## The dynamics of mapping classes on surfaces

Eriko Hironaka

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## 8 Teichmüller polynomials, fibered faces, and families of digraphs.

Let M be a hyperbolic 3-manifold, F a fibered face,  $G = H_1(M; \mathbb{Z})$ . Recall that the dimension of F is  $b_1(M) - 1$ , and rational points on F are in one-to-one correspondence with primitive elements of  $\Psi(M, F)$ , the fibrations with connected fibers, and primitive elements of  $\Phi(M, F)$ .

Given an element  $f = \sum_{g \in G} a_g g \in \mathbb{Z}G$ , and  $\psi \in H^1(M;\mathbb{Z})$ , the specialization of f by  $\psi$  is given by

$$f^{\psi}(x) = \sum_{g \in G} a_g x^{\psi(g)}$$

Given a polynomial f(x), the house |f| of f is the maximum norm amongst roots of f.

**Theorem 1 (McMullen [McM])** There is an element  $\Theta \in \mathbb{Z}G$  such that for all  $\psi \in \Psi(M, F)$  with monodromy  $\phi : S \to S$ ,

$$\lambda(\phi) = |\Theta^{\psi}|.$$

In this lecture, we will show how traintracks for mapping classes in  $\Phi(M, F)$  are related to one another.

As before, let  $\psi \in \Psi(M, F)$ , and let  $\phi : S \to S$  be the monodromy. Let  $\beta_1, \ldots, \beta_k$  be generators for  $H^1(S; \mathbb{Z})^{\psi - \text{inv}}$ . Let  $\underline{\beta} : H_1(S; \mathbb{Z}) \to \mathbb{Z}^k$ , be the corresponding epimorphism, and let

$$\widetilde{\rho}: S \to S,$$

be the corresponding  $\mathbb{Z}^k$ -coveirng of S.

Identify  $\widetilde{M}^{ab}$  with  $\widetilde{S} \times \mathbb{R}$ . Let  $\zeta_1, \ldots, \zeta_k$  be generators of  $\operatorname{Aut}_S(\widetilde{S})$ , and let

$$Z_i(x,s) = (\zeta_i(x), s),$$

for all  $(x,t) \in \widetilde{S} \times \mathbb{R}$ . Choose a lift  $\phi$  of  $\phi$ , and let

$$T(x,s) = (\phi, s-1).$$

Let  $\tau \subset$  be a train track for  $\phi$  and let  $\tilde{\tau}$  be its preimage in S.

**Lemma 2** the lifted train track  $\tilde{\tau}$  carries  $\tilde{\phi}$ , and is invariant under the action of  $G = H_1(M;\mathbb{Z})$  on  $\widetilde{M}^{ab}$ .

Let  $V_{\tau}$  be the vector space spanned by the edges of  $\tau$ . The integral points of  $V_{\tau}$  (elements with integral coefficients), correspond to  $\mathbb{Z}$ -linear combinations of the edges of  $\tau$ . Let  $V_{\tilde{\tau}}$  be the free  $\Lambda_k = \mathbb{RZ}^k$  module spanned by the edges of  $\tau$ . The integral points of  $V_{\tilde{\tau}}$  can be thought of as  $\mathbb{Z}$ -linear combinations of the edges of  $\tilde{\tau}$ , respecting the action of  $\mathbb{Z}^k$ .

Let  $\Theta \in \mathbb{Z}G$  be the characteristic polynomial of the transition matrix for the train track map

$$A_{\widetilde{\phi}}: V_{\widetilde{\tau}} \to V_{\widetilde{\tau}}$$

determined by  $\phi$ .

The ring  $\Lambda_k$  can be identified with the ring of Laurent polynomials

$$\Lambda_k = \mathbb{R}[t_1^{\pm 1}, \dots, t_k^{\pm 1}, u],$$

where  $t_1, \ldots, t_k$  are associated to the covering transformations  $Z_1, \ldots, Z_k \in \operatorname{Aut}_S(\widetilde{S})$  and u is associated to the map  $T_{\widetilde{\phi}}$ . Thus  $\Theta$  can be thought of as a Laurent polynomial, and we will call it the *Teichmüller polynomial* for the fibered face F. (This is slightly different from McMullen's definition.) The elements of  $G = H_1(M; \mathbb{Z})$  correspond to monomials in  $t_1, \ldots, t_k$  and u.

Consider the suspension  $E_{\tilde{\tau}}$  of  $\tilde{\tau}$  in  $\tilde{S} \times \mathbb{R}$ . This covers the suspension  $E_{\tau}$  of  $\tau$  in M. The map  $T_{\tilde{\phi}}$  defines a map of  $E_{\tilde{\tau}}$  sending rectangles  $\epsilon \times [0, 1]$ , where  $\epsilon$  is an edge to  $\sigma \times [0, 1]$ , where  $\sigma$  is an edge-path on  $\tau$ . We will call such maps *folding maps*. Letting  $Z_1, \ldots, Z_k$  act as homeomorphisms (with no folding) on  $E_{\tilde{\tau}}$ , we have a group homomorphism

$$G \to \mathcal{F}(E_{\widetilde{\tau}})$$

from G to folding maps on  $E_{\tilde{\tau}}$ .

Let  $\psi_1 \in \Psi(M, F)$ , and  $\phi_1 : S_1 \to S_1$  be its monodromy. We can construct the covering  $\widetilde{S}_1 \to S_1$ . Then the lift of  $\phi_1$  to  $\widetilde{S}_1$  determines a new transformation

$$T_{\widetilde{\phi}_1}(x_1, s_1) = (\widetilde{\phi}_1(x_1), s_1),$$

with respect to the new coordinates  $(x_1, s_1)$  defined by identifying  $\widetilde{M}^{ab}$  with  $\widetilde{S}_1 \times \mathbb{R}$ . The transformation  $T_{\widetilde{\phi}_1}$  is an element of  $\operatorname{Aut}_M(\widetilde{M}^{ab} = G)$ , determined up to multiplication by the subgroup of G generated by the image of  $\operatorname{Aut}_{S_1}(\widetilde{S}_1)$  in G.

Identify  $\widetilde{S}_1$  with  $\widetilde{S}_1 \times \{0\} \in \widetilde{S}_1 \times \mathbb{R} = \widetilde{M}^{ab}$ . Then  $\widetilde{\phi}_1 : \widetilde{S}_1 \times \widetilde{S}_1$  is the mapping class defined by applying an element  $T \in G$  such that  $\psi_1(T) = -1$  to  $\widetilde{S}_1 \times \{0\}$  and then flowing back to  $\widetilde{S}_1 \times \{0\}$ . One sees that in order for the flow back to be well-defined we need  $\psi_1$  to be "close" to  $\psi$ .

## Magic braid, and its monodromy

The magic manifold is the mapping torus for the braid monodromy defined by  $\sigma_1 \sigma_2^{-1} \sigma_1$  in terms of the usual braid generators. The braid is drawn in Figure 1.



Figure 1: Braid defining the magic manifold.

In Figure 2, we see a train track map for the monodromy, and its lift to  $\tilde{S}$ . The corresponding train track map on  $V_{\tilde{\tau}}$  is given by the matrix

$$\left[\begin{array}{cc} s(1+t) & s(1+t+t^{-1}) \\ 1 & 1+t^{-1} \end{array}\right].$$

Thus, the Teichmüller polynomial is given by

$$\Theta(u,t) = u^2 - u(1 + t^{-1} + s + st) + s$$



Figure 2: Train track map for the monodromy, and its lift to covering.

**Exercise.** Choose lifts  $\widetilde{A}$  and  $\widetilde{B}$  on  $\widetilde{\tau}$  lifting A and B on  $\tau$ , and draw their images under  $\phi$ . (Hint. Find a choice of  $\widetilde{A}$ ,  $\widetilde{B}$ , and lifting  $\phi$  of  $\phi$  so that the images of  $\widetilde{A}$  and  $\widetilde{B}$  can be drawn on Figure 2).

Train tracks and digraphs in the simplest pseudo-Anosov map example. Let  $\phi : S \to S$  be the simplest pseudo-Anosov braid,  $M = M_{\phi}$ , and  $\psi : M \to S^1$  the corresponding fibration. Let  $\alpha \subset S$  be a representative for the generator of  $H_1(S, \partial S; \mathbb{Z})$ , and let  $\widetilde{S} \to S$  be the corresponding cyclic covering. Let  $\xi \in H^1(M; \mathbb{Z})$  be the dual to the suspension of  $\alpha$  in M. Then  $\psi$  and  $\xi$  generate  $H^1(M; |Z)$ .

We will now consider the elements  $\psi_n = n\psi + \xi \in H^1(M;\mathbb{Z})$ . These define rays in  $H^1(M;\mathbb{Z})$  through the origin that converge to the ray through  $\psi$ . Thus, for large  $n, \psi_n$  is in the fibered face

F such that  $\psi \in \Psi(M, F)$ , and if  $\phi_n$  is the monodromy of  $\psi_n$ , then

$$\overline{\lambda}(\phi_n) \to \overline{\lambda}(\phi) = \left(\frac{3+\sqrt{5}}{2}\right)^2.$$

The kernel of  $\psi_n$  is generated by  $Z^n T$ , where Z corresponds to a generator of  $\operatorname{Aut}_S(\widetilde{S})$ , and  $T = T_{\widetilde{\phi}}$ . Thus,  $\widetilde{S}_n$  is a surface in  $\widetilde{M}^{ab}$  that is invariant under the action of  $Z^n T$ . Furthermore,  $\psi_n(Z) = -1$  for all n. Thus,  $Z = T_{\widetilde{\phi}_n}$ .

Here is a picture of the train tracks and train track maps for  $\widetilde{S}_n$ .



Figure 3: Train track maps for  $\phi_n$ .

The corresponding digraphs are shown in Figure 4.



Figure 4: Digraphs associated to  $\phi_n$ .

## References

[McM] C. McMullen. Polynomial invariants for fibered 3-manifolds and Teichmüller geodesics for foliations. Ann. Sci. École Norm. Sup. 33 (2000), 519–560.