

# On Lusternik-Schnirelmann category

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- $\text{cat}_{\text{LS}} X \leq n$  if there is an open covering  $U_0, \dots, U_n$  by contractible in  $X$  sets ( $X$ -contractible).
- $\text{cat}_{\text{LS}}$  is a homotopy invariant.

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$\text{cat}_{\text{LS}} M + 1 \leq \text{Crit}(f)$  for any smooth function on a manifold  $M$ .

- **REMARK.** For a Morse function the low bound is the sum of the Betti numbers.

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# Applications

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**Solution to Poincare Problem (1905):** *Every Riemannian metric on  $S^2$  has at least three closed geodesics.*

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## Theorem

(Lusternik-Schnirelmann, 1929) *For every cover of  $S^n$  by  $n + 1$  open sets one of the sets contains an antipodal pair of points.*

Follows from the computation  $\text{cat}_{\mathbb{L}\mathbb{S}} \mathbb{R}P^n = n$ : If  $U_0, \dots, U_n$  a cover of  $S^n$  without antipodal pairs, then  $q(U_1), \dots, q_n(U_n)$  is a cover of  $\mathbb{R}P^n$  where  $q : S^n \rightarrow \mathbb{R}P^n$  is the quotient map. Moreover,  $q|_{U_i} : U_i \rightarrow q(U_i)$  is a homeomorphism. Since  $U_i$  are contractible in  $S^n$ ,  $q(U_i)$  are contractible in  $\mathbb{R}P^n$ . Then  $\text{cat}_{\mathbb{L}\mathbb{S}} \mathbb{R}P^n \leq n - 1$ . Contradiction.

The Theorem is a reformulation of the Borsuk-Ulam theorem rediscovered in mid 30s: *For every continuous map  $f : S^n \rightarrow \mathbb{R}^n$  there is  $x \in S^n$  with  $f(x) = f(-x)$ .*



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# Some examples

- $\text{cat}_{\text{LS}} X = 0 \Leftrightarrow X$  is contractible.
- $\text{cat}_{\text{LS}} S^n = 1$ .
- $\text{cat}_{\text{LS}}(S^1 \times S^1) = 2$ .

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- $\text{cat}_{\text{LS}} \leq \dim.$
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# Manifolds with small category

## Theorem

*If  $\text{cat}_{\text{LS}} M = 1$  for a closed  $n$ -manifold, then  $M = S^n$ .*

## Theorem (D-Katz-Rudyak)

*If  $\text{cat}_{\text{LS}} M = 2$  for a closed  $n$ -manifold,  $n \geq 3$ , then  $\pi_1(M)$  is free.*

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$$\text{cat}_{\text{LS}} = 3$$

### Theorem (D.-Katz-Rudyak)

*For every nonfree f.p. group  $\pi$  there is a 4-manifold  $M$  with  $\pi_1(M) = \pi$  and  $\text{cat}_{\text{LS}} M = 3$ .*



# Definition of the BS-class

- The **Berstein-Šwarz class**  $b_\pi \in H^1(\pi; I(\pi))$  of a group  $\pi$  is the image of the generator under connecting homomorphism  $H^0(\pi; \mathbb{Z}) \rightarrow H^1(\pi; I(\pi))$  in the long exact sequence generated by the short exact sequence of coefficients

$$0 \rightarrow I(\pi) \rightarrow \mathbb{Z}(\pi) \rightarrow \mathbb{Z} \rightarrow 0.$$

- For a complex  $N$  with a map  $f : N \rightarrow B\pi$  classifying the universal covering, the **BS-class** of  $N$  is  $b_N = f^* b_\pi$ .

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# Universality of the BS-class

The cup product  $\alpha \smile \beta \in H^{p+q}(X; A \otimes B)$  is defined for  $\alpha \in H^p(X; A)$  and  $\beta \in H^q(X; B)$  for any  $\pi$ -modules  $A$  and  $B$  where  $\pi = \pi_1(X)$ .

## Universality Theorem

*For every  $\pi$ -module  $L$ , every cohomology class  $\alpha \in H^k(\pi; L)$  is the image of  $(b_\pi)^k$  under a suitable coefficients homomorphism  $\psi: I(\pi)^k = I(\pi) \otimes \cdots \otimes I(\pi) \rightarrow L$ .*

## Corollary

$$cd(\pi) = \max\{n \mid b_\pi^n \neq 0\}.$$

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# Proof of $\text{cat}_{\text{LS}} M = 2$ Theorem

- Assume that  $\pi = \pi_1(M)$  is not free. Then  $cd(\pi) \geq 2$ .
- Consider  $f : M \rightarrow B\pi$  that induces iso on  $\pi_1$ .
- Then  $f^* : H^2(B\pi; L) \rightarrow H^2(M; L)$  is mono.
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- By the (twisted) PD there is  $\alpha$  such that  $b_M^2 \smile \alpha \neq 0$ .
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# Simply connected spaces

Whitehead's Theorem.

$\text{cat}_{\text{LS}} M \leq \dim M/2$  for simply connected  $M$ .

First proved by Grossman in 1940s

Rudyak's Conjecture

If  $\pi_1(M)$  is free, then the upper bound for  $\text{cat}_{\text{LS}} M$  is of order  $\dim M/2$ .

Theorem

If  $\pi_1(M)$  is free, then  $\text{cat}_{\text{LS}} M \leq \dim M/2 + 1$ .

Example:  $M = \mathbb{C}P^n \times S^1$ , then  $\text{cat}_{\text{LS}} M = n + 1 = \lceil \dim M/2 + 1 \rceil$ .



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# Main Result

## THEOREM.

*For every complex  $X$ ,*

$$\text{cat}_{\text{LS}} X \leq \text{cd}(\pi_1(X)) + \left\lceil \frac{\text{hd}(X) - 1}{2} \right\rceil.$$

Here  $\lceil z \rceil$  is the smallest integer  $n$  with  $z \leq n$ .

- $\text{hd}(X)$  is the homotopical dimension of  $X$ .

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# Analogy with dimension

- **DEFINITION.** For a space  $X$ ,  $\dim X \leq n$  iff  $X$  admits an arbitrary small locally finite open cover  $\mathcal{V}$  with  $\text{Ord}\mathcal{V} \leq n + 1$ .
- **PROPOSITION.** For a space  $X$ ,  $\text{cat}_{\text{LS}} X \leq n$  iff  $X$  admits an  $X$ -contractible locally finite open cover  $\mathcal{V}$  with  $\text{Ord}\mathcal{V} \leq n + 1$ .

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# Hurewicz Mapping Theorem

- Hurewicz Theorem for dimension. For  $f : X \rightarrow Y$ ,

$$\dim X \leq \dim Y + \max\{\dim f^{-1}(y)\}.$$

- In particular,  $\dim(X \times Y) \leq \dim X + \dim Y$ .
- For the product the  $\text{cat}_{\text{LS}}$ -analog holds true:  
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- The answer is 'No':  $f : \mathbb{R}P^5 \rightarrow \mathbb{C}P^2$  with fiber  $S^1$ . Then  $5 = \text{cat}_{\text{LS}} \mathbb{R}P^5 > \text{cat}_{\text{LS}} \mathbb{C}P^2 + \text{cat}_{\text{LS}} S^1 = 2 + 1$ .

## CONJECTURE

$$\text{cat}_{\text{LS}} X \leq \dim Y + \text{cat}_{\text{LS}} F$$

for locally trivial bundles  $X \xrightarrow{F} Y$ .

# CONJECTURE implies THEOREM

Apply the formula from CONJECTURE to  $f$  in the Borel construction

$$M \longleftarrow \tilde{M} \times_{\pi} E\pi \xrightarrow{f} B\pi.$$

where  $\pi = \pi_1(M)$  and  $\tilde{M}$  is the universal cover of  $M$ .

Then

$$\text{cat}_{\text{LS}}(\tilde{M} \times_{\pi} E\pi) \leq \dim B\pi + \text{cat}_{\text{LS}} \tilde{M}.$$

Note that  $\text{cat}_{\text{LS}}(\tilde{M} \times_{\pi} E\pi) = \text{cat}_{\text{LS}} M$ . Also  $\text{cat}_{\text{LS}} \tilde{M} \leq \dim M/2$  by the Whitehead theorem. Thus,  $\text{cat}_{\text{LS}} M \leq cd(\pi) + \dim M/2$  if Eilenberg-Ganea holds true.

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- Hilbert-13 (1900): "Prove that the equation of seventh degree  $x^7 + ax^3 + bx^2 + cx + 1 = 0$  is not solvable by means of any continuous functions of only two variables."  
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# Analogy with dimension

Ostrand: A cover  $\mathcal{U} = \{U_i\}$  is called an  $n$ -cover if every  $n$  elements of  $\mathcal{U}$  form a cover.

**Kolmogorov-Ostrand's Theorem.**  $\dim X \leq n \Leftrightarrow$  for any open cover  $\mathcal{V}$  and for every  $m \geq n$  there is an  $(n+1)$ -cover  $U_0, \dots, U_m$  such that  $U_j = \coprod U_j^\alpha$  where  $\{U_j^\alpha\}_{i,\alpha} \prec \mathcal{V}$ .

$\text{cat}_{\text{LS}}$ -Analog of Kolmogorov-Ostrand Theorem.

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# Kolmogorov's trick

## Proposition

A family  $\mathcal{U}$  that consists of  $m$  subsets of  $X$  is an  $(n + 1)$ -cover of  $X$  if and only if  $Ord_x \mathcal{U} \geq m - n$  for all  $x \in X$ .

Here  $Ord_x \mathcal{U}$  is the number of elements of  $\mathcal{U}$  that contain  $x$

*Proof of  $\text{cat}_{\text{LS}} X \times Y \leq \text{cat}_{\text{LS}} X + \text{cat}_{\text{LS}} Y$ :*

Let  $\text{cat}_{\text{LS}} X = n$  and  $\text{cat}_{\text{LS}} Y = m$ . Let  $\mathcal{U} = \{U_0, \dots, U_{m+n+1}\}$  be an  $(n + 1)$ -cover of  $X$  and let  $\mathcal{V} = \{V_0, \dots, V_{m+n+1}\}$  be an  $(m + 1)$ -cover of  $Y$  by  $X$  and  $Y$ -contractible sets.

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## THEOREM [Ganea-Schwarz]

$\text{cat}_{\text{LS}} X \leq n \Leftrightarrow p_n : G_n(X) \rightarrow X$  admits a section.

EXAMPLE ( $n = 0$ ).  $p_0 : G_0(X) = PX \rightarrow X$  admits a section if and only if  $X$  is contractible.

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