

## Test 2, Intro Advanced Math, Oct 18 2019.

If the test is too long for the allotted time, then circle one of Ex 4 or Ex 5 and turn that into a take-home question.

1. For each, simplify the cardinality to one of:  $0, 1, 2, \dots, \aleph_0, c, 2^c, 2^{2^c}, \dots$ .  
No explanation is needed for (a)–(e). Do explain your answers for (f), (g).

(a)  $\{3, 3, 3\}$

(b)  $\mathbb{R} \setminus \mathbb{Q}$

(c)  $\mathbb{N} \times \mathbb{Q}$

(d)  $P(\mathbb{Q})$

(e)  $\mathbb{R}^{\mathbb{R}}$

(f)  $\mathbb{R}^{\mathbb{N}}$

(g) Does there exist a bijection between  $\mathbb{R}^{\mathbb{N}}$  and  $\mathbb{R}$ ?

2. Give the definitions:

(a) A relation  $R$  on a set  $S$  is an *equivalence relation* when:

i.

ii.

iii.

(b) A function  $f : A \rightarrow B$  is *onto* (*surjective*) when:

3. Suppose that  $f : P(A) \rightarrow B$  is injective.

Prove that there is no injective function  $g : B \rightarrow A$ .

4. Suppose that  $A_q$  is a set for every  $q \in \mathbb{Q}$ .

Suppose that for every  $r \in \mathbb{R}$  there is some  $q \in \mathbb{Q}$  for which  $r \in A_q$ .

Must there be some  $q \in \mathbb{Q}$  for which  $A_q$  is uncountable?

Why or why not?

5. Let  $C$  be a set, let  $A$  be a subset of  $C$ , and let  $B = C \setminus A$ . Suppose there is an injective function from  $B$  to  $A$  but not from  $C$  to  $A$ . Prove that  $C$  must be a finite set.

List of facts on cardinal numbers, shortened version.

1.  $\text{card}(A) = \text{card}(B)$  means  $\exists f : A \rightarrow B$  with  $f$  bijection.
2.  $\text{card}(A) \leq \text{card}(B)$  means  $\exists f : A \rightarrow B$  with  $f$  one-to-one.
3.  $\aleph_0$  is short notation for  $\text{card}(\mathbb{N}^*)$ .
4.  $c$  is short notation for  $\text{card}(\mathbb{R})$ .
5. The set  $A$  is *countably infinite* when:  $\text{card}(A) = \aleph_0$ .  
By item 1 this means:  $\exists f : \mathbb{N}^* \rightarrow A$  with  $f$  bijection. Note, in that case  $A = f(\mathbb{N}^*) = f(\{1, 2, \dots\}) = \{f(1), f(2), \dots\}$  and this means that all elements of  $A$  fit into one sequence  $f(1), f(2), \dots$ .
6. Notation:  $x < y$  is short for:  $x \leq y \wedge x \neq y$ .
7.  $\text{card}(A) < \text{card}(P(A))$ .
8. Item 7 implies that not all infinite sets have the same cardinality!  
The cardinal number  $\text{card}(\mathbb{N}^*) = \aleph_0$ , is NOT the largest possible cardinality despite the fact that it is infinite! After all,  $P(\mathbb{N}^*)$  has larger cardinality by item 7. And  $P(P(\mathbb{N}^*))$  has larger cardinality still!
9. If  $f : A \rightarrow B$  is onto then  $\text{card}(B) \leq \text{card}(A)$ .
10.  $A$  is *countable* when either:  $A$  is countably infinite (defined in item 5) or  $A$  is finite.
11.  $A$  is countable when  $\text{card}(A) \leq \aleph_0$ .
12. A subset of a countable set is again countable.
13. If  $A \subseteq B$  then  $\text{card}(A) \leq \text{card}(B)$ .
14. The ordering  $\leq$  on cardinal numbers is a *partial ordering*.  
In particular: whenever  $d \leq e$  and  $e \leq d$  we may conclude  $d = e$ .  
The proof is not easy! (Schröder-Bernstein theorem on p 88–89).
15. The ordering  $\leq$  on cardinal numbers is a *total ordering*. So given any two cardinals  $d, e$  we have  $d \leq e$  or  $d \geq e$ . This means that one of these things must be true:  $d < e$  or  $d = e$  or  $d > e$ .
16. Set  $A$  is uncountable when  $\text{card}(A) \not\leq \aleph_0$ . Using item 15 we can reformulate this by saying:  $A$  is uncountable when  $\text{card}(A) > \aleph_0$ .
17. Any infinite set contains a countably infinite subset. (note: That an uncountable set has a countably infinite subset follows from item 16).
18.  $\mathbb{Z}$  and  $\mathbb{Q}$  are countable.
19. If you have countably many sets, and if each of these sets is countable, then their union is also countable.

20.  $\mathbb{R}$  is uncountable.  $c = \text{card}(\mathbb{R}) = \text{card}(P(\mathbb{N}^*))$ .
21. If  $d = \text{card}(D)$  and  $e = \text{card}(E)$  then  $d + e$  is the cardinality of  $D \cup E$  if we assume that  $D \cap E = \emptyset$ . Likewise,  $d \cdot e$  is the cardinality of  $D \times E$ .  $d^e$  is the cardinality of  $D^E$  where  $D^E = \{\text{all functions from } E \text{ to } D\}$ .
22. If  $d, e$  are cardinal numbers, and if at least one of them is infinite, then  $d + e = \max(d, e)$ .
- If  $d \neq 0$  and  $e \neq 0$  and at least one of them is infinite, then  $d \cdot e$  equals  $\max(d, e)$  as well. So for non-zero cardinals with at least one infinite, the operations  $+$ ,  $\cdot$ ,  $\max$  are the same!
23. There is a bijection between  $P(A)$  and  $\{0, 1\}^A$ , and hence  $\text{card}(P(A)) = \text{card}(\{0, 1\}^A) = \text{card}(\{0, 1\})^{\text{card}(A)} = 2^{\text{card}(A)}$ .
24.  $c = \text{card}(\mathbb{R}) = \text{card}(P(\mathbb{N}^*)) = \text{card}(\{0, 1\}^{\mathbb{N}^*}) = 2^{\text{card}(\mathbb{N}^*)} = 2^{\aleph_0}$ .
25.  $(d_1 d_2)^e = d_1^e d_2^e$ ,  $d^{e_1 + e_2} = d^{e_1} d^{e_2}$ ,  $(d^e)^f = d^{ef}$
26. If you have  $d$  sets, and each of these sets has cardinality  $e$ , and if  $A$  is the union of all those sets, then  $\text{card}(A) \leq de$  (if the  $d$  sets are disjoint, then you may replace the  $\leq$  by  $=$ ). Now if  $d$  or  $e$  is infinite, and both are non-zero, then we can also replace  $de$  by  $\max(d, e)$ , see item 22.
27. So far we have encountered these increasing cardinals:

$$0, 1, 2, 3, \dots, \aleph_0, c = 2^{\aleph_0}, 2^c, 2^{2^c}, \dots$$

and we can wonder if there are any cardinals in between. Specifically, the *continuum hypothesis* asks if there is a cardinal  $d$  with  $\aleph_0 < d < c$ .

From the axioms of set theory (= the only statements mathematicians accept without a proof) it is impossible to prove or disprove this.

## Writing Proofs.

1. **Direct proof for  $p \implies q$ .**  
Assume:  $p$ . To prove:  $q$ .
2. **Proving  $p \implies q$  by contrapositive.**  
Assume:  $\neg q$ . To prove:  $\neg p$ .
3. **Proving  $S$  by contradiction.**  
Assume:  $\neg S$ . To prove: a contradiction.
4. **Proving  $p \implies q$  by contradiction.**  
Assume:  $p$  and  $\neg q$ . To prove: a contradiction.
5. **Direct proof for a  $\forall_{x \in A} P(x)$  statement.**  
To ensure you prove  $P(x)$  for *all* (rather than for *some*)  $x$  in  $A$ , do this:  
**Start your proof with:** Let  $x \in A$ . To prove:  $P(x)$ .
6. **Direct proof for  $\exists_{x \in A} P(x)$  statement.**  
Take  $x :=$  [write down an expression that is in  $A$ , and satisfies  $P(x)$ ].
7. **Proving  $\forall_{x \in A} P(x)$  by contradiction.**  
Assume:  $x \in A$  and  $\neg P(x)$ . To prove: a contradiction.
8. **Proving  $\exists_{x \in A} P(x)$  by contradiction.**  
Assume:  $\neg P(x)$  for every  $x \in A$ . To prove: a contradiction.
9. **Proving  $S$  by cases.**  
Suppose for example a statement  $p$  can help to prove  $S$ . Write two proofs:  
Case 1: Assume  $p$ . To prove:  $S$ .  
Case 2: Assume  $\neg p$ . To prove  $S$ .
10. **Proving  $p \wedge q$**   
Write two separate proofs: To prove:  $p$ . To prove:  $q$ .
11. **Proving  $p \iff q$**   
Write two proofs. To prove:  $p \implies q$  To prove:  $q \implies p$ .
12. **Proving  $p \vee q$**   
Method (1): Assume  $\neg p$ . To prove:  $q$ .  
Method (2): Assume  $\neg q$ . To prove:  $p$ .  
Method (3): Assume  $\neg p$  and  $\neg q$ . To prove: a contradiction.
13. **Using  $p \vee q$  to prove another statement  $r$ .**  
Write two proofs:  
Assume  $p$ . To prove  $r$ .  
Assume  $q$ . To prove  $r$ .
14. **How to use a for-all statement  $\forall_{x \in A} P(x)$ .**  
You need to produce an element of  $A$ , then use  $P$  for that element.
15. If you want to **use an exists statement** like  $\exists_{x \in A} P(x)$  to prove another statement, then you *may not choose*  $x$ . All you know is  $x \in A$  and  $P(x)$ .