

## Intro Advanced Math. Answers to questions 1–8

- 1. For each, simplify the cardinality to one of:  $0, 1, 2, ..., \aleph_0, c, 2^c, 2^{2^c}, ...$ For (a)–(h) you do not need to show your work, but for (i),(j) you need to justify your answer by showing all steps.
  - (a)  $\mathbb{N}$ :  $\aleph_0$
  - (b)  $\emptyset \times \mathbb{R}$ :  $0 \cdot c = 0$
  - (c)  $\mathbb{Q}$ :  $\aleph_0$
  - (d)  $\mathbb{R} \times P(\mathbb{Q})$ :  $c \cdot 2^{\aleph_0} = c \cdot c = c$
  - (e)  $\mathbb{Q} \mathbb{Z}$ :  $\aleph_0$
  - (f)  $P(\mathbb{N})$ :  $2^{\aleph_0} = c$
  - (g)  $P(\mathbb{R})$ :  $2^c$
  - (h)  $\{2,2\}$ : 1
  - (i)  $\mathbb{R}^{\mathbb{N}}$ :  $c^{\aleph_0} = (2^{\aleph_0})^{\aleph_0} = 2^{\aleph_0 \aleph_0} = 2^{\aleph_0} = c$
  - (j)  $\mathbb{R}^{\mathbb{R}}$ :  $c^c = (2^{\aleph_0})^c = 2^{\aleph_0 c} = 2^c$
- 2. Based on the answer in your previous question, does there exist: (it suffices to write yes/no):
  - (a) an injective function from  $\mathbb{R}^{\mathbb{R}}$  to  $P(\mathbb{R})$ ? Yes
  - (b) an injective function from  $\mathbb{Q}$  to  $\mathbb{N}$ ? Yes
  - (c) an injective function from  $P(\mathbb{N})$  to  $\mathbb{N}$ ? No
- 3. Prove, using only the definition, that the intervals (0,1) and (0,2) have the same cardinality.

The function f(x) = 2x is a bijection from (0,1) to (0,2).

- 4. Let A, B be sets and let  $C = A \bigcup B$ . Suppose that  $A \cap B = \emptyset$  and:
  - ullet (1) there is **no** bijection from A to C
  - (2) there is **no** bijection from B to C

Prove that A and B are finite sets.

Let  $a, b, c_0$  be the cardinalities of A, B, C. Then  $c_0 = a + b$ . Suppose a or b is infinite. Then  $c_0 = \max(a, b)$  so  $c_0 = a$  or  $c_0 = b$ . But  $c_0 \neq a$  by (1) and  $c_0 \neq b$  by (2), contradiction.

- 5. Let A be any set. Prove that there is no bijection from  $\mathbb{N}$  to P(A). Suppose there is a bijection. Then  $\operatorname{card}(P(A)) = \aleph_0$ . But  $\operatorname{card}(A) < \operatorname{card}(P(A))$ . So  $\operatorname{card}(A) < \aleph_0$ . Then A is finite. Then P(A) is finite, contradiction.
- 6. TURN IN: We know that if d, e are natural numbers then  $d \cdot e = e \cdot d$ . But do you remember how to prove that? Lets prove this not only for natural numbers, but for all cardinal numbers! I will type the first line in the proof, and you finish it:

Proof: Let D, E be sets for which  $d = \operatorname{card}(D)$  and  $e = \operatorname{card}(E)$ .

(a) Give the definition of  $D \times E$ .

This is the set of all pairs (x, y) with  $x \in D$  and  $y \in E$ . The shortest way to denote that is:  $\{(x, y) : x \in D, y \in E\}$ .

(b) Give a bijection from  $D \times E$  to  $E \times D$ .

Let  $f: D \times E \to E \times D$  be the function that sends (x, y) to (y, x). [Explanation: Any element of  $D \times E$  can be written as (x, y) with  $x \in D$  and  $y \in E$ . But then the pair (y, x) will be an element of  $E \times D$ . Clearly this is a bijection.

**Notation:** If we write  $f:(x,y)\mapsto (y,x)$  then this indicates that (x,y) is an element of the domain of f and that (y,x) is an element of the codomain (range) of f. In other words, this notation means that f sends this element (x,y) in  $D\times E$  to the element (y,x) in  $E\times D$ .

- (c) Why does this bijection prove  $d \cdot e = e \cdot d$ ? Because  $d \cdot e$  is by definition  $\operatorname{card}(D \times E)$ . But giving a bijection from  $D \times E$  to  $E \times D$  proves that  $\operatorname{card}(D \times E) = \operatorname{card}(E \times D)$  but the latter is by definition equal to  $e \cdot d$ .
- 7. TURN IN: Find all sets A for which the following is true: Every element of A is equal to 1.

Answer:  $A = \emptyset$  and  $A = \{1\}$ .

8. TURN IN:

Item 22 says that if d, e are cardinals, and if at least one of them is infinite, then  $d+e=\max(d,e)$ . It is quite hard to prove this in general. Lets prove it in a special case, when  $d=e=\aleph_0$ , as follows: Let  $\mathbb{N}^*=\{1,2,3,4,\ldots\},\ E=\{2,4,6,8,\ldots\},\ D=\{1,3,5,7,\ldots\}.$  So  $E=\{\text{all even positive integers}\}$ , and  $D=\{\text{all odd positive integers}\}$ .

(a) Give a bijection  $f: \mathbb{N}^* \to E$  (write down:  $f(n) = \ldots$ ) This function: f(n) = 2n is a bijection (there are other correct answers, but this one is the most obvious one).

- (b) Give a bijection  $g: \mathbb{N}^* \to D$ . This function: g(n) = 2n - 1 is a bijection.
- (c) Explain why parts (a),(b) prove that  $\aleph_0 + \aleph_0 = \aleph_0$ . If D, E are disjoint sets, each with cardinality  $\aleph_0$ , then  $\aleph_0 + \aleph_0$  is by definition the cardinality of the union  $D \cup E$ . So  $\aleph_0 + \aleph_0 = \operatorname{card}(D \cup E) = \operatorname{card}(\mathbb{N}^*) = \aleph_0$ .

[Note: this is exactly like the second part of Hotel Infinity.]

List of facts on cardinal numbers, shortened version.

Note: During the actual test, basic definitions that everyone must know (such as items 1-7) may be deleted!

- 1.  $\operatorname{card}(A) = \operatorname{card}(B)$  means  $\exists f : A \to B$  with f bijection.
- 2.  $\operatorname{card}(A) \leq \operatorname{card}(B)$  means  $\exists f : A \to B$  with f one-to-one.
- 3.  $\aleph_0$  is short notation for card( $\mathbb{N}^*$ ).
- 4. c is short notation for card( $\mathbb{R}$ ).
- 5. The set A is countably infinite when:  $\operatorname{card}(A) = \aleph_0$ . By item 1 this means:  $\exists f : \mathbb{N}^* \to A$  with f bijection. Note, in that case  $A = f(\mathbb{N}^*) = f(\{1, 2, \ldots\}) = \{f(1), f(2), \ldots\}$  and this means that all elements of A fit into one sequence  $f(1), f(2), \ldots$
- 6. Notation: x < y is short for:  $x \le y \land x \ne y$ .
- 7.  $\operatorname{card}(A) < \operatorname{card}(P(A))$ .
- 8. Item 7 implies that not all infinite sets have the same cardinality! The cardinal number  $\operatorname{card}(\mathbb{N}^*) = \aleph_0$ , is NOT the largest possible cardinality despite the fact that it is infinite! After all,  $P(\mathbb{N}^*)$  has larger cardinality by item 7. And  $P(P(\mathbb{N}^*))$  has larger cardinality still!
- 9. If  $f: A \to B$  is onto then  $card(B) \le card(A)$ .
- 10. A is countable when either: A is countably infinite (defined in item 5) or A is finite.
- 11. A is countable when  $card(A) \leq \aleph_0$ .
- 12. A subset of a countable set is again countable.
- 13. If  $A \subseteq B$  then  $card(A) \le card(B)$ .
- 14. The ordering  $\leq$  on cardinal numbers is a partial ordering. In particular: whenever  $d \leq e$  and  $e \leq d$  we may conclude d = e. The proof is not easy! (Schroeder-Bernstein theorem on p 88–89).

- 15. The ordering  $\leq$  on cardinal numbers is a *total ordering*. So given any two cardinals d, e we have  $d \leq e$  or  $d \geq e$ . This means that one of these things must be true: d < e or d = e or d > e.
- 16. Set A is uncountable when  $\operatorname{card}(A) \not\leq \aleph_0$ . Using item 15 we can reformulate this by saying: A is uncountable when  $\operatorname{card}(A) > \aleph_0$ .
- 17. Any infinite set contains a countably infinite subset. (note: That an uncountable set has a countably infinite subset follows from item 16).
- 18.  $\mathbb{Z}$  and  $\mathbb{Q}$  are countable.
- 19. If you have countably many sets, and if each of these sets is countable, then their union is also countable.
- 20.  $\mathbb{R}$  is uncountable.  $c = \operatorname{card}(\mathbb{R}) = \operatorname{card}(P(\mathbb{N}^*))$ .
- 21. If  $d = \operatorname{card}(D)$  and  $e = \operatorname{card}(E)$  then d + e is the cardinality of  $D \bigcup E$  if we assume that  $D \cap E = \emptyset$ . Likewise,  $d \cdot e$  is the cardinality of  $D \times E$ .  $d^e$  is the cardinality of  $D^E$  where  $D^E = \{\text{all functions from } E \text{ to } D\}$ .
- 22. If d, e are cardinal numbers, and if at least one of them is infinite, then  $d + e = \max(d, e)$ .

If  $d \neq 0$  and  $e \neq 0$  and at least one of them is infinite, then  $d \cdot e$  equals  $\max(d, e)$  as well. So for non-zero cardinals with at least one infinite, the operations  $+, \cdot, \max$  are the same!

- 23. There is a bijection between P(A) and  $\{0,1\}^A$ , and hence  $\operatorname{card}(P(A)) = \operatorname{card}(\{0,1\}^A) = \operatorname{card}(\{0,1\})^{\operatorname{card}(A)} = 2^{\operatorname{card}(A)}$ .
- 24.  $c = \operatorname{card}(\mathbb{R}) = \operatorname{card}(P(\mathbb{N}^*)) = \operatorname{card}(\{0, 1\}^{\mathbb{N}^*}) = 2^{\operatorname{card}(\mathbb{N}^*)} = 2^{\aleph_0}.$
- 25.  $(d_1d_2)^e = d_1^e d_2^e$ ,  $d^{e_1+e_2} = d^{e_1}d^{e_2}$ ,  $(d^e)^f = d^{ef}$
- 26. If you have d sets, and each of these sets has cardinality e, and if A is the union of all those sets, then  $\operatorname{card}(A) \leq de$  (if the d sets are disjoint, then you may replace the  $\leq$  by =). Now if d or e is infinite, and both are non-zero, then we can also replace de by  $\max(d,e)$ , see item 22.
- 27. So far we have encountered these increasing cardinals:

$$0, 1, 2, 3, \dots \aleph_0, c = 2^{\aleph_0}, 2^c, 2^{2^c}, \dots$$

and we can wonder if there are any cardinals in between. Specifically, the *continuum hypothesis* asks if there is a cardinal d with  $\aleph_0 < d < c$ .

From the axioms of set theory (= the only statements mathematicians accept without a proof) it is impossible to prove or disprove this.