On multistability of delayed genetic regulatory networks with multivariable regulation functions

Wei Pan a,b, Zidong Wang c,d,e, Huijun Gao a, Yurong Li e, Min Du e

a Space Control and Inertial Technology Research Center, Harbin Institute of Technology, Harbin 150001, China
b Department of Electrical Science and Technology, University of Science and Technology of China, Hefei 230027, China
c School of Information Science and Technology, Donghua University, Shanghai 200051, China
d Department of Electronic Engineering, Fuzhou University, Fuzhou 350002, China
e Department of Information Systems and Computing, Brunel University, Uxbridge, Middlesex UB8 3PH, United Kingdom

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ABSTRACT

Many genetic regulatory networks (GRNs) have the capacity to reach different stable states. This capacity is defined as multistability which is an important regulation mechanism. Multiple time delays and multivariable regulation functions are usually inevitable in such GRNs. In this paper, multistability of GRNs is analyzed by applying the control theory and mathematical tools. This study is to provide a theoretical tool to facilitate the design of synthetic gene circuit with multistability in the perspective of control theory. By transforming such GRNs into a new and uniform mathematical formulation, we put forward a general sector-like regulation function that is capable of quantifying the regulation effects in a more precise way. By resorting to up-to-date techniques, a novel Lyapunov–Krasovskii functional (LKF) is introduced for achieving delay dependence to ensure less conservatism. New conditions are then proposed to ensure the multistability of a GRN in the form of linear matrix inequalities (LMIs) that are dependent on the delays. Our multistability conditions are applicable to several frequently used regulation functions especially the multivariable ones. Two examples are employed to illustrate the applicability and usefulness of the developed theoretical results.

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1. Introduction

Systems biology is the study of an organism, viewed as an integrated and interacting network of genes, proteins and biochemical reactions which give rise to life, instead of analyzing individual components or aspects of the organism [1–3]. The focus on systems as opposed to individual genes or pathways is shared by the contemporaneous discipline of systems biology, which analyzes biological organisms in their entirety [4–6]. The spirit of genetic engineering in which genes and gene products are considered as a whole system could be extended to synthetic biology. In synthetic biology, the ultimate goal is to engineer unnatural biological systems that function in living organism to investigate natural biological phenomena for a variety of applications. It is reasonable to expect that ideas and method from systems and control theory which is powerful in analyzing dynamical properties and designing controller to achieve desired performance will lead to new understanding of the underlying biological processes therefore having potential applications in designing synthetic gene circuit.

The synthetic genetic regulatory networks (GRNs) prove to be a powerful tool in studying gene regulation processes in living organisms [7–12]. By using ordinary differential equations to describe the rates of concentration change in biochemical substance, such as genes, proteins, activators, repressors, enzymes, factors or products of a biochemical network, more detailed understanding and insights of the dynamic behavior exhibited by biological systems can be explored [13,14]. In particular, since GRNs are high-dimensional and nonlinear, it is also indispensable to consider the network dynamics from the viewpoint of systems and control theory [15–17].

Obviously, the precise structure of a mathematical model should be consistent with the dynamical behaviors of the system. It has been recognized that the slow processes of transcription, translation and diffusion to the place of action of a protein inevitably cause time delays. Also, for different substance in GRNs, time delays of biochemical reactions may vary due to the different
reaction pathways. Time-delays are frequently encountered in many other practical engineering systems besides GRNs, such as communication, electronics, and chemical systems. Therefore, in order to have more accurate models, it is necessary to take time delays into account in GRNs. In the past decade, stability analysis and synthesis problems for various time-delayed control systems have gained considerable research interests and a large amount of results have appeared in the literature, see, e.g. [18–21].

On the other hand, the regulation functions, which are either linear or nonlinear, play a crucial role in determining qualitative properties of GRNs, such as the number and the stability of steady states. The regulatory mechanism are actually descriptions of biochemical reaction kinetics law such as mass action law, Michaelis–Menten law and Hill kinetic law, etc. The linear or nonlinear regulation function are often single-variable and has a form of monotonicity with the single-variable. Then the regulation functions for different substance in GRNs add together to regulate a certain kind. In synthetic GRNs, one of the simplest ways to implement such an additive input function is to provide a gene with multiple promoters, each responding to one of the inputs. However, to describe the complicated relationship between different biochemical substance in GRNs, it is natural to introduce multivariable regulation functions, in which different variables are multiplying or coupling together. GRNs with regulation functions of such forms have been reported in [22–24]. It should be mentioned that, even though there are no multivariable terms, the types of regulation functions could be diverse, most of which are nonlinear [13,14].

Due to the nonlinearity of regulation functions, the coexistence of multiple steady states which refers to multistability is possible. The traditional notion of stability named as monostability in GRNs [25–27] is concerned with unique equilibrium point, and this differs significantly from the multistability mentioned here. It is noticed that multistability has certain properties which are not shared by other mechanisms of integrative control, therefore plays an important role in the dynamics of living cells and organisms [28–30]. For example, the maintenance of phenotypic differences in the absence of genetic or environmental differences, which has been demonstrated experimentally for the regulation of the lactose operon in Escherichia coli, may attribute to multistability. Cell differentiation might also be explained as multistability [31]. Bistability, a basic case of multistability, has a property that there are two stable fixed points. It has become increasingly clear that bistability is an important recurring theme in cell signaling and of particular relevance to biological systems that switch between discrete states, generate oscillatory responses. As stated in [32], bistability is a new way of looking at cell cycle control.

Recently, a lot of efforts have been made to the mathematical modeling of GRNs with multistability. In [8], a synthetic genetic co-repressive switches in the well-known lac operon in the bacteria E. coli were constructed and a model with two components was proposed. A more detailed mathematical model in which the parameters were all estimated from reported experimental data was developed in [22], and it was shown that there was bistability in the lactose operon dynamics for realistic extracellular lactose concentration values. A simplification of the above model that considered only the role of β-galactosidase in the operon regulation and ignores that of lactose permease, which also displayed bistability, was introduced in [23]. In [12], the dynamics of the bistable lactose utilization network of E. coli has been quantitatively investigated in single cell experiments. In [24], Cdc2-Cyclin B/Wee1 system was transformed to a two-variable problem under necessary assumptions and displaying bistability.

Theoretical results obtained for the multistability of a GRN have been scattered in the literature. The biological system with multistability and hysteresis has been modeled as monotone dynamic systems in [33], where the rich and elegant theory of monotone dynamic system provides an efficient mathematical tool for analysis (see [34] and references therein). Especially, in the biological systems with bistability, each stable mode of operation is associated with an appropriate invariant set in the state space and stability with respect to each set has been studied in terms of a local notion of input-to-state stability with respect to compact sets [35]. In the control communities, stability analysis should always be performed prior to the controller design. On one hand, the results on multistability should facilitate the design of synthetic gene circuits with multistability while these results are difficult to extend to engineering. On the other hand, time delays and multivariable regulation functions have not been considered in these results. How to analyze multistability of time-delayed GRNs with multivariable regulation functions in order to be potential in synthetic biology remains as an open problem. Therefore, it is essential and important to investigate the multistability of delayed GRNs with multivariable regulation functions. In the survey paper [36], the trigger and significance of this study have been summarized for considering the possible control scheme for the switch of different phenotypes in terms of epigenetics. In [36], the essential roles of time delays, negative loop and positive loop have been thoroughly discussed. Time delays have a close relationship with oscillations, even with one element negative loops. In any case, a negative loop plays to generate homeostasis around a steady state located near the thresholds, while a positive loop is a necessary condition to generate multistationarity or a multiplicity of regimes in a more general way. Despite the importance of gaining straightforward insight on the cause of multistability, to the best of the authors’ knowledge, there has been little effort towards the theoretical research on this challenging problem. Such a situation motivates our present study.

Lyapunov–Krasovskii functional (LKF) theory and linear matrix inequality (LMI) technique are powerful tools in stability analysis and controller design and have been extensively studied in the control communities (see [37–41] and references therein). Although there are also reports on the multistability analysis for neural networks [42,43], these results are focusing on the analysis rather than aiming at design. Even in this community, there are seldom reports on multistability analysis by LKF and LMI. To facilitate the readers in biology area, let us briefly discuss the LKF theory and LMI technique. Lyapunov’s direct method (also called the second method of Lyapunov) allows us to determine the stability of a system without explicitly solving differential equations. The method is a generalization of the idea that if there is some “measure of energy” in a system, then we can study the rate of change of the energy of the system to ascertain stability [44]. In case of systems with time delay, such measure of energy is often adopted as the LKF, which is typically of the quadratic form. By calculating the derivative of the LKF, it is usually concluded that the overall time-delayed system is stable if certain LMIs are feasible [37–41]. Note that the solvability of LMIs can be easily checked by using the Matlab toolbox, and a growing number of dynamics analysis problems can be converted into the feasibility of LMIs [45].

In this paper, we are concerned with the multistability of GRNs with multiple time delays. Multivariable and several different types of regulation functions are considered. We then generalize the mathematical formulation of such GRNs by proposing a sector-like regulation function. A novel LKF is introduced and the most updated techniques are employed to achieve delay dependence. A sufficient condition is then derived for the multistability of a GRN with multiple time delays and multivariable regulation functions in the form of LMIs. An important feature with the results to be reported is that, all the multistability conditions are dependent on the delays, made possible by utilizing the up-to-date techniques to achieve delay dependence. Second, our multistability conditions are applicable to several different regulation functions, which cov-
er many types of currently investigated GRNs, especially including the complicated multivariable regulation functions. Two examples which have been tested by reported experiments are employed to illustrate the applicability and usefulness of the developed theoretical results. Example 1 is a Cdc2-Cyclin B/Wee1 system model and Example 2 is a lactose system model. The GRNs in both of these examples display bistability.

Notation. The notation used throughout the paper is standard. The superscript $T$ indicates matrix transposition; $\mathbb{R}^n$ denotes the $n$-dimensional Euclidean space and $\mathbb{R}^{n \times k}$ is the set of all $n \times k$ real matrices. $A_{n \times k}$ denotes $A \in \mathbb{R}^{n \times k}$, $I$ and $0$ denote identity matrix and zero matrix, respectively, the notation $P > 0$ means that $P$ is symmetric and positive definite. In addition, diag(...) stands for a block-diagonal matrix and for a matrix $A$.

2. Model and preliminaries

In this section, we introduce a GRN model which can be described by the following differential equations for $i = 1, 2, \ldots, n$:

$$
\dot{z}_i(t) = -a_iz_i(t) + \sum_{j=1}^{n} b_{ij}g_j(z_j(t)) + \sum_{j=1}^{n} c_{ij}g_j(z_j(t - \tau_j)) + \sum_{j=1}^{n} d_{ij}y_j(t)h_j(z_j(t)) + u_i,
$$

where $z_1, \ldots, z_n$ are components of a GRN, such as genes, mRNAs, regulatory proteins, signal factors even non-coding RNAs of a biochemical network, and $z(t) = [z_1(t), z_2(t), \ldots, z_n(t)]^T \in \mathbb{R}^n$ is the substance state vector. Their rates of degradation are denoted by $a_i \in \mathbb{R}^n$. $\dot{z}_i$ the rate of change in $z_i$ represents concentration change of a variable due to production or degradation. $u_i$ is defined as a basal rate. $f_{ij}(\cdot)$ and $g_{ij}(\cdot)$ represent the feedback regulation function of the $j$th component on the $i$th substance, which are generally nonlinear or linear single-variable functions.

Due to the fact that time delays occur in transcription, translation, and diffusion to the place of action of a protein, and for different biochemical substance in GRNs, the time delays at different stages may be different, and therefore the regulation function with multiple time delays $g_{ij}(\cdot)$ is introduced. In many synthetic GRNs, the monotone regulation functions are not just simply added together in practice, but may be coupled with another variable which indicates the relationship between two components in GRNs [22,24]. Then, $y_j(\cdot)h_j(z_j(\cdot))$ is introduced in the model to describe such a complicated property. To ease notation, $h_j(\cdot)$ is also called regulation function, which has the same property with $f_{ij}(\cdot)$ and $g_{ij}(\cdot)$, and we call $y_j(\cdot)h_j(z_j(\cdot))$ multivariable regulation function which yields the combinatorial regulation mechanism. $y_j(\cdot)$ is an element that belongs to $[z_1(\cdot), z_2(\cdot), \ldots, z_n(\cdot)]^T$ but $y_j(\cdot) \neq z_j(\cdot)$. Obviously, if $y_j(\cdot) = z_j(\cdot)$, $y_j(\cdot)h_j(z_j(\cdot))$ would have a similar form with $f_{ij}(\cdot)$ and the multivariable term can be eliminated. Regulation function is used to capture the combined effect of several regulatory proteins on the control of gene expression or protein degradation and it describes the connection and topology structure of these components.

Remark 1. Linear control theory has received great research interest and the corresponding results have been fruitful. However, almost all practical systems are inherently nonlinear. Sometimes, linearization is a powerful tool, but it may prevent us from gaining the insight of nonlinear phenomenon. In neural networks, the structure of the model described by nonlinear differential equations is similar to the GRNs’ structure discussed here [46,47]. In neural networks, the activation function is like the regulation function in GRNs, but it is just a monotone nonlinear function of only one variable which is totally different from the multivariable regulation functions. The regulation mechanism in GRNs not only follows the “adding” logic like neural networks but also the “coupling” logic. In neural networks, the approach to dealing with nonlinearity is usually based on a “linearization” idea that sets a linear boundary to be an approximation of the nonlinear activation functions. Such an idea works well when there is one variable, but may face unmanageable difficulties when it comes to the multivariable regulation functions. In other words, we are not able to inherit the method for dealing with nonlinear terms in neural networks. Therefore, there is a need to transform the model into a new form so as to facilitate the handling of such nonlinear terms.

In the following, let us consider the regulation functions in order to show the existence of multiple equilibrium points, hence the multistability.

Remark 2. We consider a simplified GRN model:

$$
\dot{z}_i(t) = -a_iz_i(t) + \sum_{j=1}^{n} b_{ij}R_j(z_j(t)).
$$

A regulation function often found in the literature is the Hill type [14]:

$$
R_j(z_j(t)) = \frac{z_j^{H_j}(t)}{\theta_j + z_j^{H_j}(t)},
$$

with $\theta_j > 0$ is the threshold for the regulatory influence of $z_j$ on a target component $z_i$, and $H_j$ is the Hill coefficients. The function ranges from 0 to 1 and increases as $z_j \to \infty$, so that an increase in $z_j$ will tend to increase the expression rate, then component $j$ is an activator of gene $i$. If component $j$ is a repressor of gene $i$, then

$$
R_j(z_j(t)) = 1 - \frac{z_j^{H_j}(t)}{\theta_j + z_j^{H_j}(t)}.
$$

Then, the GRN (2) can be rewritten as

$$
\dot{z}_i(t) = -a_iz_i(t) + \sum_{j=1}^{n} b_{ij}R_j(z_j(t)) + u_i
$$

for $i = 1, 2, \ldots, n$, with

$$
\bar{R}_j(z_j(t)) = \frac{z_j^{H_j}(t)}{\theta_j + z_j^{H_j}(t)},
$$

where $\bar{R}_j$ is the set of all the $j$ which is a repressor of gene $i$, and $u_i$ is defined as a basal rate. If $b_{ij} = -a_iz_i$, $z_j$ is an activator of $z_i$; if $b_{ij} = 0$, $z_j$ is no link with $z_i$; if $b_{ij} = a_iz_i$, $z_j$ is a repressor of $z_i$. If $\theta_j = 1$, the regulation function is in a standard form. Eqs. (3) and (4) can be easily transformed to a standard form.

Let $\tilde{z}_i(t) = 0$, that is, $a_iz_i(t) = -u_i$, which solves the equilibrium point. For convenience, we consider one variable case, i.e., we define right hand of the equality above $f(z) = z^{H_j}/(1 + z^{H_j})$, left hand $H(z) = az - u$. It is shown that there could be three cross points at most when $H_q = 2$ in Fig. 1(a) and two cross points when $H_q = 1$ in Fig. 1(b).

Assume that a GRN (1) has $N$ equilibrium points and let $z_{KN}^i = (z_{1N}^i, z_{2N}^i, \ldots, z_{nN}^i)^T$ be the $k$th equilibrium point, $i = 1, 2, \ldots, N$. So we have

$$
0 = -a_iz_{kn}^i + \sum_{j=1}^{n} b_{ij}f_j(z_{kn}^j) + \sum_{j=1}^{n} c_{ij}g_j(z_{kn}^j) + \sum_{j=1}^{n} d_{ij}y_j(z_{kn}^j) + u_i.
$$

(6)
Subtracting (6) from (1) gives

\[
\dot{z}_i(t) - \dot{z}_i^0 = -a_i [z_i(t) - z_i^0] + \sum_{j=1}^{n} b_{ij} f_j(z_j(t)) - f_j(z_j^0) + \sum_{j=1}^{n} c_{ij} g_j(z_j(t)) + \sum_{j=1}^{n} d_{ij} y_j(t), \tag{7}
\]

Let

\[
z_{ij}(t) = y_{ij}(t),
\]

\[
\dot{x}_{ih}(t) = x_{ih}(t) - z_{ij}(t),
\]

\[
f_{ij}(x_{ij}) = f_j(x_{ij} + z_{ij}^0) - f_j(z_{ij}^0),
\]

\[
g_{ij}(x_{ij}) = g_j(x_{ij} + z_{ij}^0) - g_j(z_{ij}^0),
\]

\[
\dot{h}_{ij}(x_{ij}(t)) = h_j(x_{ij} + z_{ij}^0) - h_j(z_{ij}^0),
\]

\[
\sum_{j=1}^{n} d_{ij} y_j(t) = -\sum_{j=1}^{n} c_{ij} x_{ij}(t).
\]

For convenience, see Appendix A for the derivation.

Equivalently, Eq. (16) can be written as

\[
\dot{x}(t) = -(A + E_k) x(t) + \sum_{j=1}^{n} B_{ij} f_j(x_j(t)) + \sum_{j=1}^{n} C_{ij} g_j(x_j(t - \tau_j(t))) + \sum_{j=1}^{n} D_{ij} h_j(x_j(t)), \tag{17}
\]

where

\[
\begin{align*}
&x_1(t) = \begin{bmatrix} x_{i1}(t) \\ x_{i2}(t) \\ \vdots \\ x_{in}(t) \end{bmatrix}, \quad f_i(x(t)) = \begin{bmatrix} f_{i1}(x_1(t)) \\ f_{i2}(x_2(t)) \\ \vdots \\ f_{in}(x_n(t)) \end{bmatrix}, \\
&g_i(x(t)) = \begin{bmatrix} g_{i1}(x_1(t)) \\ g_{i2}(x_2(t)) \\ \vdots \\ g_{in}(x_n(t)) \end{bmatrix}, \\
&h_i(x(t)) = \begin{bmatrix} h_{i1}(x_1(t)) \\ h_{i2}(x_2(t)) \\ \vdots \\ h_{in}(x_n(t)) \end{bmatrix}.
\end{align*}
\]

Consider the regulation function \(f_j(z_{ij})\), which is divided into \(k_{f_{ij}}\) piecewise intervals along the variable \(z_{ij}\). If there exist \(N\) equilibrium points of (1), we let \(k_{f_{ij}} = N\) and select \(N\) intervals

\[
\Omega_f = \left\{ \left[ \left[ z_{ij}^0, z_{ij}^1 \right] \times \left[ z_{ij}^1, z_{ij}^2 \right] \times \cdots \times \left[ z_{ij}^{N-1}, z_{ij}^N \right] \right] \right\},
\]

\[
\Omega_r = \left\{ \left[ \left[ \theta_{ij}^0, \theta_{ij}^1 \right] \times \left[ \theta_{ij}^1, \theta_{ij}^2 \right] \times \cdots \times \left[ \theta_{ij}^{N-1}, \theta_{ij}^N \right] \right] \right\},
\]

Then, the \(k\)th equilibrium point lies in the following region

\[
\Omega_r = \left\{ \left[ \left[ \theta_{ij}^0, \theta_{ij}^1 \right] \times \left[ \theta_{ij}^1, \theta_{ij}^2 \right] \times \cdots \times \left[ \theta_{ij}^{N-1}, \theta_{ij}^N \right] \right] \right\}.
\]

Similarly, consider the regulation function \(g_j(z_{ij})\), linear function \(r_j(z_{ij}(t)) = z_{ij}(t)\) and regulation function \(h_j(z_{ij})\), the \(k\)th equilibrium point lies in the following regions

\[
\Omega_g = \left\{ \left[ \left[ \theta_{ij}^0, \theta_{ij}^1 \right] \times \left[ \theta_{ij}^1, \theta_{ij}^2 \right] \times \cdots \times \left[ \theta_{ij}^{N-1}, \theta_{ij}^N \right] \right] \right\},
\]

\[
\Omega_h = \left\{ \left[ \left[ \theta_{ij}^0, \theta_{ij}^1 \right] \times \left[ \theta_{ij}^1, \theta_{ij}^2 \right] \times \cdots \times \left[ \theta_{ij}^{N-1}, \theta_{ij}^N \right] \right] \right\},
\]

respectively.

**Assumption 1.** Let \(k_{f_{ij}} = N\). Each regulation function in (1), \(f_{ij}(\cdot)\), \(i,j = 1, 2, \ldots, n\), satisfies the following condition when \(\forall x_k, y_k \in [z_{ij}^0, z_{ij}^1]\) \(x_k \neq y_k\), \(1 \leq k \leq N\):

\[
x_{ij} \leq \frac{f_j(x_k) - f_j(y_k)}{x_k - y_k} \leq x_{ij}^c,
\]

where \(x_{ij}^c\) and \(x_{ij}^r\) are nonnegative constants.

Because the \(k\)th equilibrium point is shifted to origin, the new equilibrium point \(0\) lies in the region.
\[ \mathcal{Z}_k = \left\{ \frac{\sum_{i=1}^{n} \left( \bigcap_{j=1}^{n} \tilde{z}_{ij}^{(k)} \right)}{x_j} \right\} \]

where \( \tilde{z}_{ij}^{(k)} = \tilde{z}_{ij}^{(k-1)} - z_{ij} < 0 \) and \( \tilde{z}_{ij}^{(k)} = \tilde{z}_{ij}^{(k-1)} > 0 \). 

By (9) and Assumption 1, it is not difficult to verify that

\[ x_{ij} \leq \tilde{f}_{ij}(x_j) \leq z_{ij}, \quad (18) \]

and it is easy to see that \( f_{ij}(0) = 0 \).

Similarly, by (9) and Assumption 1, it is not difficult to verify that

\[ \forall x_j \in [\lambda_{ij-1}] \cup (0, \lambda_{ij}], \quad i = 1, 2, \ldots, n, \]

\[ \beta_{ij} \leq g_{ij}(x_j) \leq \beta_{ij}, \quad (19) \]

where \( \lambda_{ij-1} = \lambda_{ij-1} - z_{ij} < 0 \), \( \lambda_{ij} = \lambda_{ij} - z_{ij} > 0 \), \( \beta_{ij} \) and \( \beta_{ij} \) are nonnegative constants. It is easy to see that \( g_{ij}(0) = 0 \).

Letting \( x_{ij} = \theta_{ij}(x_j) \) and \( x_{ij} = \theta_{ij}(0) \), we get

\[ x_{ij} \leq y_{ij}(t) \leq x_{ij}^{\ast}, \quad (20) \]

Obviously, \( x_{ij} \) and \( x_{ij}^{\ast} \) are positive constants because the concentration of biochemical substance cannot be negative.

Similarly, by (9) and Assumption 1, it is not difficult to verify that

\[ \forall x_j \in [\lambda_{ij-1}] \cup (0, \lambda_{ij}], \quad i = 1, 2, \ldots, n, \]

\[ \sigma_{ij} \leq h_{ij}(x_j) \leq \sigma_{ij}, \quad (21) \]

where \( \mu_{ij-1} = \mu_{ij-1} - z_{ij} < 0 \), \( \mu_{ij} = \mu_{ij} - z_{ij} > 0 \), \( \sigma_{ij} \) and \( \sigma_{ij} \) are nonnegative constants. It is easy to see that \( h_{ij}(0) = 0 \).

Let \( k_{\text{max}} = k_{\text{min}} = N \), \( i = 1, 2, \ldots, n, \) \( 1 \leq k \leq N \) and

\[ \gamma_{ij} = x_{ij}^{\ast} \sigma_{ij}, \quad \tilde{\gamma}_{ij} = x_{ij} \sigma_{ij}. \]

By (20), (21) and Assumption 1, we can have the following corollary.

**Corollary 1.** Each multivariable regulation function in (17), \( y_{ij} = k_{ij}(x_j) \), \( i = 1, 2, \ldots, n \), satisfies the following condition when \( \forall x_j \in [\lambda_{ij-1}] \cup (0, \lambda_{ij}], \forall y_{ij} \in [\gamma_{ij}, \tilde{\gamma}_{ij}] \):

\[ \frac{y_{ij} - h_{ij}(x_j)}{x_j} \leq y_{ij}, \quad (22) \]

**Remark 3.** The inequalities (18), (19) and (21) are similar to the one proposed in [48,49] for the activation function of neural networks. As pointed out in [48,49], this description could be non-monotonic, and is more general than the usual sigmoid functions and the recently commonly used Lipschitz conditions. We like to point out that such a description is very precise/tight in quantifying the lower and upper bounds of the regulation functions, hence very helpful for using LMI-based approach to reduce the possible conservatism.

3. Multistability conditions of GRNs

In this section, we present our multistability condition for the GRN with multiple time delays and multivariable regulation functions described in the previous section.

**Definition 1.** A GRN is said to have N-stability if it has \( N \) \((N \geq 1)\) stable equilibrium points. In this case, the GRN is said to be N-stable. Specially, a GRN has bistability if \( N = 2 \).

**Theorem 1.** The system in (1) is asymptotically N-stable, if there exist matrices \( P_{kl} > 0 \), \( Q_{ij} > 0 \), \( Z_{ij} > 0 \), and diagonal matrices \( U_{ij}^{\ast}, U_{ij}, V_{ij}^{\ast}, V_{ij} \), \( W_{ij}^{\ast}, W_{ij} \), \( W_{ij}^{\ast} \) and \( W_{ij} \), \( k = 1, \ldots, N \), \( i = 1, \ldots, n \), \( j = 1, 2 \), such that the following LMI holds:

\[ \Theta_k + A_k + A_k^{\ast} < 0, \quad (23) \]

where

\[ \Theta_k = \Theta_{kl} + \sum_{i=1}^{n} (\Theta_{kij} + \Theta_{klj}), \]

\[ \Theta_{kl} = M_{kl}^{T} P_{kl} M_{kl} + \sum_{i=1}^{n} (\Theta_{kij} + \Theta_{klj}), \]

\[ \Theta_{kij} = M_{kij}^{T} U_{ij} M_{kij}^{T} + M_{kij}^{T} U_{ij} M_{kij}^{T}, \]

\[ \Theta_{klj} = M_{klj}^{T} U_{ij}^{\ast} M_{klj}^{T} + M_{klj}^{T} U_{ij}^{\ast} M_{klj}^{T}, \]

\[ A_k = \left[ -(A + E_k) - I \right] \sum_{i=1}^{n} B_i \sum_{i=1}^{n} D_i, \]

For the kth equilibrium point, by transformation we have

\[ \dot{x}(t) = -(A + E_k) x(t) + \sum_{i=1}^{n} B_i u_i(x(t)) + \sum_{i=1}^{n} D_i \dot{h}_{ij}(x(t)). \]

Based on Theorem 1, we can get the following corollary.

**Corollary 2.** The system in (23) is asymptotically N-stable, if there exist matrices \( P_{kl} > 0 \), and diagonal matrices \( U_{ij}^{\ast}, U_{ij}, V_{ij}^{\ast}, V_{ij} \), \( W_{ij}^{\ast}, W_{ij} \), \( W_{ij}^{\ast} \) and \( W_{ij} \), \( k = 1, \ldots, N \), \( i = 1, \ldots, n \), \( j = 1, 2 \), such that the following LMIs hold:

\[ \Theta_k + A_k + A_k^{\ast} < 0, \quad (25) \]

where

\[ \Theta_k = M_{kl}^{T} P_{kl} M_{kl} + \sum_{i=1}^{n} (\Theta_{kij} + \Theta_{klj}), \]

\[ \Theta_{kij} = M_{kij}^{T} U_{ij} M_{kij}^{T} + M_{kij}^{T} U_{ij} M_{kij}^{T}, \]

\[ \Theta_{klj} = M_{klj}^{T} U_{ij}^{\ast} M_{klj}^{T} + M_{klj}^{T} U_{ij}^{\ast} M_{klj}^{T}, \]

\[ A_k = \left[ -(A + E_k) - I \right] \sum_{i=1}^{n} B_i \sum_{i=1}^{n} D_i, \]
Remark 4. Though not in ordinary form of LMIs, Theorem 1 and Corollary 2 are indeed in the standard LMIs form, which can be easily solved by the standard software. Moreover, this form simplified as $W_0^TWX + W_0^TY W_f$ is more Iaconic. It expresses the LMIs in several parts, each of which has a symmetric structure with the matrix variable to be determined in center. Here, $W_X$ is the parameter matrix of linear combination of vector elements. For example, $\alpha x_1 + \beta x_2 = 0$ could be written as $W_0 = 0$, where $W_0 = [a^T b^T]$ and $X = [x_1 x_2]$.

Remark 5. In our main results, we propose a general sector-like regulation function to derive stability conditions for GRNs with both multiple time delays and multivariable regulation functions. Up-to-date techniques are utilized for achieving delay dependence to ensure less conservatism. In the next section, the obtained general multistability conditions are demonstrated via two practical examples.

4. Illustrative examples

In this section, two examples are employed to show (1) the generality of our proposed regulation functions; and (2) the applicability of our main results for multistability. There are multivariable regulation functions in both of the examples. Specifically, Example 1 is concerned with a Cdc2-Cyclin B/Wee1 system and Example 2 is about a reduced model of lactose system.

Example 1. Consider the well-known Cdc2-Cyclin B/Wee1 system in cell cycle described in [24]:

\[
\begin{align*}
\dot{x}_1 &= \alpha_1 (1 - x_1) - \frac{\beta_1 x_1 (\alpha x_2)^{\gamma_1}}{K_1 + (\alpha x_2)^{\gamma_1}}, \\
\dot{x}_2 &= \alpha_2 (1 - x_2) - \frac{\beta_2 x_2 x_2^2}{K_2 + x_1},
\end{align*}
\]

where $x_1$ denotes active Cdc2, $x_2$ denotes active Wee1; $\alpha_1 = \alpha_2 = 1$, $\beta_1 = 200$, $\beta_2 = 10$ are rate constants; $K_1 = 30$, $K_2 = 1$ are Michaelis (saturation) constants; $\gamma_1 = \gamma_2 = 4$ are Hill coefficients; and $\nu$ is a coefficient that reflects the strength of the influence of Wee1 on Cdc2-Cyclin B. We select $\nu = 1$ which guarantees the bistability of (26).

Simulation results are depicted in Fig. 2. It is shown that two stable states can be achieved. The solid lines represent the stable states and the dashed lines represent the unstable states.

Letting $y_1 = x_1$, $y_2 = x_2/\sqrt{30}$, we obtain the standard form:

\[
\begin{align*}
\dot{y}_1 &= -y_1 - \frac{\beta_1 y_1 y_2^4}{1 + y_2^2} + 1, \\
\dot{y}_2 &= -y_2 - \frac{\beta_2 y_2 y_1^4}{1 + y_1^2} + 1/\sqrt{30},
\end{align*}
\]

where the regulation function $h(x) = x^4/(1 + x^4)$, and $d(x) = h(x) = 4x^3/(1 + x^4)^2 \leq 1.065$. $f(x)$ and $h(x)$ are depicted in Fig. 3. We can get three equilibrium points $x_1^* = (0.1, 0.1)^T$, $x_2^* = (0.51, 0.62)^T$ and $x_3^* = (0.14, 1)^T$ of (26), or $y_1^* = (0.9947, 0.0719)^T$, $y_2^* = (0.51, 0.26)^T$ and $y_3^* = (0.1357, 0.4258)^T$ of (27).

We rewrite model (27) into a compact matrix form

$$
\dot{z}(t) = -(A + E_3) z(t) + D h_3(z(t)),
$$

where

$$
\begin{align*}
\dot{z}_1 &= [z_1(t) - y_1(t), 0, 0], \\
\dot{z}_2 &= [y_2(t) - y_1(t), 0, 0, 0],
\end{align*}
$$

$$
A = \begin{bmatrix} 3 & 0 \\ 0 & 0 \end{bmatrix}, \\
E_3 = \begin{bmatrix} 0 & \beta_1 h(x_2^*) \\ \beta_2 h(x_1^*) & 0 \end{bmatrix}, \\
D = \begin{bmatrix} 0 & -\beta_1 \\ -\beta_2 & 0 \end{bmatrix}.
$$

When $\nu \in [0.55, +\infty)$ and $\nu \in [0.05, 0.25]$, $H_1^* = \text{diag}(1.065, 0.0620) \times \text{diag}(0.25, +\infty)$, $H_2^* = \text{diag}(0.0) \times \text{diag}(0.055)$. We can obtain a feasible solution by solving LMIs with the following obtained matrix variables (for space consideration, we only list the matrix variables

![Fig. 2. Transient behavior of system (26).](image-url)
$P_1$, $W_t^i$ and $W_{r}$; and for a valid simulation, we take 100 as a substitute for $\infty$ in $y_t^i$ and $H_t$):

\[
P_1 = \begin{bmatrix} 9.4 & 31.1 \\ 31.1 & 9138.3 \end{bmatrix}, \quad W_t^i = \begin{bmatrix} 1221.6 & 0 \\ 0 & 3584.8 \end{bmatrix}, \quad W_r = \begin{bmatrix} 67300 \quad 0 \\ 0 \quad 153330 \end{bmatrix}.
\]

When $y_t^i \in [0.45, 0.55] \times [0.25, 0.35]$, $H_t = \text{diag}(0.5586, 0.1665) \times \text{diag}(0.35, 0.5)$, $H_1 = \text{diag}(0.3363, 0.0620) \times \text{diag}(0.25, 0.45)$. The solution is infeasible.

When $y_t^i \in [0.45] \times [0.35, +\infty)$, $H_t = \text{diag}(0.3363, 1.065) \times \text{diag}(+\infty, 0.45)$, $H_1 = \text{diag}(0.00) \times \text{diag}(0.35, 0.5)$. We can obtain a feasible solution by solving LMI s with the following obtained matrix variables:

\[
P_2 = \begin{bmatrix} 0.0647 & 0.0878 \\ 0.0878 & 2.8020 \end{bmatrix}, \quad W_t^i = \begin{bmatrix} 0.0784 & 0 \\ 0 \quad 17.0333 \end{bmatrix}, \quad W_r = \begin{bmatrix} 127.4501 & 0 \\ 0 \quad 97.9623 \end{bmatrix}.
\]

**Example 2.** In this example, we consider a reduced model of lac system. The lac operon consists of a promoter/operator region and three larger structural genes, lacZ, lacY, and lacA. In the presence of external lactose (Le), lactose is transported into the cell by a permease (P). Intracellular lactose (L) is then broken down into glucose, galactose, and allolactose (A) by the enzyme $\beta$-galactosidase (B). The allolactose (A) feeds back to bind with the lactose repressor and enables the transcription process to proceed. Once the mRNA has been produced, the process of translation is initiated. The lacZ gene encodes for the mRNA responsible for the production of $\beta$-galactosidase (B) and translation of the lacY gene produces mRNA ultimately responsible for the production of a membrane permease (P).

In [23], it is assumed that there is a constant permease concentration and lactose is in a quasisteady state across the membrane. Therefore, there is a one-to-one relationship between the external and internal lactose. Then lactose (L) and permease (P) dynamics are not considered. A reduced model of three differential equations is considered in [23]:

\[
\begin{align*}
\dot{A} &= x_0 \left( B \frac{L}{K_0 + L} - B \frac{A}{K_0 + A} - \gamma_0 A, \\
\dot{B} &= x_0 e^{-M t} M_0 - \gamma_0 B, \\
\dot{M} &= x_M \left( 1 + \frac{K_1 e^{-M t} A_0^n}{K + K_1 e^{-M t} A_0^n} \right) - \gamma_0 M,
\end{align*}
\]

where $M$ is the mRNA concentration, $B$ is the $\beta$ galactosidase concentration, $A$ is the concentration of allolactose (the effector in the lac repressor), $L$ is the intracellular lactose concentration, $A_0 = A(t - \tau_0)$, $M_0 = M(t - \tau_0)$ and the bacterial growth rate is given by $\mu$. The parameters are given in Table 1.

We rewrite model (28) into a compact matrix form

\[
x(t) = -\left( \mathbf{A} + \mathbf{B} \right) x(t) + \mathbf{C}_1 \mathbf{G}_1^* x(t) + \mathbf{C}_2 \mathbf{G}_2^* x(t) + \mathbf{D} \mathbf{h}_x(t),
\]

where

\[
\begin{align*}
\mathbf{g}(A) &= \frac{1 + K_1 e^{-M t} A_0^n}{K + K_1 e^{-M t} A_0^n}, \\
\mathbf{h}(A) &= \frac{A}{K_0 + A}, \\
\mathbf{L} &= \frac{L}{K_0 + L},
\end{align*}
\]

\[
\begin{bmatrix} A_0 \\ B_0 \\ M_0 \end{bmatrix} = \begin{bmatrix} A - A_0 \\ B - B_0 \\ M - M_0 \end{bmatrix}, \quad \begin{bmatrix} A_{t} \\ B_{t} \\ M_{t} \end{bmatrix} = \begin{bmatrix} A_{t} - A_0 \\ B_{t} - B_0 \\ M_{t} - M_0 \end{bmatrix}.
\]

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
<th>Unit</th>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
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<td>min</td>
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</tr>
<tr>
<td>$\gamma_4$</td>
<td>1.35</td>
<td>10$^5$ min$^{-1}$</td>
<td>$\tau_4$</td>
<td>2.00</td>
</tr>
</tbody>
</table>

There are three equilibrium points with $L = 50$ (mM):

\[
\begin{align*}
\mathbf{S}_1 &= \left( A_1, B_1, C_1 \right) = \left( 4.27 \text{ mM}, 0.23 \text{ nM}, 0.46 \text{ nM} \right), \\
\mathbf{S}_2 &= \left( A_2, B_2, C_2 \right) = \left( 11.73 \text{ mM}, 0.7 \text{ nM}, 1.39 \text{ nM} \right), \\
\mathbf{S}_3 &= \left( A_3, B_3, C_3 \right) = \left( 64.68 \text{ mM}, 16.42 \text{ nM}, 32.71 \text{ nM} \right).
\end{align*}
\]

$S_1$ and $S_3$ are stable, $S_2$ is unstable. In the following, we show the effectiveness of our theorem.

When $S_1 \in [0, 0.8] \times [0.04, 0.09] \times [0.1]$, $F_t = \text{diag}(0.1, 1.0)$, $F_1 = \text{diag}(0.1, 1.0)$, $G_1 = G_2 = \text{diag}(5.967 \times 10^{-5}, 0.1)$, $G_21 = G_{12} = \text{diag}(0.1, 0.1)$, $H_1 = \text{diag}(5.1282 \times 10^{-4}, 0.0) \times \text{diag}(0.4, 0.0)$, $H_1 = \text{diag}(5.0864 \times 10^{-4}, 0.0) \times \text{diag}(0.4, 0.0)$, we can obtain a feasible solution by solving LMI s with the following obtained matrix variables (for space consideration, we only list the matrix variable $P_1$):

\[
P_1 = \begin{bmatrix} 0.0040 & -0.0310 & -0.0006 \\ -0.0310 & 1.2819 & -0.0492 \\ -0.0006 & -0.0492 & 0.0261 \end{bmatrix}.
\]

When $S_1 \in [8, 15] \times [0.4, 10] \times [1.15]$, $F_1 = \text{diag}(0.1, 0.1)$, $F_3 = \text{diag}(0.1, 0.1)$, $G_{12} = G_2 = \text{diag}(1.0482 \times 10^{-4}, 0.1)$, $G_21 = G_{12} = \text{diag}(5.967 \times 10^{-5}, 0.1)$, $H_1 = \text{diag}(5.0864 \times 10^{-4}, 0.0) \times \text{diag}(0.4, 0.0)$, $H_2 = \text{diag}(5.0502 \times 10^{-4}, 0.0) \times \text{diag}(0.4, 0.0)$, the solution is infeasible.

When $S_1 \in [15, +\infty) \times [10, +\infty) \times [15, +\infty]$, $F_3 = \text{diag}(0.1, 0.1)$, $F_1 = \text{diag}(0.1, 0.1)$, $G_{21} = G_{12} = \text{diag}(1.215 \times 10^{-3}, 0.1)$, $G_21 = G_{12} = \text{diag}(0.1, 0.1)$, $H_1 = \text{diag}(5.0502 \times 10^{-4}, 0.0) \times \text{diag}(+\infty, 0.0)$, $H_2 = \text{diag}(4.6401 \times 10^{-4}, 0.0) \times \text{diag}(10.0, 0.0)$, we can obtain a feasible solution by solving LMI s with the following obtained matrix variables (for space consideration, we only list the matrix variables $P_2$):
5. Conclusion

In this paper, we have made an effort to show the possibility of applying control theory to investigate the multistability of a GRN, therefore having potential applications in the design of synthetic gene circuits with multistability. A novel and uniform mathematical formulation is proposed to describe a GRN with multiple time delays and multivariable regulation functions. A method has been presented for the analysis of multistability of such a GRN. By using a Lyapunov–Krasovskii functional (LKF) approach and linear matrix inequalities (LMIs) techniques, the multistability criteria for a GRN with multiple time delays and multivariable regulation functions have been established in the form of LMIs, which can be readily verified by using standard numerical software. An important feature of the results reported here is that all the multistability conditions are dependent on the delays, which is made possible by utilizing the most updated techniques for achieving delay dependence. Also, our multistability conditions are applicable to several different regulation functions, which cover many types of currently investigated GRNs, especially including the complicated multivariable regulation functions. Two examples have been employed to illustrate the applicability and usefulness of the developed theoretical results, which are concerned with, respectively, a Cdc2–Cyclin B/Wee1 system model and a lactose system model, both of which display bistability.

Appendix A. Derivation of (16)

By (7)–(15), we have

\[
\dot{x}_i(t) = -a_i x_i(t) + \sum_{j=1}^{n} b_{ij} f_{ij}(x_j(t)) + \sum_{j=1}^{n} c_{ij} g_{ij}(x_j(t - \tau_j)) \\
+ \sum_{j=1}^{n} d_{ij} [y_{ij}(t) h_{ij}(x_j(t)) + z_{ij}(t) \dot{h}_{ij}(x_j(t))]
\]

or

\[
\dot{x}_i(t) = -a_i x_i(t) + \sum_{j=1}^{n} b_{ij} f_{ij}(x_j(t)) + \sum_{j=1}^{n} c_{ij} g_{ij}(x_j(t - \tau_j)) \\
+ \sum_{j=1}^{n} d_{ij} y_{ij}(t) h_{ij}(x_j(t)) + \sum_{j=1}^{n} d_{ij} y_{ij}(t) \dot{h}_{ij}(x_j(t))
\]

Then (16) could be obtained.

Appendix B. Proof of Theorem 1

We first show that the kth equilibrium point is asymptotically stable. The Lyapunov–Krasovskii functional is defined as follows:

\[
V_k(x(t)) = V_{k1}(x(t)) + V_{k2}(x(t)) + V_{k3}(x(t)),
\]

\[
V_{k1}(x(t)) = x^T(t) P_k x(t),
\]

\[
V_{k2}(x(t)) = \sum_{i=1}^{n} \int_{t-\tau_i}^{t} x^T(\zeta) Q_k x(\zeta) d\zeta,
\]

\[
V_{k3}(x(t)) = \sum_{i=1}^{n} \int_{t-\tau_i}^{t} \dot{x}^T(\zeta) Z_k \dot{x}(\zeta) d\zeta.
\]

The derivatives of \(V_k(x(t)), j = 1, 2, 3\), are given by

\[
\dot{V}_k(x(t)) = 2x^T(t) P_k x(t),
\]

\[
\dot{V}_{k2}(x(t)) = \sum_{i=1}^{n} [x^T(t) Q_k x(t) - x^T(t - \tau_i) Q_k x(t - \tau_i)],
\]

\[
\dot{V}_{k3}(x(t)) = \sum_{i=1}^{n} \left[ \tau \dot{x}^T(t) Z_k \dot{x}(t) - \int_{t-\tau_i}^{t} \dot{x}^T(\zeta) Z_k \dot{x}(\zeta) d\zeta \right].
\]

From Jensen’s inequality, we can easily get

\[
-\int_{t-\tau_i}^{t} \dot{x}^T(\zeta) Z_k \dot{x}(\zeta) d\zeta \leq -\frac{1}{\tau_i} \left[ \int_{t-\tau_i}^{t} \dot{x}(\zeta) d\zeta \right]^T Z_k \left[ \int_{t-\tau_i}^{t} \dot{x}(\zeta) d\zeta \right] = -\frac{1}{\tau_i} [x(t) - x(t - \tau_i)]^T Z_k [x(t) - x(t - \tau_i)].
\]

By (18), for any scalar \(u^*_{ij} \geq 0\), it is clear that for \(i = 1, \ldots, n\),

\[
\sum_{j=1}^{n} u^*_{ij} f_{ij}(x_j(t)) \left[ x^T_{ij} y_{ij}(x_j(t)) - f_{ij}(x_j(t)) \right] \geq 0,
\]

then

\[
\sum_{j=1}^{n} \sum_{i=1}^{n} \left[ u^*_{ij} f_{ij}(x_j(t)) x^T_{ij} y_{ij}(x_j(t)) - u^*_{ij} f_{ij}(x_j(t)) f_{ij}(x_j(t)) \right] \geq 0,
\]

or equivalently

\[
\sum_{i=1}^{n} \left[ f_{ii}(x(t)) U_{ii} F^T_{ii} x(t) - f_{ii}(x(t)) U_{ii} F_{ii}(x(t)) \right] \geq 0.
\]

Similarly, for any scalars \(u^*_{ii} \geq 0\), \(v^*_{ii} \geq 0\) and \(w^*_{ii} \geq 0\), we have, respectively,

\[
\sum_{i=1}^{n} \left[ f_{ii}(x(t)) U_{ii} f_{ii}(x(t)) - f_{ii}(x(t)) U_{ii} W_{ii} \right] \geq 0,
\]

\[
\sum_{i=1}^{n} \left[ g_{ii}(x(t) - \tau_i) V_{ii} G_{ii} x(t - \tau_i) - g_{ii}(x(t) - \tau_i) V_{ii} G_{ii} x(t - \tau_i) \right] \geq 0.
\]

and

\[
\sum_{i=1}^{n} \left[ g_{ii}(x(t) - \tau_i) V_{ii} G_{ii} x(t - \tau_i) - g_{ii}(x(t) - \tau_i) V_{ii} G_{ii} x(t - \tau_i) \right] \geq 0.
\]

Also, by Corollary 1, for any scalars \(w^*_{ij} \geq 0\) and \(w^*_{ii} \geq 0\), we have that for \(i = 1, \ldots, n\),

\[
\sum_{i=1}^{n} \left[ h_{ii}(x(t)) W_{ii} H_{ii} x(t) - h_{ii}(x(t)) W_{ii} H_{ii} x(t) \right] \geq 0.
\]
which follows from (22) that \( V_k(x(t)) < -\epsilon_k||x(t)||^2 \) for a sufficiently small \( \epsilon_k > 0 \), \( k = 1, \ldots, N \) and \( x(t) \neq 0 \), then the asymptotic N-stability is established and the GRN (1) is N-stable.

References


