

Essential manifolds and macroscopic dimension

A. Dranishnikov

Department of Mathematics
University of Florida

Tallahassee, February 23, 2013

Essential Manifolds

- An n -manifold M is called *essential* if the classifying map $f : M \rightarrow B\pi$ of its universal covering \tilde{M} cannot be deformed to the $(n - 1)$ -skeleton.
- Otherwise it is called *inessential*.
- EXAMPLE: Torus T^n is essential.
Sphere S^n is inessential.

Essential Manifolds

- An n -manifold M is called *essential* if the classifying map $f : M \rightarrow B\pi$ of its universal covering \tilde{M} cannot be deformed to the $(n - 1)$ -skeleton.
- Otherwise it is called *inessential*.
- EXAMPLE: Torus T^n is essential.
Sphere S^n is inessential.

Essential Manifolds

- An n -manifold M is called *essential* if the classifying map $f : M \rightarrow B\pi$ of its universal covering \tilde{M} cannot be deformed to the $(n - 1)$ -skeleton.
- Otherwise it is called *inessential*.
- EXAMPLE: Torus T^n is essential.
Sphere S^n is inessential.

Gromov's observation

- Manifolds with positive scalar curvature (PSC) tend to be inessential.
- For example S^n has PSC and it is inessential.
- But it's not true for $\mathbb{R}P^n$ which has PSC and is essential.

Gromov's observation

- Manifolds with positive scalar curvature (PSC) tend to be inessential.
- For example S^n has PSC and it is inessential.
- But it's not true for $\mathbb{R}P^n$ which has PSC and is essential.

Gromov's observation

- Manifolds with positive scalar curvature (PSC) tend to be inessential.
- For example S^n has PSC and it is inessential.
- But it's not true for $\mathbb{R}P^n$ which has PSC and is essential.

Go Macroscopic

- Gromov suggested that a proper formulation of his observation about PSC manifolds M should be in terms of universal covering \tilde{M} :

$$PSC \Rightarrow \dim_{mc} \tilde{M} < \dim M$$

- **DEFINITION.** A manifold M is called *md-small* if

$$\dim_{mc} \tilde{M} < \dim M.$$

Go Macroscopic

- Gromov suggested that a proper formulation of his observation about PSC manifolds M should be in terms of universal covering \tilde{M} :

$$PSC \Rightarrow \dim_{mc} \tilde{M} < \dim M$$

- **DEFINITION.** A manifold M is called *md-small* if

$$\dim_{mc} \tilde{M} < \dim M.$$

- For a metric space X , the *macroscopic dimension*

$$\dim_{mc} X \leq k$$

iff there is a uniformly cobounded map $\phi : X \rightarrow N^k$ to a k -dimensional simplicial complex.

- A map $\phi : X \rightarrow N$ is *uniformly cobounded* if there is $b > 0$ such that $\text{diam}(\phi^{-1}(y)) \leq b$ for all $y \in N$.

- For a metric space X , the *macroscopic dimension*

$$\dim_{mc} X \leq k$$

iff there is a uniformly cobounded map $\phi : X \rightarrow N^k$ to a k -dimensional simplicial complex.

- A map $\phi : X \rightarrow N$ is *uniformly cobounded* if there is $b > 0$ such that $\text{diam}(\phi^{-1}(y)) \leq b$ for all $y \in N$.

Properties of macroscopic dimension

- $\dim_{mc} X \leq \dim X$
- $\dim_{mc} X \leq \text{asdim} X$
- $\dim_{mc} \mathbb{R}^n = n$ and
- generally, $\dim_{mc} V^n = n$ for every uniformly contractible manifold with proper metric.

Properties of macroscopic dimension

- $\dim_{mc} X \leq \dim X$
- $\dim_{mc} X \leq asdim X$
- $\dim_{mc} \mathbb{R}^n = n$ and
- generally, $\dim_{mc} V^n = n$ for every uniformly contractible manifold with proper metric.

Properties of macroscopic dimension

- $\dim_{mc} X \leq \dim X$
- $\dim_{mc} X \leq \text{asdim} X$
- $\dim_{mc} \mathbb{R}^n = n$ and
- generally, $\dim_{mc} V^n = n$ for every uniformly contractible manifold with proper metric.

Properties of macroscopic dimension

- $\dim_{mc} X \leq \dim X$
- $\dim_{mc} X \leq asdim X$
- $\dim_{mc} \mathbb{R}^n = n$ and
- generally, $\dim_{mc} V^n = n$ for every uniformly contractible manifold with proper metric.

md-small vs inessential

- If M^n is inessential, then M^n is md-small.
- The universal covering map $p : \widetilde{M}^n \rightarrow M^n$ admits an extension to the Stone-Ćech compactification $\bar{p} : \beta(\widetilde{M}^n) \rightarrow M^n$.
- PROPOSITION. M^n is md-small $\Leftrightarrow f \circ \bar{p} : \beta(\widetilde{M}^n) \rightarrow B\pi$ can be deformed to the $(n - 1)$ -skeleton.
- Here $f : M^n \rightarrow B\pi$ classifies the universal covering.

md-small vs inessential

- If M^n is inessential, then M^n is md-small.
- The universal covering map $p : \widetilde{M}^n \rightarrow M^n$ admits an extension to the Stone-Ćech compactification $\bar{p} : \beta(\widetilde{M}^n) \rightarrow M^n$.
- PROPOSITION. M^n is md-small $\Leftrightarrow f \circ \bar{p} : \beta(\widetilde{M}^n) \rightarrow B\pi$ can be deformed to the $(n-1)$ -skeleton.
- Here $f : M^n \rightarrow B\pi$ classifies the universal covering.

md-small vs inessential

- If M^n is inessential, then M^n is md-small.
- The universal covering map $p : \widetilde{M}^n \rightarrow M^n$ admits an extension to the Stone-Ćech compactification $\bar{p} : \beta(\widetilde{M}^n) \rightarrow M^n$.
- PROPOSITION. M^n is md-small $\Leftrightarrow f \circ \bar{p} : \beta(\widetilde{M}^n) \rightarrow B\pi$ can be deformed to the $(n - 1)$ -skeleton.
- Here $f : M^n \rightarrow B\pi$ classifies the universal covering.

md-small vs inessential

- If M^n is inessential, then M^n is md-small.
- The universal covering map $p : \widetilde{M}^n \rightarrow M^n$ admits an extension to the Stone-Ćech compactification $\bar{p} : \beta(\widetilde{M}^n) \rightarrow M^n$.
- PROPOSITION. M^n is md-small $\Leftrightarrow f \circ \bar{p} : \beta(\widetilde{M}^n) \rightarrow B\pi$ can be deformed to the $(n - 1)$ -skeleton.
- Here $f : M^n \rightarrow B\pi$ classifies the universal covering.

Homological formulation of essentiality

Theorem

TFAE

- An orientable n -manifold M is inessential
- $f_*([M]) = 0$.

Rational essentiality

- **DEFINITION.** An orientable n -manifold M is *rationally inessential* if $f_*([M]) = 0$ in $H_*(B\pi; \mathbb{Q})$.

GROMOV's CONJECTURE:

Every md -small manifold is rationally inessential.

- The conjecture is from Gelfand-80 book [1996]

Modification of \dim_{mc}

- $\dim_{MC}X \leq k$ if there is a uniformly cobounded Lipschitz map $f : X \rightarrow K$ to a k -dimensional simplicial complex.
- $\dim_{mc}X \leq \dim_{MC}X \leq \text{asdim}X$ for all X .

Modification of dim_{mc}

- $dim_{MC}X \leq k$ if there is a uniformly cobounded Lipschitz map $f : X \rightarrow K$ to a k -dimensional simplicial complex.
- $dim_{mc}X \leq dim_{MC}X \leq asdimX$ for all X .

Main Result

THEOREM .

For all $n \geq 4$ there are rationally essential md -small n -manifolds.

THEOREM gives a counterexample to Gromov's Conjecture.

Obstruction to essentiality

Theorem.

Let $L = \pi_{n-1}(B\pi^{n-1})$ denote the corresponding π -module where $\pi = \pi_1(M^n)$. Let $\kappa \in H^n(B\pi; L)$ be the primary obstruction to the retraction of $B\pi$ to $B\pi^{n-1}$. TFAE

- M^n is inessential;
- $f^*(\kappa) = 0$;
- $f^*(\beta^n) = 0$ where $\beta \in H^1(\pi, I(\pi))$ is the Berstein-Schwartz class.
- $f_*([M]) = 0$.

Obstruction to essentiality

Theorem.

Let $L = \pi_{n-1}(B\pi^{n-1})$ denote the corresponding π -module where $\pi = \pi_1(M^n)$. Let $\kappa \in H^n(B\pi; L)$ be the primary obstruction to the retraction of $B\pi$ to $B\pi^{n-1}$. TFAE

- M^n is inessential;
- $f^*(\kappa) = 0$;
- $f^*(\beta^n) = 0$ where $\beta \in H^1(\pi, I(\pi))$ is the Berstein-Schwartz class.
- $f_*([M]) = 0$.

Obstruction to essentiality

Theorem.

Let $L = \pi_{n-1}(B\pi^{n-1})$ denote the corresponding π -module where $\pi = \pi_1(M^n)$. Let $\kappa \in H^n(B\pi; L)$ be the primary obstruction to the retraction of $B\pi$ to $B\pi^{n-1}$. TFAE

- M^n is inessential;
- $f^*(\kappa) = 0$;
- $f^*(\beta^n) = 0$ where $\beta \in H^1(\pi, I(\pi))$ is the Berstein-Schwartz class.
- $f_*([M]) = 0$.

Obstruction to essentiality

Theorem.

Let $L = \pi_{n-1}(B\pi^{n-1})$ denote the corresponding π -module where $\pi = \pi_1(M^n)$. Let $\kappa \in H^n(B\pi; L)$ be the primary obstruction to the retraction of $B\pi$ to $B\pi^{n-1}$. TFAE

- M^n is inessential;
- $f^*(\kappa) = 0$;
- $f^*(\beta^n) = 0$ where $\beta \in H^1(\pi, I(\pi))$ is the Berstein-Schwartz class.
- $f_*([M]) = 0$.

Equivariant vs almost equivariant

- Cohomology with local coefficients $H^n(X; L)$ are defined by means of equivariant cochains on \tilde{X} . A homomorphism $\psi : C_n(\tilde{X}) \rightarrow L$ is *equivariant* if the set

$$\{\gamma^{-1}\psi(\gamma e) \mid \gamma \in \pi\} \subset L$$

consists of one element $\psi(e)$ for every n -cell e in \tilde{X} .

- A homomorphism $\psi : C_n(\tilde{X}) \rightarrow L$ is called *almost equivariant*, if the set

$$\{\gamma^{-1}\psi(\gamma e) \mid \gamma \in \pi\} \subset L$$

is finite for every n -cell e in \tilde{X} .

Equivariant vs almost equivariant

- Cohomology with local coefficients $H^n(X; L)$ are defined by means of equivariant cochains on \tilde{X} . A homomorphism $\psi : C_n(\tilde{X}) \rightarrow L$ is *equivariant* if the set

$$\{\gamma^{-1}\psi(\gamma e) \mid \gamma \in \pi\} \subset L$$

consists of one element $\psi(e)$ for every n -cell e in \tilde{X} .

- A homomorphism $\psi : C_n(\tilde{X}) \rightarrow L$ is called *almost equivariant*, if the set

$$\{\gamma^{-1}\psi(\gamma e) \mid \gamma \in \pi\} \subset L$$

is finite for every n -cell e in \tilde{X} .

Almost equivariant cohomology

- Let $Hom_{ae}(C_n(\tilde{X}), L)$ be the group of all almost equivariant homomorphisms from $C_n(\tilde{X})$ to L .
- The homology groups of corresponding cochain complex $H_{ae}^*(\tilde{X}; L)$ are called the *almost equivariant cohomology* of \tilde{X} with coefficients in a π -module L .

Almost equivariant cohomology

- Let $Hom_{ae}(C_n(\tilde{X}), L)$ be the group of all almost equivariant homomorphisms from $C_n(\tilde{X})$ to L .
- The homology groups of corresponding cochain complex $H_{ae}^*(\tilde{X}; L)$ are called the *almost equivariant cohomology* of \tilde{X} with coefficients in a π -module L .

Perturbation homomorphism

Since every equivariant homomorphism is almost equivariant, there is a natural transformation

$$\text{pert}_X^* : H^*(X; L) = H_{\pi}^*(\tilde{X}; L) \rightarrow H_{ae}^*(\tilde{X}; L)$$

called a *perturbation homomorphism* from the cohomology of X to the almost equivariant cohomology.

Primary obstruction to being md-small

- Let $f : M \rightarrow B\pi$ be a classifying \tilde{M} map and let $o_f \in H^n(M; \pi_{n-1}(B\pi^{(n-1)}))$ denote the primary obstruction to a deformation of M to the $(n-1)$ -skeleton.
- Thus, o_f is the primary obstruction to essentiality.

THEOREM

Let M be an n -manifold. Then the primary obstruction to $\dim_{MC} \tilde{M} < n$ is $\text{pert}_M^*(o_f) \in H_{ae}^n(\tilde{M}; \pi_{n-1}(B\pi^{(n-1)}))$.

Primary obstruction to being md-small

- Let $f : M \rightarrow B\pi$ be a classifying \tilde{M} map and let $o_f \in H^n(M; \pi_{n-1}^*(B\pi^{(n-1)}))$ denote the primary obstruction to a deformation of M to the $(n-1)$ -skeleton.
- Thus, o_f is the primary obstruction to essentiality.

THEOREM

Let M be an n -manifold. Then the primary obstruction to $\dim_{MC} \tilde{M} < n$ is $\text{pert}_M^*(o_f) \in H_{ae}^n(\tilde{M}; \pi_{n-1}(B\pi^{(n-1)}))$.

Almost equivariant homology

- Let X be a CW complex with the universal cover \tilde{X} and let L be a π -module. We call an infinite chain $\sum_{e \in E_n(\tilde{X})} \lambda_e e$ *almost equivariant* if the set $\{\gamma^{-1} \lambda_{\gamma e} \mid \gamma \in \pi\} \subset L$ is finite for every cell e . We call the homology defined by the almost equivariant locally finite chain *the almost equivariant locally finite homology* $H_*^{lf, ae}(\tilde{X}; L)$.
- Note that the complex of equivariant locally finite chains defines equivariant locally finite homology $H_*^{lf, \pi}(\tilde{X}; L)$. Then as in the case of cohomology for any complex K there is a *perturbation homomorphism*

$$\text{pert}_*^K : H_*(K; L) = H_*^{lf, \pi}(\tilde{K}; L) \rightarrow H_*^{lf, ae}(\tilde{K}; L).$$

Almost equivariant homology

- Let X be a CW complex with the universal cover \tilde{X} and let L be a π -module. We call an infinite chain $\sum_{e \in E_n(\tilde{X})} \lambda_e e$ *almost equivariant* if the set $\{\gamma^{-1} \lambda_{\gamma e} \mid \gamma \in \pi\} \subset L$ is finite for every cell e . We call the homology defined by the almost equivariant locally finite chain *the almost equivariant locally finite homology* $H_*^{lf, ae}(\tilde{X}; L)$.
- Note that the complex of equivariant locally finite chains defines equivariant locally finite homology $H_*^{lf, \pi}(\tilde{X}; L)$. Then as in the case of cohomology for any complex K there is a *perturbation homomorphism*

$$pert_*^K : H_*(K; L) = H_*^{lf, \pi}(\tilde{K}; L) \rightarrow H_*^{lf, ae}(\tilde{K}; L).$$

Obstruction to being md-small

THEOREM

TFAE

- M^n is md-small;
- $\text{pert}_M^*(o_f) = 0$;
- $\text{pert}_M^*(f^* \beta^n) = 0$;
- $\text{pert}_*^\pi(f_*([M])) = 0$.

Obstruction to being md-small

THEOREM

TFAE

- M^n is md-small;
- $\text{pert}_M^*(o_f) = 0$;
- $\text{pert}_M^*(f^* \beta^n) = 0$;
- $\text{pert}_*^\pi(f_*([M])) = 0$.

Obstruction to being md-small

THEOREM

TFAE

- M^n is md-small;
- $\text{pert}_M^*(o_f) = 0$;
- $\text{pert}_M^*(f^* \beta^n) = 0$;
- $\text{pert}_*^\pi(f_*([M])) = 0$.

Obstruction to being md-small

THEOREM

TFAE

- M^n is md-small;
- $\text{pert}_M^*(o_f) = 0$;
- $\text{pert}_M^*(f^*\beta^n) = 0$;
- $\text{pert}_*^\pi(f_*([M])) = 0$.

md-small homology

We define the group of *small macroscopic dimension classes* as $H_n^{sm}(\pi) = \ker(\text{pert}_*^\pi) \subset H_n(\pi)$.

THEOREM.

For a closed oriented n -manifold M the following are equivalent:

1. M is md-small (i.e. $\dim_{MC} \tilde{M} < n$);
2. $f_*([M]) \in H_n^{sm}(\pi)$ where $f : M \rightarrow B\pi$ is the map classifying the universal covering \tilde{M} of M .

This theorem is in spirit of Brunnbauer-Hanke results on some large (in Gromov's sense) classes of manifolds.

md-small homology

We define the group of *small macroscopic dimension classes* as $H_n^{sm}(\pi) = \ker(\text{pert}_*^\pi) \subset H_n(\pi)$.

THEOREM.

For a closed oriented n -manifold M the following are equivalent:

1. M is md-small (i.e. $\dim_{MC} \tilde{M} < n$);
2. $f_*([M]) \in H_n^{sm}(\pi)$ where $f : M \rightarrow B\pi$ is the map classifying the universal covering \tilde{M} of M .

This theorem is in spirit of Brunnbauer-Hanke results on some large (in Gromov's sense) classes of manifolds.

md-small homology

We define the group of *small macroscopic dimension classes* as $H_n^{sm}(\pi) = \ker(\text{pert}_*^\pi) \subset H_n(\pi)$.

THEOREM.

For a closed oriented n -manifold M the following are equivalent:

1. M is md-small (i.e. $\dim_{MC} \tilde{M} < n$);
2. $f_*([M]) \in H_n^{sm}(\pi)$ where $f : M \rightarrow B\pi$ is the map classifying the universal covering \tilde{M} of M .

This theorem is in spirit of Brunnbauer-Hanke results on some large (in Gromov's sense) classes of manifolds.

Uniformly finite homology

When $L = \mathbb{Z}$ (or \mathbb{R}) is a trivial π -module, the almost equivariant locally finite homology groups $H_*^{lf,ae}(\tilde{K}; L)$ coincide with the *uniformly finite homology* $H_*^{uf}(\tilde{K}; \mathbb{Z})$ defined by J. Block and S. Weinberger

Uniformly finite homology

Block-Weinberger Theorem

For a finite complex K , $H_0^{uf}(\tilde{K}; \mathbb{Z}) = 0$ if and only if $\pi_1(K)$ is not amenable.

Counter-Example to Gromov's Conjecture

There is a closed rationally essential n -manifold M , $n \geq 5$, with the fundamental group $\pi_1(M) = \mathbb{Z}^n \times F_2$ such that $\dim_{MC} \tilde{M} < n$.

Uniformly finite homology

Block-Weinberger Theorem

For a finite complex K , $H_0^{uf}(\tilde{K}; \mathbb{Z}) = 0$ if and only if $\pi_1(K)$ is not amenable.

Counter-Example to Gromov's Conjecture

There is a closed rationally essential n -manifold M , $n \geq 5$, with the fundamental group $\pi_1(M) = \mathbb{Z}^n \times F_2$ such that $\dim_{MC} \tilde{M} < n$.

Proof

We note that $B\pi = T^n \times (S^1 \vee S^1)$ for $\pi = \pi_1(M)$ where T^n is the n -torus. Consider the natural inclusion of T^n into $B\pi$. Then the image of the fundamental class $[T^n]$ in $H_n(B\pi)$ is $[T^n] \otimes 1$ where $1 \in H_0(S^1 \vee S^1)$. By Remark and Block-Weinberger theorem, $\text{pert}_*^{F_2}(1) = 0$. Therefore,

$$\text{pert}_*^\pi([T^n] \otimes 1) = \text{pert}_*^{\mathbb{Z}^n}([T^n]) \otimes \text{pert}_*^{F_2}(1) = 0.$$

By a surgery in dimension 1 and 2 performed on the torus T^n we can obtain a manifold M together with a map $f : M \rightarrow B\pi$ inducing isomorphism of the fundamental groups and such that $f([M]) = [T^n] \otimes 1$. Then $\dim_{MC} \tilde{M} < n$.