#### ELEMENTARY TOPOLOGY

**Note:** This problem list was written primarily by Phil Bowers and John Bryant. It has been edited by a few others along the way.

**Definition**. A topology on a set X is a collection  $\mathcal{T}$  of subsets of X that satisfies the following three properties:

- (i)  $\emptyset \in \mathcal{T}$  and  $X \in \mathcal{T}$ ,
- (ii)  $\mathcal{T}$  is closed under finite intersections; that is, if  $U_1, \ldots, U_n \in \mathcal{T}$ , then

$$\bigcap \{U_i: 1 \le i \le n\} \in \mathcal{T},$$

(iii)  $\mathcal{T}$  is closed under arbitrary unions; that is, if  $U_{\gamma} \in \mathcal{T}$  for all  $\gamma \in \Gamma$ , then

$$\bigcup \{ U_{\gamma} : \gamma \in \Gamma \} \in \mathcal{T}.$$

The pair  $(X, \mathcal{T})$  is called a *topological space*, or a *space*. Elements of  $\mathcal{T}$  are called *open sets* in X (more precisely, open sets in  $(X, \mathcal{T})$  or open sets in the topology  $\mathcal{T}$ ).

Given a set X,  $\mathcal{T}_I = \{\emptyset, X\}$  is called the *indiscrete topology* on X and  $\mathcal{T}_D = \mathcal{P}(X)$  (or  $2^X$ ), the set of all subsets of X, is called the *discrete topology* on X.

### PROBLEM LIST

- 1. Let X be a set and let  $\{A_{\gamma}: \gamma \in \Gamma\}$  be an indexed collection of subsets of X (that is,  $A_{\gamma} \subset X$  for every  $\gamma \in \Gamma$ ). Then
  - (i)  $X \bigcap_{\gamma \in \Gamma} A_{\gamma} = \bigcup_{\gamma \in \Gamma} (X A_{\gamma}).$
  - (ii)  $X \bigcup_{\gamma \in \Gamma} A_{\gamma} = \bigcap_{\gamma \in \Gamma} (X A_{\gamma})$
- 2. Let  $\{A_1, A_2, A_3, \ldots\}$  be a countable collection of subsets of a set X. If each  $A_i$  is countable then  $\bigcup_{i=1}^{\infty} A_i$  is countable.
- 3. Let  $(X, \mathcal{T})$  be a topological space and let  $A \subset X$ . Suppose that for each  $x \in A$ , there is an open set U such that  $x \in U \subset A$ . Then A is open in X.
- 4. Let  $\mathcal{C}$  be any family of subsets of a set X. Then there is a unique, smallest topology  $\mathcal{T}(\mathcal{C})$  on X with  $\mathcal{C} \subset \mathcal{T}(\mathcal{C})$ .
- 5. Cofinite topology. Let X be any set and let  $\mathcal{T} = \{A \subset X : X A \text{ is finite or } A = \emptyset\}$ . Then  $\mathcal{T}$  is a topology on X.
- 6. Cocountable topology. Let X by any set and let  $\mathcal{T} = \{A \subset X: X A \text{ is countable or } A = \emptyset\}$ . Then  $\mathcal{T}$  is a topology on X.
- 7. Euclidean Topology on the real line. Let  $\mathbb{R}$  be the real line and let  $U \subset \mathbb{R}$  be open provided that for each  $x \in U$ , there is an  $\varepsilon > 0$  such that  $N_{\varepsilon}(x) = \{y \in \mathbb{R} : |x y| < \varepsilon\} \subset U$ . Show that this describes a topology on  $\mathbb{R}$ .

8. Euclidean Topology on n-dimensional euclidean space. Let  $\mathbb{R}^n$  consist of all ordered n-tuples of real numbers. Given

$$x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$$
, let  $||x|| = \left[\sum_{i=1}^n x_i^2\right]^{1/2}$ 

A subset  $U \subset \mathbb{R}^n$  is open provided that for each  $x \in U$ , there is an  $\varepsilon > 0$  such that  $N_{\varepsilon}(x) = \{y \in \mathbb{R}^n : ||x - y|| < \varepsilon\} \subset U$ . Show that this describes a topology on  $\mathbb{R}^n$ .

- 9. Let  $\mathcal{T}_X$  and  $\mathcal{T}_Y$  be topologies on X and Y, respectively. Is  $\mathcal{T} = \{A \times B : A \in \mathcal{T}_X, B \in \mathcal{T}_Y\}$  a topology on  $X \times Y$ ?
- 10.  $\mathcal{T}$  is the discrete topology on X iff (if, and only if) every point in X is an open set. [When no confusion arises, we make no distinction between x and  $\{x\}$ .]

**Definition.** Let  $(X, \mathcal{T})$  be a topological space. A subcollection  $\mathcal{B} \subset \mathcal{T}$  is a basis for  $\mathcal{T}$  if each open set is the union of members of  $\mathcal{B}$ .

- 11. Let  $(X, \mathcal{T})$  be a topological space. A family  $\mathcal{B} \subset \mathcal{T}$  is basis for  $\mathcal{T}$  iff, for each  $U \in \mathcal{T}$  and  $x \in U$ , there is a  $B \in \mathcal{B}$  with  $x \in B \subset U$ .
- 12. Let  $\mathcal{B} \subset \mathcal{T}$  be a basis for  $\mathcal{T}$ . A set  $U \subset X$  is open iff, for each  $x \in U$ , there is a  $B \in \mathcal{B}$  with  $x \in B \subset U$ .
- 13. Let  $\mathcal{B}$  be a family of subsets of a set X that forms a cover of X (i.e.,  $X = \bigcup \{B: B \in \mathcal{B}\}$ ) and suppose that for each pair  $B, B' \in \mathcal{B}$  and each  $x \in B \cap B'$ , there exists a  $B'' \in \mathcal{B}$  with  $x \in B'' \subset B \cap B'$ . Then  $\mathcal{B}$  is a basis for a unique topology  $\mathcal{T}(\mathcal{B})$  on X.

**Definition**. Two bases  $\mathcal{B}$  and  $\mathcal{B}'$  in X are equivalent if  $\mathcal{T}(\mathcal{B}) = \mathcal{T}(\mathcal{B}')$ .

- 14. Two bases  $\mathcal{B}, \mathcal{B}'$  in X are equivalent iff both of the following hold:
  - (i) for each  $B \in \mathcal{B}$  and  $x \in B$ , there is a  $B' \in \mathcal{B}'$  with  $x \in B' \subset B$ ,
  - (ii) for each  $B' \in \mathcal{B}'$  and  $x \in B'$ , there is a  $B \in \mathcal{B}$  with  $x \in B \subset B'$ .
- 15. Let  $\mathcal{T}$  be the euclidean topology on  $\mathbb{R}^2$ . Let

$$\mathcal{B}_1 = \{N_{\varepsilon}(x) \colon x \in \mathbb{R}^2 \text{ and } \varepsilon > 0\}$$

and

$$\mathcal{B}_2 = \{N_{\varepsilon}(x) : x \in \mathbb{R}^2, \ \varepsilon > 0, \text{ and } \varepsilon \text{ is rational}\}.$$

Then both  $\mathcal{B}_1$  and  $\mathcal{B}_2$  are bases for  $\mathcal{T}$ .

16. Consider the following collections of subsets of  $\mathbb{R}$ :

$$\mathcal{B}_1 = \{(a, b): a < b\}; \ \mathcal{B}_2 = \{[a, b): a < b\}.$$

Then  $\mathcal{B}_1$  and  $\mathcal{B}_2$  are bases for topologies  $\mathcal{T}(\mathcal{B}_1)$  and  $\mathcal{T}(\mathcal{B}_2)$ , respectively, on  $\mathbb{R}$ . Are  $\mathcal{T}(\mathcal{B}_1)$  and  $\mathcal{T}(\mathcal{B}_2)$  equal?

We call  $\mathcal{T}(\mathcal{B}_1)$  the standard topology on  $\mathbb{R}$ . We call  $\mathcal{T}(\mathcal{B}_2)$  the lower limit topology on  $\mathbb{R}$ , and we write  $\mathbb{R}_{\ell}$  for  $\mathbb{R}$  with the lower limit topology.

17. Is the euclidean topology on  $\mathbb{R}$  the same as the standard topology?

**Definition**. Let  $(X, \mathcal{T})$  be a topological space. A subcollection  $\mathcal{C} \subset \mathcal{T}$  is a subbasis for  $\mathcal{T}$  provided  $\mathcal{T} = \mathcal{T}(\mathcal{C})$ .

- 18. The collection of open rays in  $\mathbb{R}$  is a subbasis for the standard topology on  $\mathbb{R}$ .
- 19. Let  $(X, \mathcal{T})$  and  $(Y, \mathcal{S})$  be topological spaces. Let  $\mathcal{B} = \{U \times V \subset X \times Y : U \in \mathcal{T}, V \in \mathcal{S}\}$ . Then  $\mathcal{B}$  is a basis for a (necessarily) unique topology  $\mathcal{T}(\mathcal{B})$  on  $X \times Y$ .

**Definition**.  $\mathcal{T}(\mathcal{B})$  is called the product topology on  $X \times Y$ .

**Definition.** Let  $\pi_1: X \times Y \to X$  and  $\pi_2: X \times Y \to Y$  be defined by  $\pi_1(x, y) = x$  and  $\pi_2(x, y) = y$ . These are called the projection mappings.

20. Let  $(X, \mathcal{T})$  and  $(Y, \mathcal{S})$  be topological spaces. Let  $\mathcal{C} = \{\pi_1^{-1}(U) : U \in \mathcal{T}\} \cup \{\pi_2^{-1}(V) : V \in \mathcal{S}\}$ . Then  $\mathcal{T}(\mathcal{C})$  is the product topology on  $X \times Y$ ; i.e.,  $\mathcal{C}$  is a subbasis for the product topology on  $X \times Y$ .

**Definition**. Let  $(X, \mathcal{T})$  be a topological space and let Y be a subset of X. The subspace (relative, induced) topology on Y is  $\mathcal{T}_Y = \{Y \cap U : U \in \mathcal{T}\}$ . The space  $(Y, \mathcal{T}_Y)$  is called a subspace of  $(X, \mathcal{T})$ . Sometimes, we suppress explicit mention of the topologies and say that Y is a subspace of X.

- 21. Let  $(Y, \mathcal{T}_Y)$  be a subspace of  $(X, \mathcal{T})$ .
  - (i) If  $\mathcal{B}$  is a basis for  $\mathcal{T}$ , then  $\mathcal{B}_Y = \{B \cap Y : B \in \mathcal{B}\}$  is a basis for  $\mathcal{T}_Y$ .
  - (ii) If  $\mathcal{C}$  is a subbasis for  $\mathcal{T}$ , then  $\mathcal{C}_Y = \{C \cap Y : C \in \mathcal{C}\}$  is a subbasis for  $\mathcal{T}_Y$ .

22. Let  $\mathbb{R}$  be the reals with the standard topology and  $\mathbb{R}_{\ell}$  the reals with the lower limit topology.

(i) Draw pictures in the plane that represent basic open sets in  $\mathbb{R} \times \mathbb{R}$ , in  $\mathbb{R}_{\ell} \times \mathbb{R}$ , and in  $\mathbb{R}_{\ell} \times \mathbb{R}_{\ell}$ .

(ii) Let L be a straight line in the plane. Describe the topology that L inherits as a subspace of  $\mathbb{R} \times \mathbb{R}$ , of  $\mathbb{R}_{\ell} \times \mathbb{R}$ , and of  $\mathbb{R}_{\ell} \times \mathbb{R}_{\ell}$ . [Be careful; you might get different topologies depending on which line L you pick.]

**Definition**. Let  $(X, \mathcal{T})$  be a topological space. A subset  $A \subset X$  is closed provided X - A is open; that is, provided  $X - A \in \mathcal{T}$ .

- 23. Let  $(X, \mathcal{T})$  be a topological space.
  - (i)  $\emptyset$  and X are closed sets.
  - (ii) The union of a finite collection of closed sets is closed.
  - (iii) The intersection of an arbitrary collection of closed sets is closed.

**Definition**. Let  $(X, \mathcal{T})$  be a topological space. A neighborhood (nbhd) of a point  $x \in X$  is any open set containing x.

**Definition**. Let  $(X, \mathcal{T})$  be a topological space and let  $A \subset X$ . A point  $x \in X$  is a *limit point* of A provided, for each nbhd U of  $x, U \cap (A - \{x\}) \neq \emptyset$ . The set of all limit points of A in X is called the *derived set* of A and is denoted by A'. The closure of A in X, denoted by Cl(A) or  $Cl_X(A)$ , is the set  $A \cup A'$ :  $Cl(A) = A \cup A'$ .

24. Given  $A \subset X$  and  $x \in X$ ,  $x \in Cl(A)$  iff for every nbhd U of  $x, U \cap A \neq \emptyset$ .

25. Let  $(X, \mathcal{T})$  be a topological space and suppose  $A, B \subset X$ .

(i) If  $A \subset B$ , then  $A' \subset B'$ .

- (ii)  $(A \cap B)' \subset A' \cap B'$ .
- (iii)  $(A \cup B)' = A' \cup B'$ .

26. Let  $(X, \mathcal{T})$  be a topological space and suppose  $A, B \subset X$ .

- (i)  $A \subset Cl(A)$  and Cl(A) is a closed set.
- (ii) A is closed iff A = Cl(A).
- (iii) Cl(A) is the smallest closed set containing A.
- (iv) If  $A \subset B$ , then  $\operatorname{Cl}(A) \subset \operatorname{Cl}(B)$ .
- (v)  $\operatorname{Cl}(\operatorname{Cl}(A)) = \operatorname{Cl}(A)$ .
- (vi)  $\operatorname{Cl}(A \cup B) = \operatorname{Cl}(A) \cup \operatorname{Cl}(B)$ .
- (vii)  $\operatorname{Cl}(A \cap B) \subset \operatorname{Cl}(A) \cap \operatorname{Cl}(B)$ .

**Definition**. Let  $(X, \mathcal{T})$  be a topological space and let  $A \subset X$ . A point  $x \in A$  is an *interior point* of A in X provided there is a nbhd N of x with  $x \in N \subset A$ . The *interior* of A, denoted by Int(A) or  $Int_X(A)$ , is the set of all interior points of A in X.

27. Let  $(X, \mathcal{T})$  be a topological space and suppose  $A, B \subset X$ .

- (i)  $Int(A) \subset A$  and Int(A) is an open set.
- (ii) A is open iff A = Int(A).
- (iii) Int(A) is the largest open set contained in A.
- (iv) If  $A \subset B$ , then  $Int(A) \subset Int(B)$ .
- (v) Int(Int(A)) = Int(A).
- (vi)  $\operatorname{Int}(A \cup B) \supset \operatorname{Int}(A) \cup \operatorname{Int}(B)$ .
- (vii)  $\operatorname{Int}(A \cap B) = \operatorname{Int}(A) \cap \operatorname{Int}(B)$ .

**Definition**. Let  $(X, \mathcal{T})$  be a topological space and let  $A \subset X$ . A point  $x \in X$  is a boundary point of A provided each nbhd of x meets both A and X - A (i.e., for every nbhd N if  $x, N \cap A \neq \emptyset$  and  $N \cap (X - A) \neq \emptyset$ ). The set of all boundary points of A in X is called the boundary of A in X and is denoted by Bd(A) or  $Bd_X(A)$ .

- 28. Let  $(X, \mathcal{T})$  be a topological space and let  $A, B \subset X$ .
  - (i)  $\operatorname{Bd}(A) = \operatorname{Cl}(A) \operatorname{Int}(A)$ .
  - (ii) Bd(A) is closed.
  - (iii)  $\operatorname{Cl}(A) = \operatorname{Int}(A) \cup \operatorname{Bd}(A).$

What can you say about the relationship between  $Bd(A \cap B)$  and  $Bd(A) \cap Bd(B)$ ; between  $Bd(A \cup B)$ and  $Bd(A) \cup Bd(B)$ ?

**Terminology.** Whenever it is possible to do so without creating confusion we shall henceforth refer to a "topological space X" or a "space X", meaning a set X with an underlying topology  $\mathcal{T}$ . A subset A of X is "open" ("closed") provided  $A \in \mathcal{T}$  ( $(X - A) \in \mathcal{T}$ ). Likewise, we may refer to a "basis" (or "subbasis") for X or a "basic open set" in X, meaning an underlying subset  $\mathcal{B}(\text{or } \mathcal{C})$  of  $\mathcal{T}$  that forms a basis (or subbasis) for  $\mathcal{T}$  or one of its members.

**Definition**. Let X be a topological space. A subset  $D \subset X$  is dense in X provided Cl(D) = X.

- 29. The following are equivalent:
  - (i) D is dense in X.
  - (ii) If F is closed and  $D \subset F$ , then F = X.
  - (iii) Each nonempty basic open set meets D.
  - (iv) Each nonempty open set meets D.
  - (v)  $Int(X D) = \emptyset$ .
- 30. Find a countable dense subset of  $\mathbb{R}$  (with the standard topology), of  $\mathbb{R}^n$ .
- 31. Suppose a subset D of a space X meets every nonempty member of a subbasis. Is D necessarily dense in X?
- 32. Let Y be a subspace of a topological space X.
  - (i)  $A \subset Y$  is closed in Y iff  $A = F \cap Y$  for some closed subset F of X.
  - (ii) If  $A \subset Y$ , then  $\operatorname{Cl}_Y(A) = Y \cap \operatorname{Cl}_X(A)$ .
  - (iii) If  $A \subset Y$ , then  $Y \cap \operatorname{Int}_X(A) \subset \operatorname{Int}_Y(A)$ .
  - (iv) If  $A \subset Y$ , then  $\operatorname{Bd}_Y(A) \subset Y \cap \operatorname{Bd}_X(A)$ .
- 33. (i) If D is dense in X, is  $D \cap Y$  dense in Y?
  - (ii) Show that equality does not necessarily hold in 32. (iii) and (iv).

**Terminology.** Let  $f: X \to Y$  be a function. There are induced functions  $f: \mathcal{P}(X) \to \mathcal{P}(Y)$  and  $f^{-1}: \mathcal{P}(Y) \to \mathcal{P}(X)$  defined by  $f(A) = \{f(a): a \in A\}$  for  $A \subset X$ , and  $f^{-1}(B) = \{x \in X: f(x) \in B\}$  for  $B \subset Y$ .

- 34. Given a function  $f: X \to Y$  and a family  $\{B_{\gamma}\}_{\gamma \in \Gamma}$  of subsets of Y, then
  - (i)  $f^{-1}(\bigcap_{\gamma\in\Gamma}B_{\gamma}) = \bigcap_{\gamma\in\Gamma}f^{-1}(B_{\gamma}).$ (ii)  $f^{-1}(\bigcup_{\gamma\in\Gamma}B_{\gamma}) = \bigcup_{\gamma\in\Gamma}f^{-1}(B_{\gamma}).$ (iii)  $f^{-1}(Y-B) = X - f^{-1}(B)$  for  $B \subset Y.$
- 35. Given a function  $f: X \to Y$  and a family  $\{A_{\gamma}\}_{\gamma \in \Gamma}$  of subsets of X, establish the appropriate analogs of 34. (i)-(iii).

**Definition**. Suppose that X and Y are topological spaces. A function  $f: X \to Y$  is continuous at a point  $x \in X$  provided for each nebd V of f(x) there is a nebd U of x with  $f(U) \subset V$ . The function  $f: X \to Y$  is continuous if it is continuous at each  $x \in X$ .

- 36. Given a function  $f: X \to Y$ , the following are equivalent:
  - (i) f is continuous.
  - (ii)  $f^{-1}(V)$  is open for each open set V in Y.
  - (iii)  $f^{-1}(C)$  is closed for each closed set C in Y.

**Definition.** If  $f: X \to Y$  and  $A \subset X$ , the restriction of f to A is the function  $f|_A: A \to Y$  defined by  $f|_A(a) = f(a)$  for all  $a \in A$ .

- 37. (i) If  $f: X \to Y$  and  $g: Y \to Z$  are continuous, then  $g \circ f: X \to Z$  is continuous.
  - (ii) If  $f: X \to Y$  is continuous and  $A \subset X$  is a subspace, then  $f|_A: A \to Y$  is continuous.
  - (iii) The projection mappings  $\pi_1: X \times Y \to X$  and  $\pi_2: X \times Y \to Y$  are continuous.
- 38. Suppose  $f: X \to Y$  and suppose  $\mathcal{B}$  (respectively,  $\mathcal{C}$ ) is a basis (respectively, subbasis) for the topology on Y.
  - (i) f is continuous iff  $f^{-1}(B)$  is open for each  $B \in \mathcal{B}$ .
  - (ii) f is continuous iff  $f^{-1}(C)$  is open for each  $C \in \mathcal{C}$ .
- 39.  $f: X \to \mathbb{R}$  is continuous iff for each real number  $b, f^{-1}((-\infty, b))$  and  $f^{-1}((b, \infty))$  are open.
- А.
- (i) Let X be a topological space, and define the diagonal map  $\Delta : X \to X \times X$  by  $\Delta(x) = (x, x)$ . Prove that  $\Delta$  is continuous.
- (ii) If X, Y, Z, and S are sets and  $f: X \to Y$  and  $g: Z \to S$  are functions, define

$$f \times g : X \times Z \to Y \times S$$

by  $(f \times g)(x, z) = (f(x), g(z))$ . Prove that if X, Y, Z, and S are all topological spaces and f and g are continuous, then  $f \times g$  is continuous.

### В.

- (i) Define  $A : \mathbb{R} \times \mathbb{R} \to \mathbb{R}$  by A(x, y) = x + y. Prove that A is continuous.
- (ii) Define  $M : \mathbb{R} \times \mathbb{R} \to \mathbb{R}$  by M(x, y) = xy. Prove that M is continuous.
- (iii) Define  $I : \mathbb{R} \{0\} \to \mathbb{R}$  by I(x) = 1/x. Prove that I is continuous.
- 40. Suppose  $f, g: X \to \mathbb{R}$  are continuous.
  - (i)  $|f|^a$  is continuous for each  $a \ge 0$ .
  - (ii) af + bg is continuous for all real numbers a and b.
  - (iii)  $f \cdot g$  is continuous.
  - (iv) 1/f is continuous on  $\{x \in X : f(x) \neq 0\}$ .
  - [All operations are pointwise.]

**Definition**. A function  $f: X \to Y$  is open if f(U) is open for each open set U; f is closed if f(F) is closed for each closed set F.

- 41. Give examples that show that continuous, open, and closed functions are "independent" concepts.
- 42. Suppose  $f: X \to Y$  is closed. For any subset  $S \subset Y$  and open set U containing  $f^{-1}(S)$ , there is an open set V containing S with  $f^{-1}(V) \subset U$ .
- 43.  $f: X \to Y$  is open iff f(B) is open for each basic open set B.
- 44.  $f: X \to Y$  is open iff f(C) is open for each subbasic open set C.

**Definition.** A bijection  $f: X \to Y$  is called a homeomorphism provided both f and  $f^{-1}: Y \to X$  are continuous. X and Y are said to be homeomorphic, and we denote this relationship by  $X \approx Y$ .

- 45. Given a bijection  $f: X \to Y$ , the following are equivalent.
  - (i) f is homeomorphism.
  - (ii) f is continuous and open.
  - (iii) f is continuous and closed.
  - (iv) f induces a bijection from  $\mathcal{T}_X$  to  $\mathcal{T}_Y$ .
- 46. If  $f: X \to Y$  is a homeomorphism and  $A \subset X$ , then  $f|_A: A \to f(A)$  is a homeomorphism.

**Definition**.  $f: X \to Y$  is an embedding if  $f: X \to f(X)$  is a homeomorphism.

47. Define  $i_1: \mathbb{R} \to \mathbb{R}^2$  by  $i_1(x) = (x, 0), d: \mathbb{R} \to \mathbb{R}^2$  by  $d(x) = (x, x), \text{ and } e: [0, 1) \to \mathbb{R}^2$  by  $e(x) = (\cos 2\pi x, \sin 2\pi x)$ . Which of these are embeddings?

**Definition.** For  $y \in Y$ , let  $i_y: X \to X \times Y$  be defined by  $i_y(x) = (x, y)$  and let  $S_y = X \times \{y\} \subset X \times Y$ . The function  $i_y$  is the *inclusion of* X over y and  $S_y$  is the *slice of* X through y.

48. The function  $i_y$  is an embedding with image  $S_y$ .

**Definition**. A collection  $\mathcal{A}$  of subsets of a space X is *locally finite* if each point of X has a nbhd which meets only finitely many  $A \in \mathcal{A}$ . A collection  $\mathcal{A}$  is a cover of X provided  $X = \bigcup \mathcal{A}$ .

- 49. Suppose  $\mathcal{A}$  is a locally finite collection of closed subsets of a space X. Then  $\cup \mathcal{A}$  is a closed subset of X.
- 50. Suppose  $\mathcal{A}$  is a locally finite cover of X by closed sets and that, for each  $A \in \mathcal{A}$ ,  $f_A: A \to Y$  is continuous and  $f_A = f_B$  in  $A \cap B$  for each  $A, B \in \mathcal{A}$ . Then there is a (unique) continuous function  $f: X \to Y$  with  $f = f_A$  on A for each  $A \in \mathcal{A}$ .

**Definition**. Two subsets H and K of a space X are said to be separated, written H|K, provided  $H \neq \emptyset$ ,  $K \neq \emptyset$ ,  $\operatorname{Cl}(H) \cap K = \emptyset$ , and  $H \cap \operatorname{Cl}(K) = \emptyset$ .

**Definition**. A space X is connected if it is **not** the union of two separated subsets. A subset  $A \subset X$  is connected if it is connected as a subspace of X.

- 51. Suppose that H|K and  $A \subset H \cup K$ . If A is connected, then either  $A \subset H$  or  $A \subset K$ .
- 52. A subset A of  $\mathbb{R}$  is connected iff A has the following property: if  $a, b \in A, c \in \mathbb{R}$ , and a < c < b, then  $c \in A$ . Describe the connected subsets of  $\mathbb{R}$ .
- 53. The following are equivalent.
  - (i) X is connected.
  - (ii) The only subsets of X that are both open and closed are X and  $\emptyset$ .
  - (iii) X is not the union of two non-empty, disjoint open sets.
  - (iv) If  $f: X \to \{0, 1\}$  is continuous, where  $\{0, 1\}$  has the discrete topology, then f is not onto.
- 54. If X is connected and if  $f: X \to Y$  is continuous, then f(X) is a connected subset of Y.
- 55. Suppose  $\mathcal{A}$  is a collection of connected subspaces of a space X such that  $\cap \mathcal{A} \neq \emptyset$ . Then  $\cup \mathcal{A}$  is connected.

- 56. Suppose  $\mathcal{A}$  is a collection of connected subspaces of a space X such that for all  $A, B \in \mathcal{A}$ , A and B are not separated. Then  $\cup \mathcal{A}$  is connected.
- 57. Suppose that A is a connected subset of a space X. If  $A \subset B \subset Cl(A)$ , then B is connected. In particular, the closure of a connected set is connected.
- 58. Show that the following subsets of  $\mathbb{R}^2$  are connected.
  - (i) The union of the x-axis and the y-axis.
  - (ii) The graph of  $f : \mathbb{R} \to \mathbb{R}$ , where f is an arbitrary continuous function.

(iii)  $\{(x, y) : 0 < x \le 1 \text{ and } y = \sin(1/x)\} \cup (\{0\} \times [-1, 1])$ . This diabolical set is often called the "topologist's sine curve."

- 59. If X and Y are connected, then so is  $X \times Y$ .
- 60. Intermediate Value Theorem. Suppose X is a connected space,  $f: X \to \mathbb{R}$  is continuous, and f(a) < r < f(b) for some points  $a, b \in X$ . Then there exists  $x \in X$  such that f(x) = r.
- 61. X is connected iff every open covering  $\mathcal{U}$  of X has the following property: for each pair of non-empty sets  $U, V \in \mathcal{U}$  there are finitely many sets  $U_1, U_2, \ldots, U_n \in \mathcal{U}$  such that  $U \cap U_1 \neq \emptyset$ ,  $U_i \cap U_{i+1} \neq \emptyset$   $(i = 1, 2, \ldots, n-1)$ , and  $U_n \cap V \neq \emptyset$ .

**Definition**. Given points x and y in a space X, a path from x to y in X is a continuous function  $f:[a,b] \to X$  of some closed interval in  $\mathbb{R}$  into X such that f(a) = x and f(b) = y. A space X is path connected if every pair of points in X can be joined by a path in X.

- 62. If  $n \ge 2$ , then  $\mathbb{R}^n \{0\}$  is path connected.
- 63. If  $n \ge 2$ , then **R** is not homomorphic to **R**<sup>n</sup>.
- 64. Each path connected space is connected, but a connected space need not be path connected.
- 65. If X and Y are path connected, then so is  $X \times Y$ .
- 66. A connected open subset of  $\mathbb{R}^n$  is path connected.

# Separation Axioms

Suppose X is a topological space.

- $T_0$ : X is a  $T_0$ -space if for  $x \neq y$  there is an open set U containing one of x or y, but not the other.
- $T_1$ : X is a  $T_1$ -space if for  $x \neq y$  there is an open set U containing x, but not y.
- $T_2$ : X is a  $T_2$ -space (or a Hausdorff space) if for every  $X \neq Y$  there are disjoint open sets U and V such that  $x \in U$  and  $y \in V$ .

**Definition**. A space X is regular if for every  $x \in X$  and every closed set  $F \subset X$  not containing x, there are disjoint open sets U and V such that  $x \in U$  and  $F \subset V$ .

**Definition**. A space X is normal if for every pair F, G of disjoint closed sets in X there are disjoint open sets U and V such that  $F \subset U$  and  $G \subset V$ .

- $T_3$ : X is a  $T_3$ -space if X is  $T_1$  and regular.
- $T_4$ : X is a  $T_4$ -space if X is  $T_1$  and normal.
- 67. If X is a  $T_1$ -space, then points in X are closed subsets of X.
- 68.  $T_4 \Rightarrow T_3 \Rightarrow T_2 \Rightarrow T_1 \Rightarrow T_0$ , but none of these implications can be reversed.
- 69. (i) X is regular iff given  $x \in X$  and a nbhd U of x, there exists a nbhd V of x such that  $x \in V \subset Cl(V) \subset U$ .

(ii) X is normal iff given a closed set F in X and an open set  $U \supset F$  there exists an open set V such that  $F \subset V \subset \operatorname{Cl}(V) \subset U$ .

- 70. A subspace of a Hausdorff space is Hausdorff;  $X \times Y$  is Hausdorff iff each of X and Y is Hausdorff.
- 71. A subspace of a regular space is regular;  $X \times Y$  is regular iff each of X and Y is regular.
- 72. A closed subspace of a normal space is normal; if  $X \times Y$  is normal, then each of X and Y is normal.

**Definition**. A space X is completely normal if each of its subspaces is normal.

73. A space X is completely normal iff for every pair of separated subsets H and K of X there are disjoint open sets U and V such that  $H \subset U$  and  $K \subset V$ .

**Definition**. A space X is second countable (satisfies the second axiom of countability) if it has a countable basis. We abbreviate this by "X is  $2^{\circ}$ ".

- 74. Every subspace of a 2° space is a 2° space;  $X \times Y$  is 2° iff each of X and Y is 2°.
- 75. If X is 2°, then every open covering of X has a countable subcovering; i.e., if  $\mathcal{U}$  is a collection of open sets that covers X, then there is a subcollection  $\mathcal{V} \subset \mathcal{U}$  such that V is countable and covers X.

**Definition**. A space X is *Lindelæf* if every open covering contains a countable subcovering.

**Definition**. A space X is separable if it contains a countable dense set.

- 76. If X is  $2^{\circ}$ , then X is separable.
- 77.  $\mathbb{R}^n$  is a 2° space.  $\mathbb{R}_{\ell}$  is separable, but not 2°.
- 78. A subspace of a separable space need not be separable. An open subspace of a separable space, however, is separable.
- 79. If X is  $2^{\circ}$ , then every subspace of X is separable.
- 80.  $\mathbb{R}_{\ell}$  is Lindeleef but  $\mathbb{R}_{\ell} \times \mathbb{R}_{\ell}$  is not Lindeleef.

**Definition**. A metric or distance function on a set X is a function  $d: X \times X \to \mathbb{R}$  having the following properties:

(i)  $d(x,y) \ge 0$  for all  $x, y \in X$ ,

- (ii) d(x, y) = 0 iff x = y,
- (iii) d(x,y) = d(y,x) for all  $x, y \in X$ ,
- (iv)  $d(x,z) \le d(x,y) + d(y,z)$  for all  $x, y, z \in X$ . (Triangle inequality)

Given  $\varepsilon > 0$ ,  $\{y \in X : d(x, y) < \varepsilon\}$  is called the  $\varepsilon$ -ball about x or the  $\varepsilon$ -neighborhood about x and is denoted by any of the following:

$$B_d(x,\varepsilon), \quad B(x,\varepsilon), \quad B_\varepsilon(x), \quad N(x,\varepsilon), \quad N_\varepsilon(x)$$

81. Suppose X is a set with a metric d. Let  $\mathcal{B} = \{B_d(x,\varepsilon) : x \in X, \varepsilon > 0\}$ . Then  $\mathcal{B}$  is a basis for a topology  $\mathcal{T}_d$  on X. We call  $\mathcal{T}_d = \mathcal{T}(\mathcal{B})$  the metric topology on X induced by d, and we call (X, d) a metric space with metric d.

**Definition**. A topological space  $(X, \mathcal{T})$  is called a *metrizable space* if its topology is induced by a metric on X; i.e., if there is a metric d on X such that  $\mathcal{T} = \mathcal{T}(\mathcal{B})$ , where  $\mathcal{B} = \{B_d(x, \varepsilon) : x \in X, \varepsilon > 0\}$ .

**Definition**. Two metrics d and d' on a set X are equivalent, denoted  $d \sim d'$ , provided  $\mathcal{T}_d = \mathcal{T}_{d'}$ .

- 82. Define a metric d' on  $\mathbb{R}^2$  by  $d'((x_1, x_2), (y_1, y_2)) = |x_1 y_1| + |x_2 y_2|$ . Then  $d' \sim d$ , where d is the euclidean metric on  $\mathbb{R}^2$  defined by d(x, y) = ||x y|| (see # 8).
- 83. Suppose d and d' are metrics on X.  $d \sim d'$  iff for each  $x \in X$  and  $\varepsilon > 0$ , the following two conditions hold:
  - (i) there exists  $\delta > 0$  such that  $d(x, y) < \delta \Rightarrow d'(x, y) < \varepsilon$ ,
  - (ii) there exists  $\delta' > 0$  such that  $d'(x, y) < \delta' \Rightarrow d(x, y) < \varepsilon$ .
- 84. Let d be a metric on X. Define  $d^*: X \times X \to \mathbb{R}$  by  $d^*(x, y) = \min\{d(x, y), 1\}$ . Then  $d^*$  is a metric on X that is equivalent to d.

**Definition**. Let (X, d) be a metric space.

- (i) Given  $x \in X$  and  $A \subset X$ ,  $A \neq \emptyset$ ,  $d(x, A) = \inf\{d(x, a) : a \in A\}$ .
- (ii) Given nonempty subsets A, B of X,  $d(A, B) = \inf\{d(a, b): a \in A \text{ and } b \in B\} = \inf\{d(a, B): a \in A\}.$
- (iii) Given  $A \subset X$ ,  $A \neq \emptyset$ , the diameter of A, denoted diam<sub>d</sub>A, or diamA, is diam<sub>d</sub>A = sup{d(x, y):  $x, y \in A$ }.
- (iv) Given  $A \subset X$ ,  $A \neq 0$ , the restriction of d to A, denoted  $d_A$ , is the metric  $d_A = d|_{A \times A}$  on A.
- 85. Let d be a metric on X.
  - (i) d(x, A) = 0 iff  $x \in Cl(A)$ . Thus,  $Cl(A) = \{x \in X : d(x, A) = 0\}$ .
  - (ii) If A is a nonempty subset of X, then the function  $f: X \to \mathbb{R}$  defined by f(x) = d(x, A) is continuous.
- 86. Every subspace of a metric space is metrizable. In fact, if (X, d) is a metric space and  $A \subset X$ , then the restriction of d to A metrizes the subspace topology on A.

**Definition**. A neighborhood bases at a point x in a space X is a collection  $\mathcal{B}_x$  of nbhds of x having the property that if U is any nbhd of x there exists  $B \in \mathcal{B}_x$  such that  $B \subset U$ . A space X is first countable (satisfies the first axiom of countability), denoted 1°, if there is a countable neighborhood basis at each point of X.

87. Every metric space is  $1^{\circ}$ .

88. Let d be a metric on X. Then the following are equivalent.

- (i) X is  $2^{\circ}$ .
- (ii) X is separable.
- (iii) X is Lindeloef.
- 89. Every metric space is (completely) normal.
- 90. Suppose  $f: X \to Y$ . Then f is continuous at  $x \in X$  iff for every  $\varepsilon > 0$  there exists  $\delta > 0$  such that if  $d(x, y) < \delta$  then  $d(f(x), f(y)) < \varepsilon$ .

**Definition**. Let  $\mathbb{N}$  denote the set of natural numbers. A sequence in a space X is a function  $f: \mathbb{N} \to X$ . If  $f(n) = x_n$ , we usually denote the sequence by  $\{x_n\}_{n=1}^{\infty}$ , or simply  $\{x_n\}$ . A sequence  $\{x_n\}$  in a space X is said to converge to a point x in X, denoted  $x_n \to x$  or  $\lim x_n = x$ , provided that for every nbhd U of x in X, there exists  $\mathbb{N} \in \mathbb{N}$  such that if  $n \geq \mathbb{N}$ , then  $x_n \in U$ .

- 91. Let (X, d) be a metric space. A sequence  $\{x_n\}$  in X converges to  $x \in X$  iff for every  $\varepsilon > 0$ , there exists  $N \in \mathbb{N}$  such that  $n \ge N$  implies  $d(x_n, x) < \varepsilon$ .
- 92. Let X be 1° and suppose  $A \subset X$ . Then  $x \in Cl(A)$  iff there is a sequence  $\{a_n\}$  in A that converges to x.
- 93. Let X be 1° and suppose  $f: X \to Y$ , where Y is any space. Then f is continuous at  $x \in X$  iff  $f(x_n) \to f(x)$  for every sequence  $x_n \to x$ .
- 94. Is  $\mathbb{R}_{\ell}$  metrizable? Is  $\mathbb{R}_{\ell} \times \mathbb{R}_{\ell}$  metrizable?

**Definition**. A space X is compact if every open covering of X has a finite subcovering; that is, X is compact iff for every cover  $\mathcal{U}$  of X by open sets, there exists  $U_1, U_2, \ldots, U_n \in \mathcal{U}$  such that  $\{U_1, U_2, \ldots, U_n\}$  covers X.

- 95. The following are equivalent.
  - (i) X is compact.
  - (ii) X satisfies the finite intersection property for closed sets: If  $\mathcal{F}$  is a family of closed sets in X such that  $\cap \mathcal{F} = \emptyset$ , then there is a finite subset  $\{F_1, F_2, \ldots, F_n\}$  of  $\mathcal{F}$  such that  $F_1 \cap F_2 \cap \ldots \cap F_n = \emptyset$ .
- 96. If  $f: X \to Y$  is continuous and X is compact, then f(X) is compact.
- 97. A compact subset of a Hausdorff space X is closed in X.
- 98. A closed subspace of a compact space is compact.
- 99. A compact Hausdorff space is regular.
- 100. A compact Hausdorff space is normal.
- 101.  $X \times Y$  is compact iff each of X and Y are compact.
- 102. Suppose X is a compact space, Y is Hausdorff, and  $f: X \to Y$  is continuous. Then
  - (i) f is a closed map.
  - (ii) If f is a bijection, then f is a homeomorphism.

- 103. If X is compact and if  $p: X \times Y \to Y$  is the projection, then p is a closed mapping.
- 104. Suppose  $A \subset X$  and Y is compact. Let U be a nbhd of  $A \times Y$  in  $X \times Y$ . Then there is a nbhd  $V \supset A$  in X such that  $V \times Y \subset U$ .

**Definition.** A subset A of a metric space (X, d) is bounded if there is a point  $x \in X$  and a number M > 0 such that  $A \subset B(x, M)$ .

- 105. If A is a compact subset of metric space (X, d), then A is closed and bounded.
- 106. Every closed interval in  $\mathbb{R}$  is compact.
- 107. Heine-Borel Theorem. A subset A of  $\mathbb{R}^n$  is compact iff A is closed and bounded.
- 108. Max-min Theorem. Suppose X is compact and  $f: X \to \mathbb{R}$  is continuous. Then there are points  $a, b \in X$  such that  $f(a) \leq f(x) \leq f(b)$  for every  $x \in X$ .
- 109. Let (X, d) be a metric space. If  $A \subset X$  is closed,  $C \subset X$  is compact, and  $A \cap C = \emptyset$ , then d(A, C) > 0. Can the compactness hypothesis on C be dropped?
- 110. Let (X, d) be a compact metric space, and let  $\mathcal{U}$  be an open cover of X. Then there exists a positive number  $\lambda$ , called a *Lebesgue number* for the cover  $\mathcal{U}$ , with the following property: each ball  $B(x, \lambda)$  is contained in at least one element U of  $\mathcal{U}$ .
- 111. Let (X, d) be a compact metric space, let (Y, d') be a metric space, and suppose  $f: X \to Y$  is continuous. Then for each  $\varepsilon > 0$ , there is a  $\lambda > 0$  (depending only on  $\varepsilon$ ) such that  $f(B(x, \lambda)) \subset B(f(x), \varepsilon)$ ) for every  $x \in X$  (f is uniformly continuous).
- 112. Bolzano-Weierstrass Property. If X is compact, then every infinite subset of X has a limit point in X.
- 113. The unit interval [0,1] is not compact as a subspace of  $\mathbb{R}_{\ell}$ .
- 114. Suppose X is a compact space and  $F_1 \supset F_2 \supset \ldots$  is a descending sequence of nonempty, closed subsets of X. Then  $\bigcap_{i=1}^{\infty} F_i \neq \emptyset$ .
- 115. A metric space (X, d) is compact iff every continuous real valued function on X is bounded.
- 116. Let X be a Hausdorff space and suppose  $F_1 \supset F_2 \supset \ldots$  is a descending sequence of compact, connected, nonempty subsets of X. Then  $\bigcap_{i=1}^{\infty} F_i$  is a compact, connected, nonempty subset of X. (A compact, connected Hausdorff space is called a *continuum*.)
- 117. Let (X, d) be a compact metric space and let  $f: X \to X$  be a continuous function.

(i) f is a contraction if there is a non-negative number  $\alpha < 1$  such that  $d(f(x), f(y)) \le \alpha d(x, y)$  for all points  $x, y \in X$ . Show that if f is a contraction then there is a unique point  $a \in X$  such that f(a) = a. (ii) f is an isometry if d(f(x), f(y)) = d(x, y) for all  $x, y \in X$ . Show that if f is an isometry then f is surjective.

(iii) Give examples to show that (i) and (ii) are false if X is not compact.

- 118. Suppose Y is compact and  $f: Y \to Y$  is continuous. Show that there is a nonempty subset A of Y such that f(A) = A.
- 119. Let  $(X, \mathcal{T}_X)$  be a topological space, Y a set, and  $\pi : X \to Y$  a surjection. Define a collection of subsets of Y by

$$\mathcal{T}_Y = \{ U \subset Y : \pi^{-1}(U) \in \mathcal{T}_X \}.$$

- (i) Prove that  $\mathcal{T}_Y$  is a topology on Y. It is called the *quotient topology*.
- (ii) Prove that  $\pi$  is continuous when Y is given the quotient topology.
- (iii) Prove that  $\mathcal{T}_Y$  is the largest topology we can put on Y that will make  $\pi$  continuous.
- 120. Let X and Z be a topological spaces,  $\pi : X \to Y$  a surjection, and give Y the resulting quotient topology. If  $f: Y \to Z$  is a function, then f is continuous if and only if  $f \circ \pi : X \to Z$  is continuous.

**Definition**. Let X be a space, and suppose  $\sim$  is an equivalence relation on X. For each  $x \in X$ , let [x] denote the equivalence class of x; i.e.  $[x] = \{y \in X : x \sim y\}$ . Let  $X/\sim$  denote the set of equivalence classes of X under  $\sim$ . Define the projection map  $\pi : X \to X/\sim$  by  $\pi(x) = [x]$ . Since  $\pi : X \to X/\sim$  is a surjection, we may define the *quotient topology* on  $X/\sim$  as above. In fact, whenever we refer to  $X/\sim$  as a topological space, it is assumed we mean the quotient topology, unless otherwise indicated.

- 121. Let X be a space with an equivalence relation  $\sim$  and projection mapping  $\pi : X \to X/\sim$ . Let  $f : X \to Z$  be a continuous function that is constant on each equivalence class under  $\sim$ . Then there is a unique map  $g : (X/\sim) \to Z$  such that  $f = g \circ \pi$ , and g is continuous.
- 122. Suppose X is compact and Y is Hausdorff. Let  $f : X \to Y$  be continuous and surjective. Define a relation  $\sim$  on X by  $x_0 \sim x_1$  iff  $f(x_0) = f(x_1)$ . Then  $\sim$  is an equivalence relation, and  $X/\sim$  is homeomorphic to Y.
- 123. Let X be a topological space,  $\sim$  an equivalence relation on X, and  $X/\sim$  the corresponding quotient space. Prove:
  - (i) If X is compact, then  $X/\sim$  is compact.
  - (ii) If X is connected, then  $X/\sim$  is connected.
- 124. Define an equivalence relation on  $X = [0,1] \times [0,1]$  by  $(s_0,t_0) \sim (s_1,t_1)$  iff  $t_0 = t_1$  and  $t_1 > 0$ . Prove that  $X/\sim$  is not Hausdorff.

**Definition**. Define the *n*-dimensional unit ball  $\mathbf{B}^n \subset \mathbb{R}^n$  by  $\mathbf{B}^n = \{x \in \mathbb{R}^n : ||x|| \leq 1\}$ . Define the *n*-dimensional unit sphere  $\mathbf{S}^n \subset \mathbb{R}^{n+1}$  by  $\mathbf{S}^n = \{x \in \mathbb{R}^{n+1} : ||x|| = 1\}$ .

125. Define an equivalence relation on  $\mathbf{B}^n$  by  $x \sim y$  iff ||x|| = ||y|| = 1. Prove that  $\mathbf{B}^n / \sim$  is homeomorphic to  $\mathbf{S}^n$ .

**Definition.** Define an equivalence relation on  $\mathbb{R}^{n+1} - \{0\}$  by  $x \sim y$  iff there exists a  $\lambda \in \mathbb{R} - \{0\}$  such that  $x = \lambda y$ . Define *real projective n-space* by

$$\mathbb{R}\mathbf{P}^n = (\mathbb{R}^{n+1} - \{0\})/\sim.$$

126. Define an equivalence relation ~ on  $\mathbf{S}^n$  by  $x \sim y$  iff  $x = \pm y$ . Prove that  $\mathbf{S}^n / \sim$  is homeomorphic to  $\mathbb{R}\mathbf{P}^n$ .

- 127. Define an equivalence relation ~ on  $\mathbf{B}^n$  by  $x \sim y$  iff ||x|| = ||y|| = 1 and  $x = \pm y$ . Prove that  $\mathbf{B}^n / \sim$  is homeomorphic to  $\mathbb{R}\mathbf{P}^n$ .
- 128. Prove that  $\mathbb{R}\mathbf{P}^n$  is compact, connected, and Hausdorff.
- 129. Prove that each point in  $\mathbb{R}\mathbf{P}^n$  has a neighborhood that is homeomorphic to an open set in  $\mathbb{R}^n$ .

**Definition**. Let **C** denote the set of complex numbers. Define an equivalence relation on  $\mathbf{C}^{n+1} - \{0\}$  by  $x \sim y$  iff there exists  $\lambda \in \mathbf{C} - \{0\}$  such that  $x = \lambda y$ . Define *complex projective n-space* by

$$\mathbf{CP}^n = (\mathbf{C}^{n+1} - \{0\})/\sim.$$

- 130. Considering  $\mathbf{S}^{2n+1}$  as a subset of  $\mathbf{C}^{n+1}$ , define an equivalence relation ~ on  $\mathbf{S}^{2n+1}$  such that  $\mathbf{S}^{2n+1}/\sim$  is homeomorphic to  $\mathbf{CP}^n$ .
- 131. Prove that  $\mathbb{CP}^n$  is compact, connected and Hausdorff, and that every point in  $\mathbb{CP}^n$  has a neighborhood that is homeomorphic to  $\mathbb{C}^n$ .
- 132. Prove that  $\mathbf{CP}^1$  is homeomorphic to  $\mathbf{S}^2$ .
- 133. Using 2 of the last 3 problems, define a surjective map  $H : \mathbf{S}^3 \to \mathbf{S}^2$ . This beautiful map is called the Hopf map.
- 134.  $\mathbf{S}^1 = \{(x, y) \in \mathbb{R}^2 | x^2 + y^2 = 1\}$  is called the *unit circle* or the 1-sphere. Show that there is no 1:1 continuous function of  $\mathbf{S}^1$  into  $\mathbb{R}$  and that there is no surjection of  $\mathbf{S}^1$  onto  $\mathbb{R}$ .

**Definition**. A subset A of a space X is relatively compact if its closure Cl(A) in X is compact. A space X is locally compact if it is Hausdorff and each point has a relatively compact neighborhood.

135. The following are equivalent:

(i) X is locally compact.

(ii) For each  $x \in X$  and neighborhood U of x, there is a relatively compact open set V with  $x \in V \subset Cl(V) \subset U$ .

(iii) For each compact set C and open set  $U \supset C$ , there is a relatively compact open set V with  $C \subset V \subset \operatorname{Cl}(V) \subset U$ .

(iv) X has a basis consisting of relatively compact open sets.

**Definition**. A compactification of a space X is a pair  $(\tilde{X}, h)$  consisting of a compact space  $\tilde{X}$  and a homeomorphism h of X onto a *dense* subset of  $\tilde{X}$ .

136. One-point compactification.

- (i) Any locally compact space X can be embedded in a compact space  $\tilde{X}$  so that  $\tilde{X} X$  is a single point.
- (ii) (Uniqueness). Any two spaces  $\tilde{X}$  and  $\tilde{Y}$  having property (i) are homeomorphic.
- 137. The one-point compactification of  $\mathbb{R}^1$  is  $\mathbb{S}^1$ . More generally, let

$$\mathbf{S}^{n} = \{ x \in \mathbb{R}^{n+1} \colon \|x\| = \left(\sum_{i=1}^{n+1} x_{i}^{2}\right)^{1/2} = 1 \},\$$

the *n*-sphere. Then  $\mathbf{S}^n$  is the one-point compactification of  $\mathbb{R}^n$ .

- 138. Let X be locally compact. Then the one-point compactification  $\tilde{X}$  of X is metrizable if and only if X is  $2^{\circ}$ .
- 139. Baire Property. Let Y be locally compact and for each  $i \in \mathbb{N}$ , let  $D_i$  be a dense open subset of Y. Then  $\bigcap_{i \in \mathbb{N}} D_i$  is dense in Y.

**Definition**. A space Y is a *Baire space* if the intersection of each countable family of open dense sets in Y is dense. Thus, every locally compact space is a Baire space.

- 140. Let Y be a Baire space. If  $\{A_n | n \in \mathbb{N}\}$  is a countable closed covering of Y, then at least one  $A_n$  must contain an open set. That is,  $\operatorname{Int}_Y(A_n) \neq \emptyset$  for some  $n \in \mathbb{N}$ .
- 141.  $\mathbb{R}^1$  is a Baire space.  $\mathbb{R}_{\ell}$  is a Baire space.
- 142. The set of rational numbers in  $\mathbb{R}^1$  is not a Baire space. The set of irrationals is a Baire space.

**Definition.** Let (X, d) be a metric space. A sequence  $\{x_n\}$  in X is called *d*-Cauchy if for every  $\varepsilon > 0$  there exists  $N \in \mathbb{N}$  such that  $d(x_n, x_m) < \varepsilon$ , whenever  $n, m \ge N$ .

- 143. Let (X, d) be a metric space.
  - (i) Every convergent sequence in X is d-Cauchy.
  - (ii) Every subsequence of a *d*-Cauchy sequence is *d*-Cauchy.
  - (iii) If a d-Cauchy sequence in X has a limit point, then it converges to that point.
  - (iv) If a d-Cauchy sequence does not converge, then it has no convergent subsequence.

**Definition**. Let X be a metrizable space. A metric d for X is called *complete* if every d-Cauchy sequence in X converges. In this case (X, d) is called a *complete metric space*.

**Definition**. A metrizable space X is called *topologically complete* if a complete metric for X exists. To indicate that d is a complete metric for X, we say that X is *d*-complete.

- 144. Let (X, d) be a metric space, and assume that d has the property: there exists  $\varepsilon > 0$  such that for all  $x \in X$ ,  $B_d(x, \varepsilon)$  is relatively compact. Then d is a complete metric for X.
- 145. Give an example of a metric space (X, d) that is topologically complete, but not complete.
- 146. Every locally compact metric space is topologically complete. Furthermore, if X is compact metric, then every metric for X is a complete metric.
- 147. If X and Y are homeomorphic spaces and X is topologically complete, then so is Y.
- 148. If X is topologically complete, then every closed subspace A is topologically complete. Furthermore, if X is d-complete, then A is  $d_A$ -complete, where  $d_A$  is the restriction of d to A.
- 149. If (X, d) is a metric space (not necessarily complete) and  $A \subset X$  is  $d_A$ -complete, then A is closed in X.
- 150. A countable product  $\prod_{i=1}^{\infty} Y_i$  is topologically complete if and only if each factor  $Y_i$  is topologically complete.

- 151. Baire's Theorem for Complete Spaces. Any topologically complete space is a Baire space.
- 152. Let X be d-complete and let  $f: X \to X$  be d-contractive (i.e., there exists  $\alpha, 0 \leq \alpha < 1$ , such that  $d(f(x), f(y)) \leq \alpha d(x, y)$  for all  $x, y \in X$ ). Then f is continuous and has exactly one fixed point.
- 153. Let X be a metrizable space and d a given metric on X. Then X can be isometrically embedded as a dense subset of a complete space  $(X^*, d^*)$ .
- 154.  $X^*$  and  $d^*$  in 139 are unique up to isometry: if X is embedded isometrically in a complete space  $(X^\circ, d^\circ)$ , then  $(X^*, d^*)$  and  $(X^\circ, d^\circ)$  are isometric.

**Definition.** A subset F of a space X is called an  $F_{\sigma}$ -subset of X if  $F = \bigcup_{i \in \mathbb{N}} F_i$ , for some countable collection  $\{F_i\}$  of closed subsets of X. A subset G of X is a  $G_{\delta}$ -subset of X if  $G = \bigcap_{i \in \mathbb{N}} G_i$  for some countable collection  $\{G_i\}$  of open subsets of X. Thus, countable unions of closed sets are  $F_{\sigma}$ 's, and countable intersections of open sets are  $G_{\delta}$ 's.

- 155. The irrationals in  $\mathbb{R}$  is a  $G_{\delta}$ -subset of  $\mathbb{R}$ .
- 156. Let X be an arbitrary metric space, and suppose  $A \subset X$ . Let Y be complete and let  $f: A \to Y$  be a continuous map. Then there is a  $G_{\delta}$ -subset  $G \supset A$  of X and a continuous function  $F: G \to Y$  such that  $F|_A = f$ .
- 157. Lavrentieff's Theorem. If X and Y are complete metric spaces and h is a homeomorphism of  $A \subset X$ onto  $B \subset Y$ , then h can be extended to a homeomorphism  $h^*$  of  $A^*$  onto  $B^*$ , where  $A^*$  and  $B^*$  are  $G_{\delta}$ -subsets of X and Y, respectively, and  $A \subset A^* \subset \operatorname{Cl}_X A$  and  $B \subset B^* \subset \operatorname{Cl}_Y B$ .
- 158. Let Y be complete and let  $A \subset Y$  be a topologically complete subset. Then A is a  $G_{\delta}$ -subset of Y.
- 159. Mazurkiewicz's Theorem. Let Y be a complete space. Then  $A \subset Y$  is topologically complete if and only if A is a  $G_{\delta}$ -subset of Y.
- 160. The set of irrational numbers in  $\mathbb{R}$  is topologically complete.
- 161. The set of rational numbers in  $\mathbb{R}$  is not topologically complete.
- 162. Show that if A is any  $G_{\delta}$ -subset of  $\mathbb{R}$ , then there is a function  $f: \mathbb{R} \to \mathbb{R}$  that is continuous at all points of A and discontinuous at all other points of  $\mathbb{R}$ .
- 163. Let X be a metric space such that  $X = A \cup B$ , where A and B are topologically complete. Then X is topologically complete. [Hint: Prove that the union of two  $G_{\delta}$ -subsets is a  $G_{\delta}$ -subset and use 139.]

# The Axiom of Choice and the Tychonoff Theorem

**Definition**. Let  $\{A_{\gamma}: \gamma \in \Gamma\}$  be a collection of sets. A choice function for  $\{A_{\gamma}: \gamma \in \Gamma\}$  is a function  $f: \Gamma \to \cup A_{\gamma}$  such that  $f(\gamma) \in A_{\gamma}$  for all  $\gamma \in \Gamma$ . The cartesian product of  $\{A_{\gamma}: \gamma \in \Gamma\}$ , denoted by  $\prod_{\gamma \in \Gamma} A_{\gamma}$ , (or, just  $\prod A_{\gamma}$ , if no confusion arises) is the set of all choice functions for  $\{A_{\gamma}: \gamma \in \Gamma\}$ .

Axiom of Choice. If  $\{A_{\gamma}: \gamma \in \Gamma\}$  is a nonempty collection of nonempty sets, then  $\prod A_{\gamma} \neq \emptyset$ .

Recall that a relation R on a set X is a subset of  $X \times X$ . We will usually write xRy instead of  $(x, y) \in R$ when x is related to y. A partial order on a set X is a reflexive, antisymmetric, and transitive relation. Thus if  $\leq$  is a partial order on X, then

- (i)  $x \leq x$  for all  $x \in X$  (reflexive),
- (ii)  $x \leq y$  and  $y \leq x \Rightarrow x = y$  (antisymmetric),
- (iii)  $x \leq y$  and  $y \leq z \Rightarrow x \leq z$  (transitive).

If, for every  $x, y \in X$ , either  $x \leq y$  or  $y \leq x$ , then we say that  $\leq$  is a *total order* on X. A totally ordered set is called a *chain*. Let X be a set with partial order  $\leq$ . A maximal element of X is an element  $a \in X$  such that for all  $x \in X$ , if  $a \leq x$ , then a = x; i.e.,  $a \in X$  is a maximal element if X contains no element strictly greater than a. An element  $a \in X$  is the greatest element of X if  $x \leq a$  for all  $x \in X$ . Necessarily, a greatest element of X, if it exists, is unique. There may be many maximal elements of X.

Let X be a partially ordered set with order  $\leq$  and let  $E \subset X$ . An element  $a \in X$  is an upper bound of E in case  $x \leq a$  for all  $x \in E$ .

**Zorn's Lemma**. If X is a partially ordered set such that every chain in X has an upper bound, then X contains a maximal element.

[A chain in X is a subset  $E \subset X$  that is totally ordered by  $\leq$ . Note that we do not require the upper bound to be in E.]

**Theorem A**. The Axiom of Choice is equivalent to Zorn's Lemma.

We shall prove that the Axiom of Choice implies Zorn's Lemma.

If  $\{X_{\gamma}: \gamma \in \Gamma\}$  is a collection of topological spaces, then the collection of sets of the form  $\prod U_{\gamma} \subset \prod X_{\gamma}$ , where  $U_{\gamma}$  is open  $X_{\gamma}$  for all  $\gamma \in \Gamma$  and  $U_{\gamma} = X_{\gamma}$  for all but finitely many  $\gamma \in \Gamma$ , forms a basis for a topology on  $\prod X_{\gamma}$ , called the *product topology*.

**Tychonoff Theorem.** If  $\{X_{\gamma}: \gamma \in \Gamma\}$  is a collection of compact spaces, then  $\prod X_{\gamma}$  is compact (in the product topology).

Theorem B. The Tychonoff Theorem is equivalent to the Axiom of Choice.

We will prove that the Axiom of Choice implies the Tychonoff Theorem. More specifically, we shall show that Zorn's Lemma implies the Tychonoff Theorem.