpha|L$  to  $X - Z$ . Thus, there is a  $\mu'$ -lift  $\bar{\alpha}: R \rightarrow X_1 - Z$ , and the  $\mu'$ -homotopy between  $f_1 \circ \bar{\alpha}$  and  $\alpha$  is fixed on  $L$ . This  $\mu'$ -homotopy provides an extension of  $f'_2$  to the mapping cylinder  $M_1 \supseteq M$  (rel  $R \cap A$ ) of  $\bar{\alpha}$  so that mapping cylinder fibers have size  $\mu'$  in  $B$ . Attach this mapping cylinder to  $X'_2$  along  $M \cup R \cup \bar{\alpha}(R)$  to get  $\bar{X}$ , which  $\delta$ -collapses to  $X_2$ , and extend  $f'_2$  to  $\bar{f}: \bar{X} \rightarrow B$ .

The result of this construction is to produce a relative  $(k + 2)$ -complex  $(\bar{X}, X)$ , which  $2\delta$ -collapses to  $X$ , such that every map of a  $k$ -dimensional polyhedron into  $\bar{X}$  can be  $(\eta + \mu')$ -homotoped into  $X$  (over  $B$ ). Lemma 1 guarantees that, if  $\eta$  and  $\mu'$  are sufficiently small, then  $\bar{f}$  is  $UV^k(\mu)$ .

One should observe that, although  $\bar{f}$  is  $UV^{k-1}$  on  $X$ , it is *not*  $UV^{k-1}$  on  $\bar{X}$ . □

Here is the key proposition for the proof of Theorem 7 when  $k \geq 1$ .

**Proposition 10.** *Suppose  $f: X \rightarrow B$  is  $UV^k(\epsilon)$ . Then there is a constant  $D(k)$ , depending only on  $k$ , such that, for every  $\mu > 0$ ,  $f$  is  $(D(k) \cdot \epsilon)$ -homotopic, rel  $f|Z$ , to an  $UV^k(\mu)$ -map. Moreover, the constants  $D(k)$ ,  $k \geq 0$ , are related to the constants  $C(k)$ ,  $k \geq 0$ , of Theorem 7 by the formula  $D(k) = 2(2C(k-1) + 1)$ .*

*Proof of Theorem 7 for  $k \geq 1$  assuming Proposition 10.* Suppose  $f: X \rightarrow B$  is  $UV^k(\epsilon)$ . Given arbitrary  $\mu > 0$ , Proposition 10 assures us that there is a  $(2(2C(k-1) + 1))$ -homotopy of  $f$ , rel  $f|Z$ , to an  $UV^k(\mu)$ -map. If  $\mu$  is sufficiently small, we can repeat this process to get an  $\epsilon$ -homotopy, rel  $Z$ , of the resulting map to one that is  $UV^k(\eta)$  for every  $\eta > 0$ , hence,  $UV^k$  by Theorem 6. Thus,  $C(k) = 4C(k-1) + 3$ . Since  $C(-1) = 2$  (Proposition 5), we get the explicit formula  $C(k) = 3 \cdot 4^{k+1} - 1$ . □

*Proof of Proposition 10.* We use induction on  $k$ , the case  $k = 0$  having already been established. The proof of the inductive step follows closely the proof for the case  $k = 0$ . We will assume Theorem 7 in dimensions  $< k$ . Keep in mind throughout that, unless otherwise indicated, all measurements are made in  $B$ .



Assume that  $k \geq 1$  and  $f: X \rightarrow B$  is  $UV^k(\epsilon)$  for some  $\epsilon > 0$ . Assume, inductively, that  $f$  is  $(C(k-1) \cdot \epsilon)$ -homotopic, rel  $f|_Z$ , to a  $UV^{k-1}$ -map, which we shall still call  $f$ . Then, by Lemma 3 the “new”  $f$  is now  $UV^k((2C(k-1) + 1)\epsilon)$ . Set  $\delta = (2C(k-1) + 1)\epsilon$ .

As in the proof of Proposition 9 build a relative  $(k+2)$ -complex  $(\bar{X}, X)$ , which  $2\delta$ -collapses to  $X$  and on which the map  $f$  extends to an  $UV^k(\mu)$ -map  $\bar{f}: \bar{X} \rightarrow B$  for a given  $\mu > 0$ . As in the proof for  $k = 0$  we need to retrace the steps in the construction of  $\bar{X}$ .

We start by constructing  $X_1 \subseteq X_2$  from  $X$  by attaching  $(k+1)$ -cells to  $X - Z$  to get  $X_1$  and  $(k+2)$ -cells to  $X_1 - Z$  to get  $X_2$ . These relative complexes come with extensions  $f_1 \subset f_2$  of  $f: X \rightarrow B$  to  $X_1$  and  $X_2$ , respectively, such that  $f_1$  is  $UV^k(\mu')$  and  $f_2$  is  $UV^k(\delta)$ , where  $0 < \mu' \ll \mu$ , and  $X_2$   $\delta$ -collapses to  $X$ . Each  $(k+2)$ -cell  $D$  is attached to  $X_1$  along  $\partial D = A \cup C$ , where  $C$  is a  $(k+1)$ -cell attached to  $X$  while forming  $X_1$ , and  $A \subseteq X$  is the complementary  $(k+1)$ -cell in  $\partial D$ . We may assume, by Proposition 1, that the collection of cells  $A$  is mutually exclusive and lies in  $X - Z$ .

In each  $(k+2)$ -cell  $D$  attached to  $X$  (along a  $(k+1)$ -cell  $A$  in its boundary) we identify a  $(k+1)$ -complex  $R = J \times [0, 1]$ , where  $J$  is the  $k$ -skeleton of a fine triangulation of  $A$ . The next step is to attach the mapping cylinder  $M$  of a map  $R \rightarrow R' \subseteq X_1 \subseteq X_2$  (rel  $R \cap A$ ) to  $X_2$ , and, after doing this for each  $(k+2)$ -cell  $D$ , we obtain the space  $\bar{X} \supseteq X_2$  and an extension of  $f_2$  to  $\bar{f}: \bar{X} \rightarrow B$  that is  $UV^k(\mu')$ . The space  $\bar{X}$   $2\delta$ -collapses to  $X$ : the first  $\delta$ -collapse comes from the collapses  $M \searrow (R \cup R')$  of the relative mapping cylinders, and the second comes from the collapses  $D \searrow A$ .

For a given  $\eta_2 > 0$  apply Proposition 6 to get a map  $q_2: X \rightarrow X_2$  that is  $UV^k(\eta_2)$  over  $X_2$  and equal to the identity on  $Z$ . We can  $\eta_2$ -lift each of the complexes  $R \cup R'$  to  $R_1 \cup R'_1 \subseteq X - Z$  and assume by Proposition 1 that each of  $R_1$  and  $R'_1$  is homeomorphic to  $R$ , that each  $R_1 \cup R'_1$  is embedded, and that the collection of all such lifts is mutually exclusive. By an argument similar to the one in the proof for  $k = 0$ , we may assume that the lifts are exact. Thus, for each complex  $R_1 \cup R'_1$ , there is a homeomorphism  $r: R_1 \rightarrow R'_1$ , which is the identity on  $R_1 \cap R'_1$ , that commutes with  $q_2$ . For each  $(R_1, R'_1)$ -pair attach the mapping cylinder  $M_1$  of  $r$  to  $X$  forming  $X_3$ , and extend the map  $q_2: X \rightarrow X_2$  to a map  $q': X_3 \rightarrow \bar{X}$ , which is  $UV^k(\eta_2)$  over  $\bar{X}$  and the identity on  $Z$ , using the mapping cylinder identifications  $M_1 \rightarrow M$ .

For a given  $\eta_3$  apply Proposition 6 again to get an  $UV^k(\eta_3)$ -map  $q_3: X \rightarrow X_3$  over  $X_3$ , which is the identity on  $Z$ . Lemma 3 tells us that we can choose  $\mu'$ ,  $\eta_2$ , and  $\eta_3$  sequentially so that, after performing the constructions above, the composition

$$X \xrightarrow{q_3} X_3 \xrightarrow{q'} \bar{X} \xrightarrow{\bar{f}} B$$

is  $UV^k(\mu)$  over  $B$ .

During this process  $f$  has undergone two  $\delta$ -homotopies (each of which fixed  $Z$ ) so that  $D(k) = 2(2C(k-1) + 1)$ . Although the resulting map is  $UV^k(\mu)$ , it may no longer be  $UV^i$  for any  $i = 0, \dots, k$ .  $\square$

## 5. PROOF OF THEOREM 2

We now show how to alter the proof of Theorem 7 to prove Theorem 2. The key is in establishing an analogous simple homotopy version corresponding to Propositions 7 and 9. We maintain our basic assumption that  $X$  is a compact  $ENR$  with the  $DDP^{k+1}$  and  $B$  is a finite complex.

**Proposition 11.** *Suppose  $Y$  is a metric space,  $p: B \rightarrow Y$  is a map,  $k \geq 0$ , and  $f: X \rightarrow B$  is a  $UV^{k-1}$ - and an  $UV^k(\delta)$ -map over  $Y$  for some  $\delta > 0$  and  $Z$  is a compact,  $LCC^k$  subset of  $X$ . Then for every  $\mu > 0$ , there is an  $ENR$   $\bar{X}$  obtained by adding cells of dimension  $\leq k+2$  to  $X - Z$  and an extension  $\bar{f}: \bar{X} \rightarrow B$  so that  $\bar{f}$  is  $UV^k(\mu)$  over  $B$  and  $\bar{X}$   $2\delta$ -collapses to  $X$  over  $Y$ .*

*Proof.* Since  $f$  is  $UV^{k-1}$ , we can attach finitely many  $(k+1)$ -cells to  $X - Z$  along their boundaries, forming  $X_1$ , and extend  $f$  to  $f_1: X_1 \rightarrow B$  so that  $f_1$  is  $UV^k(\mu')$  (over  $B$ ), where  $0 < \mu' \ll \mu$  (Lemma 4). Let  $C$  be one such  $(k+1)$ -cell. Since  $f$  is  $UV^k(\delta)$  over  $Y$ , the map  $f_1|_C: C \rightarrow B$  has a  $\delta$ -lift  $g: C \rightarrow X$  (over  $Y$ ),  $\text{rel } g|\partial C$ . Let  $A = g(C)$ , and assume, by Proposition 1, that  $A$  is  $LCC^{k-1}$  embedded in  $X - Z$ . Using the  $\delta$ -homotopy of  $f_1|_C$  to  $f \circ g$  (over  $Y$ ), we may attach the mapping cylinder  $D$  of  $g$ ,  $\text{rel } \partial C$ , to  $X_1$  and extend  $f_1$  to  $X_1 \cup D$ . Then  $X_1 \cup D$   $\delta$ -collapses to  $X$  over  $Y$ .

The rest of the proof now follows as in the proofs of Propositions 7 and 9. As in the proofs of these two propositions, the map  $f_2$  is no longer  $UV^k(\mu')$  over  $B$ . The construction that remedies this defect, however, is exactly the same.  $\square$

*Proof of Theorem 2.* After constructing  $\bar{X}$  using Proposition 11, we can apply Proposition 6 to get a homotopy of  $f$ , fixing  $Z$  and controlled over  $Y$ , to map that is  $UV^k(\mu)$ -map over  $B$  and over  $Y$ , for some preassigned  $\mu > 0$ . The resulting map satisfies the hypotheses of Theorem 7, which takes over to complete the proof. We need only ensure that subsequent homotopies are small enough in  $B$  so that their sizes add up to  $< \epsilon$  in  $Y$ .  $\square$

6. PSEUDOISOTOPING CODIMENSION-1 SUBMANIFOLDS TO  $UV^k$ -MAPS

In this section we establish a theorem in the spirit of early results of Keldysh [15] and Cernavskii [8]. We start with the following observation.

**Proposition 12.** *Suppose  $M$  is a compact topological  $(n+1)$ -manifold,  $N$  is a locally flat  $n$ -dimensional closed submanifold, separating  $M$  into submanifolds  $M_1$  and  $M_2$ , such that the inclusion  $N \subseteq M_i$ ,  $i = 1, 2$ , is  $UV^k(\mu)$ , for some  $\mu > 0$ . Then the inclusion  $N \subseteq M$  is  $UV^k(\mu)$ .*

*Proof.* The proof of the proposition is fairly straightforward. Given a map  $\alpha: (P, Q) \rightarrow (M, N)$ , where  $P$  is a polyhedron of dimension  $\leq k+1$ , deform  $\alpha$  slightly, keeping  $\alpha|_Q$  fixed, so that  $\alpha$  sends a small regular neighborhood  $W$  of  $Q$  in  $P$  into  $N$  as in the proof of Lemma 1. Set  $P_0 = P - \text{int}W$  and  $Q_0 = \text{bd}W$ , and assume  $\alpha|_{P_0}: (P_0, Q_0) \rightarrow (M, N)$  are tame embeddings. By a further adjustment of  $\alpha$ , keeping  $\alpha|_{Q_0}$  fixed, we may assume that

$P_i = \alpha^{-1}(M_i)$ ,  $i = 1, 2$ , is a subpolyhedron of  $P_0$ . Now apply the  $UV^k(\mu)$  assumptions on the inclusions  $N \subseteq M_i$ ,  $i = 1, 2$ , to the separate pieces of  $P_0$ .  $\square$

**Theorem 8.** *Suppose  $M$  is a compact topological  $(n + 1)$ -manifold and  $N$  is a locally flat, closed  $n$ -dimensional submanifold separating  $M$  into submanifolds  $M_1$  and  $M_2$  such that each inclusion  $N \subseteq M_i$ ,  $i = 1, 2$ , is  $UV^k(\epsilon)$ , for some  $\epsilon > 0$  and  $k$ ,  $2k + 3 \leq n$ . Then there is constant  $D(k) > 0$ , depending only on  $k$ , such that, for every  $\mu > 0$ , there is an ambient  $(D(k) \cdot \epsilon)$ -isotopy on  $M$ , supported in an arbitrarily preassigned neighborhood of a  $(k + 2)$ -dimensional polyhedron, to a homeomorphism  $h: M \rightarrow M$  such that each of the inclusions  $h(N) \subseteq M_i$ ,  $i = 1, 2$ , is  $UV^k(\mu)$ . Thus, there is a constant  $C(k)$ , depending only on  $k$ , such that the inclusion  $N \subseteq M$  is ambient  $(C(k) \cdot \epsilon)$ -pseudoisotopic to a  $UV^k$ -map.*

Moreover, if  $W \subseteq N$  is a compact,  $(n - 1)$ -dimensional, locally flat submanifold of  $N$ , separating  $N$  into submanifolds  $N_1$  and  $N_2$ , such that each of the inclusions  $N_j \subseteq M_i$ ,  $i, j = 1, 2$ , is  $UV^k(\epsilon)$ , for some  $\epsilon > 0$  and  $k$ ,  $2k + 3 \leq n$ , then the isotopies can be chosen to be fixed on  $\partial W$ .

By an **ambient pseudoisotopy** on  $M$  we mean a level-preserving map  $H: M \times I \rightarrow M \times I$  such that  $H_0 = \text{id}_M$  and  $H|M \times [0, 1): M \times [0, 1) \rightarrow M \times [0, 1)$  is a homeomorphism.

Suppose  $N$  is a locally flat  $n$ -dimensional submanifold of a topological  $(n + 1)$ -manifold  $M$ . Suppose  $g: I^k \rightarrow M_1$  is a locally flat embedding of the  $k$ -cell into  $M$  such that  $A = g(I^{k-1} \times 0) = g(I^k) \cap N$  is a locally flat  $(k - 1)$ -cell in  $N$ . Let  $E \subseteq N$  be a locally flat  $n$ -cell in  $N$  containing  $A$  as a properly embedded  $(k - 1)$ -cell. The embedding  $g$  extends to a locally flat embedding, which we shall still call  $g: E \times I \rightarrow M$ , such that  $g(E \times 0) = E$ . Using a local collar structure of  $N$  in  $M$  in a neighborhood of  $E$ , one can find an ambient isotopy  $H$  on  $M$ , fixed outside any preassigned neighborhood of  $g(E \times I)$  and on  $N - \text{int}E$  taking  $N$  to  $(N - \text{int}E) \cup g(E)$ . Moreover,  $H|N$  can be made arbitrarily small with respect to the projection  $N \cup E \times I \rightarrow N$ . We will refer to the isotopy  $H$  as a  **$k$ -shelling**. The discussion following Lemma 3 shows that there is a  $UV^{n-k-2}$ -map  $h: (N - \text{int}E) \cup g(E) \rightarrow N \cup g(I^k)$ .

If  $g_j: I^k \rightarrow M$ ,  $j = 1, \dots, r$ , is a finite collection of mutually exclusive such embeddings, and the associated shellings have mutually exclusive supports, then they can be done simultaneously, and the resulting ambient isotopy  $H$  on  $M$  will be called a **multi- $k$ -shelling**.

*Proof of Theorem 8.* Proceed inductively following the proof of Theorem 2. Suppose  $N \subseteq M$ ,  $M_1$ , and  $M_2$ , are given as in the statement of the theorem with the inclusion  $N \subseteq M_i$   $UV^k(\epsilon)$ ,  $i = 1, 2$ , for some  $\epsilon > 0$ ,  $2k + 3 \leq n$ . Assume  $G: M \times I \rightarrow M \times I$  is an ambient  $(C(k - 1) \cdot \epsilon)$ -pseudoisotopy on  $M$  such that  $g = G_1|N: N \rightarrow M$  is  $UV^{k-1}$  and, for  $0 \leq t < 1$ , each of the inclusions  $G_t(N) \subseteq M_i$ ,  $i = 1, 2$ , is  $UV^{k-1}(\epsilon_t)$ , where  $\epsilon_t \rightarrow 0$  as  $t \rightarrow 1$ .

Given  $\mu > 0$ , apply Proposition 9 (or Proposition 7) to get an ENR  $N_i$ ,  $i = 1, 2$ , obtained by adding cells of dimension  $\leq k + 2$  to  $N$  and an extension  $g_i: N_i \rightarrow M_i$  of  $g$  so that  $g_i$  is  $UV^k(\mu)$  and  $N_i$   $2\epsilon$ -collapses to  $N$ . Since  $2k + 3 \leq n$ , we may assume the cells added to  $N$  to get  $N_1$  are disjoint from those added to get  $N_2$ .

By the estimated homotopy extension theorem there is a  $t$ ,  $0 \leq t < 1$ , such that, if  $g' = G_t|N$ , then  $g'$  can be extended to an  $UV^k(\mu)$ -map  $g'_i: N_i \rightarrow M_i$ ,  $i = 1, 2$ , as well. If  $2k + 3 < n$ , we can assume the maps  $g'_i: N_i \rightarrow M_i$  are embeddings. If  $2k + 3 = n$ , then we can use a standard ‘‘piping’’ construction to make each  $g'_i$  an embedding at the possible expense of doubling the size of the collapses  $N_i \searrow N$  over  $M$ . We can now appeal to Theorem 3.26 of [26] to get multi- $(k + 2)$ -shelling  $G'$  on  $M$  such that  $G'_1 G_t|N: N \rightarrow M$  is  $UV^k(\mu)$ . Controls needed to accomplish this as we expand along the cells in the collapse are the same as in the proof of Theorem 2. The only difference is that the constructions are performed inside of  $M$ .

Proposition 12 shows that the limit map  $N \rightarrow M$  is  $UV^k$ .

The ‘moreover’ part of the theorem is easily established using the methods above.  $\square$

The following is a corollary to the proof of Theorem 8.

**Theorem 9.** *Suppose  $X$  is a compact ENR and  $Y \subseteq X$  is a closed subset such that  $X - Y$  is an open topological  $(n + 1)$ -manifold and  $Y$  is  $LCC^{k+1}$  in  $X$ . Suppose  $N \subseteq X - Y$  is a locally flat, closed  $n$ -dimensional submanifold separating  $X$  into closed components  $X_1$  and  $X_2$  such that each inclusion  $N \subseteq X_i$ ,  $i = 1, 2$ , is  $UV^k(\epsilon)$ , for some  $\epsilon > 0$ , and  $2k + 3 \leq n$ . Then there is constant  $D(k) > 0$ , depending only on  $k$ , such that, for every  $\mu > 0$ , there is an ambient  $(D(k) \cdot \epsilon)$ -isotopy on  $X$ , supported in an arbitrarily preassigned neighborhood of a  $(k + 2)$ -dimensional polyhedron lying in  $X - Y$ , to a homeomorphism  $h: X \rightarrow X$  such that each of the inclusions  $h(N) \subseteq X_i$ ,  $i = 1, 2$ , is  $UV^k(\mu)$ . Thus, there is a constant  $C(k)$ , depending only on  $k$ , such that the inclusion  $N \subseteq X$  is ambient  $(C(k) \cdot \epsilon)$ -pseudoisotopic to a  $UV^k$ -map.*

*Moreover, if  $W \subseteq N$  is a compact,  $(n - 1)$ -dimensional, locally flat submanifold of  $N$ , separating  $N$  into submanifolds  $N_1$  and  $N_2$ , such that each of the inclusions  $N_j \subseteq X_i$ ,  $i, j = 1, 2$ , is  $UV^k(\epsilon)$ , for some  $\epsilon > 0$  and  $k$ ,  $2k + 3 \leq n$ , then the isotopies can be chosen to be fixed on  $\partial W$ .*

## 7. A FINAL OBSERVATION

Krupski has shown [16] that a homogeneous ANR of dimension  $\geq 3$  has the  $DDP^1$ . Recall that a space  $X$  is **homogeneous** if, given points  $x, y \in X$ , there is a homeomorphism of  $X$  onto itself taking  $x$  to  $y$ . Combining Krupski’s result with Theorem 2 we obtain

**Theorem 10.** *Suppose  $X$  is a compact, connected, homogeneous ANR of dimension  $\geq 3$ ,  $p: B \rightarrow Y$  is a map from an ANR  $B$  to a metric space  $Y$ , and  $f: X \rightarrow B$  is  $UV^0(\epsilon)$  over  $Y$  for some  $\epsilon > 0$ . Then  $f$  is  $11\epsilon$ -homotopic over  $Y$  to a  $UV^0$ -map.*

*In particular any map of  $X$  to a simply-connected ANR is homotopic to a map with non-empty connected point-inverses.*

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