

# Spectral action

①

$$\text{Tr} \left( f \left( \frac{D}{\Lambda} \right) \right) \sim \sum_{\beta \in \text{DimSp}} f_{\beta} \Lambda^{\beta} \int |D|^{-\beta} + f(\omega) \xi_D(\omega) + o(1)$$

$$f_{\beta} = \int_0^{\infty} f(\omega) \omega^{\beta-1} d\omega$$

## Gilkey's theorem

$M$  smooth Riemannian manifold  $\dim = m$   
metric  $g$

$P =$  second order differential operator of the form

$$P = - (g^{\mu\nu} \partial_{\mu} \partial_{\nu} + A^{\mu} \partial_{\mu} + B)$$

acting on sections of a bundle  $E$

so  $A, B \in \text{End}(E)$  and  $g^{\mu\nu} \partial_{\mu} \partial_{\nu} \cdot \text{id}_E$

then

$$\text{Tr} (e^{-tP}) \sim \sum_{n \geq 0} t^{\frac{n-m}{2}} \int_M a_n(x, P) dv(x)$$

$$dv(x) = \sqrt{\det g_{\mu\nu}(x)} d^m x$$

$$P = \nabla^* \nabla - E \quad E \in \text{End}(E)$$

$$\nabla_{\mu} = \partial_{\mu} + \omega'_{\mu} \quad \omega'_{\mu} = \frac{1}{2} g_{\mu\nu} (A^{\nu} + P^{\nu} \text{id})$$

$$\Gamma^{\nu}_{\mu\rho} = g^{\mu\rho} \Gamma^{\nu}_{\rho\mu} \quad \text{Christoffel symbols of } g_{\mu\nu}$$

$$\Omega_{\mu\nu} = \partial_{\mu} \omega'_{\nu} - \partial_{\nu} \omega'_{\mu} + [\omega'_{\mu}, \omega'_{\nu}] \quad \text{curvature of } \nabla$$

Notation:  $E_{j\mu}^{\mu} := \nabla_{\mu} \nabla^{\mu} E$

then  $rk E$

$$a_0(x, P) = (4\pi)^{-\frac{m}{2}} \text{Tr}(id)$$

$$a_2(x, P) = (4\pi)^{-\frac{m}{2}} \text{Tr}\left(-\frac{R}{6} id + E\right)$$

$$a_4(x, P) = (4\pi)^{-\frac{m}{2}} \frac{1}{360} \text{Tr}\left(-12 R_{\mu\nu}^{\mu\nu} + 5 R^2 - 2 R_{\mu\nu} R^{\mu\nu} + 2 R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - 60 RE + 180 E^2 + 60 E_{\mu\nu}^{\mu\nu} + 30 \Omega_{\mu\nu} \Omega^{\mu\nu}\right)$$

Then use this to compute spectral action expansion in the following case:

$$\left\{ \begin{array}{l} A = C^\infty(M) \otimes M_N(\mathbb{C}) = C^\infty(M, M_N(\mathbb{C})) \\ \mathcal{H} = L^2(M, S) \otimes M_N(\mathbb{C}) \quad \text{inner prod: } \int_M \text{Tr}(\xi(x)^* \eta(x)) \sqrt{g} d^4x = \langle \xi, \eta \rangle \\ D = \not{D}_M \otimes 1 \quad (D=0 \text{ on } M_N(\mathbb{C}) \text{ part}) \\ \gamma = \gamma_5 \otimes 1 \end{array} \right.$$

$$\not{D}_M = \sqrt{-1} \gamma^\mu \nabla_\mu^S \quad g_{\mu\nu} = e_\mu^a e_\nu^b \delta_{ab} \quad \gamma_a = e_a^\mu \gamma_\mu$$

$$\{\gamma_a, \gamma_b\} = 2\delta_{ab} \quad \gamma_{ab} := \frac{1}{4} [\gamma_a, \gamma_b]$$

$$\gamma_a \gamma_b + \gamma_b \gamma_a \quad [\gamma_{ab}, \gamma_{cd}] = \delta_{cb} \gamma_{ad} - \delta_{ca} \gamma_{bd} - \delta_{bb} \gamma_{ac} + \delta_{da} \gamma_{bc}$$

$$\nabla_\mu^S = \partial_\mu + \left( \frac{1}{2} \omega_\mu^{ab} \gamma_{ab} \right)$$

fluctuations of  $\not{D}_M \otimes 1 : \sum_j a_j [D, b_j]$

$$A = A_\mu \gamma^\mu \quad A_\mu \in C^\infty(M, M_N(\mathbb{C})) \quad A_\mu = A_\mu^* \\ SU(N) \text{ gauge field w/ "unimodularity" } \text{Tr}(A) = 0$$

Can assume  $\text{Tr}(A) = 0$  since when taking

$$A + JAJ^* = \gamma^M \text{ad}(A_\mu)$$

eliminate the  $U(1)$ -part of  $A \Rightarrow SU(N)$  instead of  $U(N)$ -field

$$(J_M \gamma^M J_M^{-1} = -\gamma^M; J_m = m^*)$$

$$D_A = D + A + JAJ^* = \sqrt{-1} e_a^M \gamma^a (i \omega_{\mu}^a \otimes id_{\mathbb{C}^N} + 1 \otimes A_\mu)$$

with  $A_\mu = \sqrt{-1} \text{ad}(A_\mu)$

Action for Dirac operator coupled to fermions

$$\langle \bar{\psi}, D_A \psi \rangle_{\mathcal{H}}$$

Yang-Mills  $SU(N)$ -action

$$S_{YM}(A) = \frac{1}{4} \int_M L_{YM}(A) \text{d}v$$

$$L_{YM}(A) = \text{Tr}(F_{\mu\nu} F^{\mu\nu})$$

$F_{\mu\nu}$  = curvature of  $A_\mu$

Lagrangian of YM coupled to gravity:

$$\text{Tr}(f(\frac{D}{\Lambda})) \sim \frac{1}{4\pi^2} \int_M L(g, A) \sqrt{g} \text{d}^4x \quad \text{where}$$

$$L(g, A) = 2N^2 \Lambda^4 f_4 + \frac{N^2 \Lambda^2}{6} f_2 R + \frac{f_{(0)}}{6} L_{YM}(A)$$

$$- \frac{N^2 f_{(0)}}{80} C_{\mu\nu\rho\sigma} C^{\mu\nu\rho\sigma}$$

↖ Weyl curvature tensor

In fact get (from Gilkey's thm.)  $P = D^2$

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$$a_0(P) = \frac{N^2}{4\pi^2} \int_M \sqrt{g} d^4x$$

$$S = -R$$

$$a_2(P) = -\frac{N^2}{48\pi^2} \int_M s \sqrt{g} d^4x = \frac{N^2}{48\pi^2} \int_M R \sqrt{g} d^4x$$

$$a_4(P) = \frac{1}{16\pi^2} \frac{N^2}{360} \int_M (5R^2 - 8R_{\mu\nu}R^{\mu\nu} - 7R_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma}) \sqrt{g} d^4x$$

$$+ \frac{1}{24\pi^2} \int_M \text{Tr}(F_{\mu\nu} \overline{F}^{\mu\nu}) \sqrt{g} d^4x$$

$$N^2 = \dim M_4(\mathbb{C})$$

$$C_{\mu\nu\rho\sigma} = R_{\mu\nu\rho\sigma} - g_{\mu[\rho}R_{\nu]\sigma} - g_{\nu[\rho}R_{\mu]\sigma} + \frac{1}{6}(g_{\mu\rho}g_{\nu\sigma} - g_{\mu\sigma}g_{\nu\rho})R$$

$$\frac{N^2}{16\pi^2} \int \left( -\frac{1}{20} C_{\mu\nu\rho\sigma} C^{\mu\nu\rho\sigma} + \frac{11}{360} R^* R^* \right) \sqrt{g} d^4x$$

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Done

Note: Gravity coupled to matter

Einstein equations for the gravitational field

$$R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} - \Lambda_c g_{\mu\nu} = -8\pi G T_{\mu\nu}$$

- metric tensor  $g_{\mu\nu}$
- Ricci curvature  $R_{\mu\nu}$
- Scalar curvature  $S = -R$
- $\Lambda_c =$  cosmological constant

energy momentum tensor

Einstein-Hilbert action

$$S_{EH}(g) = \frac{1}{16\pi G} \int_M R d\omega + 2\Lambda_c \int_M d\omega$$

gives as variational equations

$$R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} - \Lambda_c g_{\mu\nu} = 0$$

Einstein equations in vacuum

In the presence of matter:

$$L = L_{EH} + L_{SM}$$

gravity minimally coupled to matter

$$S = S_{EM} + S_{SM}$$

in curved field  $g_{\mu\nu}$

Variation:  $\delta S_{SM} = \frac{1}{2} \int T^{\mu\nu} \delta g_{\mu\nu} \sqrt{g} d^4x$

$$\delta S = \frac{1}{16\pi G} \int (R^{\mu\nu} - \frac{1}{2} g^{\mu\nu} R - \Lambda_c g^{\mu\nu} + 8\pi G T^{\mu\nu}) \delta g_{\mu\nu} \sqrt{g} d^4x + \delta S_{SM}$$

variational equation becomes Einstein-Hilbert with energy-momentum tensor equal to that of  $\delta S_{SM}$

$$\delta S_{SM} = 0 = \text{energy momentum conservation}$$

## Higher derivative terms in gravity

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$S_{EH}(g) \rightarrow$  gravity non-renormalizable

At low energy  $\rightarrow$  effective field theory

Adding higher derivative terms to  $S_{EH}$  does not change low energy but corrects for non-renormalizability at high energies (still other problems w/ unitarity)

invariant expressions that are quadratic in the curvature

$$\int_M \left( \frac{1}{2\eta} C_{\mu\nu\rho\sigma} C^{\mu\nu\rho\sigma} - \frac{\omega}{3\eta} R^2 + \frac{\theta}{\eta} E \right) \sqrt{g} d^4x$$

$\uparrow$   
Weyl curvature

$E$  function of the curvature tensor such that

$$\chi(M) = \frac{1}{32\pi^2} \int_M E \sqrt{g} d^4x \quad \text{Euler characteristic}$$

Sometimes written equivalently as

$$\begin{aligned} E = R^* R^* &:= \frac{1}{4} R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - 4 R_{\mu\nu} R^{\mu\nu} + R^2 \\ &= \frac{1}{4} \epsilon^{\mu\nu\rho\sigma} \epsilon_{\alpha\beta\gamma\delta} R^{\alpha\beta}_{\mu\nu} R^{\gamma\delta}_{\rho\sigma} \end{aligned}$$

Gives the Pontryagin class

Fermionic part of the action

(7)

$$\text{KO-dim}(M \times F) = 2 \pmod{8}$$

$$J^2 = -1 \quad JD = DJ \quad J\gamma = -\gamma J$$

$$\mathcal{H}^+ = \text{even part of } \mathcal{H} = \{ \xi \in \mathcal{H} \mid \gamma \xi = \xi \}$$

Antisymmetric bilinear form on  $\mathcal{H}^+$ :

$$Q_D(\xi, \xi') := \langle J\xi', D\xi \rangle \quad \forall \xi, \xi' \in \mathcal{H}^+$$

$$\langle J\xi, D\xi' \rangle = -\langle J\xi, J^2 D\xi' \rangle = -\langle JD\xi', \xi \rangle$$

$$= -\langle DJ\xi', \xi \rangle = -\langle J\xi', D\xi \rangle$$

using  $J^2 = -1 \quad JD = DJ \quad D^* = D$

$$\gamma JD = JD\gamma$$

Also for  $D_u = \text{Ad}(u) D \text{Ad}(u^*)$

with  $\text{Ad}(u) = u(u^*)^0 = u J u J^{-1}$  have

$$Q_D(\xi', \xi) = Q_{D_u}(\text{Ad}(u)\xi', \text{Ad}(u)\xi)$$

Pfaffian: Antisymmetric bilinear form  $Q$

$$\text{Pf}(Q) = \int e^{-\frac{1}{2} Q(\tilde{\xi})} D[\tilde{\xi}]$$

where  $\mathcal{H}_{\text{cl}}^+ = \{ \tilde{\xi} \mid \xi \in \mathcal{H}^+ \}$   $\tilde{\xi}$  = Grassmann variable

satisfying  $\tilde{\xi}_1 \tilde{\xi}_2 + \tilde{\xi}_2 \tilde{\xi}_1 = 0$  and  $\int \tilde{\xi} d\tilde{\xi} = 1$

Simplest example:

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$$Q(\xi', \xi) = a (\xi_1' \xi_2 - \xi_1^2 \xi_1) \quad \begin{array}{l} \xi = (\xi_1, \xi_2) \\ \xi' = (\xi_1', \xi_2') \end{array}$$

$$\int e^{-\frac{1}{2} Q(\tilde{\xi})} D[\tilde{\xi}] = \int e^{-a \tilde{\xi}_1 \tilde{\xi}_2} d\tilde{\xi}_1 d\tilde{\xi}_2 = a$$

(expanding exp:  
all other integrals  
vanish)

Notice:  $Q = \text{antisymmetric}$  then

$Q(\xi, \xi) = 0$  for ordinary (commuting) variables but

$Q(\tilde{\xi}, \tilde{\xi}) \neq 0$  for anticommuting case

Spectral action with fermionic terms for  $(A, \mathcal{H}, D, J, \gamma)$ :

$$\text{Tr}(f(\frac{D}{\Lambda})) + \frac{1}{2} \langle J \tilde{\xi}, D_A \tilde{\xi} \rangle = S(A, \xi)$$

$(D, J, \gamma)$  given and  
used all in def. of action  
contain parameters

fields: bosons & fermions  
 $\tilde{\xi} \in \mathcal{H}^+$

Pfaffian is a "square root of a determinant"

$A \rightsquigarrow A = \text{antisymm. matrix}$

$\text{Pf}(A) \rightsquigarrow \det(A)^{1/2}$

So resolves the "fermion doubling problem"



# Relations between the parameters

(9)

• Normalization of kinetic term for Higgs:

$$H = \frac{\sqrt{a} f_0}{\pi} \varphi \quad \text{change of variable}$$

$$\text{gives } \int_M \frac{1}{2} |D_\mu H|^2 \sqrt{g} d^4x$$

⇒ yields also normalization of all the Yang-Mills terms

$$-\frac{1}{4} F^a_{\mu\nu} F^{a\mu\nu}$$

for all the gauge fields  $B_\mu, W_\mu^a, V_\mu^a$

⇒ unification of the coupling constants (as in GUT's)

$$\frac{g_3^2 f_0}{2\pi^2} = \frac{1}{4} \quad g_3^2 = g_2^2 = \frac{5}{3} g_1^2$$

Mass relation at unification: (specific prediction in this model)

$Y_u, Y_d, Y_\nu, Y_e$  enter in terms w/ coupling of Higgs field w/ fermions

in expressions

$x = d, u, \nu, e$

dimensionless  $\rightarrow k_x = \frac{\pi}{\sqrt{a} f_0} Y_x$

where  $a = \text{Tr} (Y_\nu^* Y_\nu + Y_e^* Y_e + 3(Y_u^* Y_u + Y_d^* Y_d))$

← dim of (mass)<sup>2</sup>

$$(k_u)_{\sigma k} = \frac{g}{2M} m_{(u)}^\sigma \delta_\sigma^k$$

$$(k_d)_{\sigma k} = \frac{g}{2M} m_{(d)}^\mu C_{\sigma\mu} \delta_\mu^p C_{pk}^*$$

$$(k_\nu)_{\sigma k} = \frac{g}{2M} m_{(\nu)}^\sigma \delta_\sigma^k$$

$$(k_e)_{\sigma k} = \frac{g}{2M} m_{(e)}^\mu C_{\sigma\mu}^{lep} \delta_\mu^p (C_{pk}^{lep})^*$$

from the identif. of terms in spectral action w/ terms in SM Lagrangian

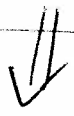
Obtain then  $M = M_W$

$$\text{Tr} (k_\nu^* k_\nu + k_e^* k_e + 3(k_u^* k_u + k_d^* k_d)) = 2g^2$$

using  $k_x = \frac{\pi}{N_a f_0} Y_x$  expression for a as function of the  $Y_x$

and previous relation  $\frac{g^2}{2\pi^2} f_0 = \frac{1}{4}$

to relate: ~~expression~~  $\frac{\pi^2}{f_0} = 2g^2$



$$\sum (m_\nu^\sigma)^2 + (m_e^\sigma)^2 + 3(m_u^\sigma)^2 + 3(m_d^\sigma)^2 = 8M_W^2$$

over generations

This relation holds at unification scale: too high energy for a direct check

Running down to ordinary scales by RGE get compatible prediction for mass of top quark

(realistic when correction from seesaw mechanism for neutrino masses  $m_{\nu\tau}$ -term taken into account) ~~sets~~ 173.68 GeV.

factor correction down to 170

Higgs mass: get from spectral action a term

(11)

$$\frac{\int_0^b}{2\pi^2} \int |y|^4 \sqrt{g} d^4x = \frac{\pi^2}{2f_0} \frac{b}{a^2} \int |H|^4 \sqrt{g} d^4x$$

after same change of variables  $H = \frac{\sqrt{a} f_0}{\pi} \varphi$

⇒ quartic self coupling of the Higgs

$$\tilde{\lambda} = \left( g_3^2 \frac{b}{a^2} \right) \quad (\text{again using } \frac{g_3^2 f_0}{2\pi^2} = \frac{1}{4})$$

runs with energy scale according to RGE

$$m_H^2 = 8\lambda \frac{M^2}{g^2}$$

$$m_H = \sqrt{2} \lambda \frac{2M}{g}$$

$$\lambda = 4\tilde{\lambda} = 4g_3^2 \frac{b}{a^2}$$

$\frac{2M}{g} = v = \text{Higgs vacuum expectation}$

RGE at one-loop

$$\frac{d\lambda}{dt} = \lambda\gamma + \frac{1}{8\pi^2} (12\lambda^2 + B)$$

$$H = \frac{\sqrt{a}}{\sqrt{2}g} (1+\varphi)$$

$$= \left( \frac{2M}{g} + H - i\phi^0, -i\sqrt{2}\phi \right)$$

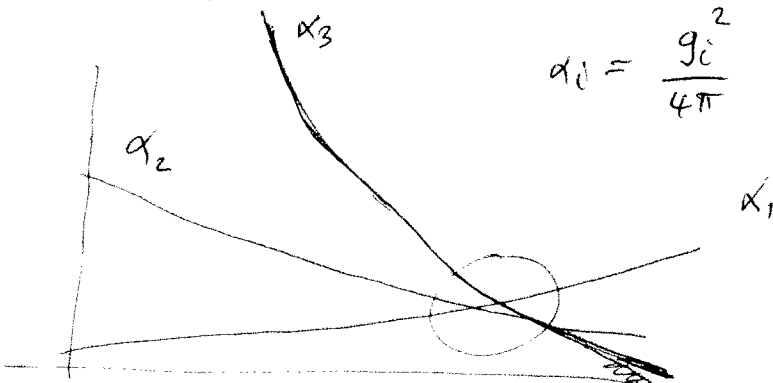
with

$$\gamma = \frac{1}{16\pi^2} (12y_t^2 - 9g_2^2 - 3g_1^2)$$

$$B = \frac{3}{16} (3g_2^4 + 2g_1^2 g_2^2 + g_1^4) - 3y_t^4$$

Running of coupling constants:

(Note: at one-loop RGE for coupling constants solved indep. from other Yukawa param.)



$$\alpha_i = \frac{g_i^2}{4\pi}$$

Again correct  $y_t$  term by adding correction from  $y_{\nu_e}$  from seesaw mechanism; Before correction  $m_H = 170$  after:  $m_H = 168$  GeV

final list of parameters

$f_0 \leftrightarrow$  gauge coupling constants at unification

$f_2, f_4 \leftrightarrow$  gravitational parameters:  
Newton constant, gravitational constant,  
parameters of higher derivative terms

Action

$$S(A, H, D, J, \gamma) = \text{Tr}(f(\frac{D}{\lambda})) + \langle \tilde{\xi}, D\tilde{\xi} \rangle$$

function of fields  $(A, \xi) \quad \tilde{\xi} \in \mathcal{H}^+$

Functional integral

$$\int e^{\frac{i}{\hbar} S(A, H, D, J, \gamma)(A, \xi)} \mathcal{D}[A, \xi] \mathcal{D}[(A, H, D, J, \gamma)]$$

Integration with constraints

Which data  $(A, H, D, J, \gamma)$  are  
spectral triples of  $M \times F$ -like spaces

Characterization of manifolds  
and constraints on spectral geometry