

# Zeta functions hear the shape of Riemann surfaces

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Compact Riemann surface  $X$

$$X = \Gamma \backslash (\mathbb{P}^1(\mathbb{C}) - \Lambda_\Gamma)$$

Schottky uniformization

$\Gamma \subset \mathrm{PSL}_2(\mathbb{C})$  discrete purely loxodromic  $\Gamma \simeq \mathbb{Z}^{*g}$

$\Lambda_\Gamma \subset \mathbb{P}^1(\mathbb{C})$  limit set

$\Gamma$ -action on limit set  $\Lambda_\Gamma$

## Group completion and limit set

$Y_g =$  Cayley graph of  $F_g$  Group completion

$$\bar{F}_g := \bar{Y}_g \setminus Y_g$$

$$\iota_\rho : \bar{F}_g \rightarrow \Lambda \quad \lim_i w_i \mapsto \lim_i \rho(w_i)(x_0)$$

given point  $x_0 \in \mathbb{P}^1(\mathbb{C})$  and embedding

$$\rho : F_g \hookrightarrow \mathrm{PGL}(2, \mathbb{C})$$

reduced word  $w$  in the generators of  $F_g$ ,  $i(w)$  and  $t(w)$   
initial and terminal letters

$$w \subseteq v \text{ if } (\exists w_0)(v = w \cdot w_0) \text{ with } t(w) \neq i(w_0)^{-1}$$

$$\vec{w}_\rho := \{\iota_\rho(v) : v \in \bar{F}_g \text{ and } w \subseteq v\}$$

## Commutative algebra $A = C(\Lambda)$

$A_\infty \subset A$  dense involutive subalgebra spanned  
by characteristic functions  $\chi_{\vec{w}_\rho}$

$$A_\infty = C(\Lambda, \mathbb{Z}) \otimes \mathbb{C}$$

## Patterson–Sullivan measure

Scaling by the Hausdorff dimension  $\delta_H$  of  $\Lambda_\Gamma$

$$(\gamma^* d\mu)(x) = |\gamma'(x)|^{\delta_H} d\mu(x), \quad \forall \gamma \in \Gamma$$

State  $\tau : A_\infty \rightarrow \mathbb{C}$

$$\tau(\chi_{\vec{w}_\rho}) := \int_{\Lambda} \chi_{\vec{w}_\rho} d\mu_\Lambda = \mu_\Lambda(\vec{w}_\rho).$$

$$\tau(1) = 1 = \mu_\Lambda(\Lambda) \text{ and } \tau(a^*a) \geq 0$$

GNS representation: inner product

$$\langle a|b \rangle := \tau(b^*a)$$

## Spectral triples (Connes)

$\mathcal{S} = (A, H, D)$ :  $C^*$ -algebra  $A$  represented in  $\mathcal{B}(H)$

Hilbert space  $H$

$A_\infty \subset A$  dense involutive subalgebra

self-adjoint operator  $D$  on  $H$  with compact resolvent

$$[D, a] \in \mathcal{B}(H) \quad \forall a \in A_\infty$$

Finite summability (p-summable)

$$\text{Tr}(|D|^{-s}) < \infty \quad \forall s \geq p$$

Example: Riemannian spin manifolds

$$\mathcal{S} = (C^\infty(X) \subset C(X), L^2(X, S), \not{D}_X)$$

**Zeta functions of spectral triples:**  $a \in A_\infty$

$$\zeta_{a,\mathcal{S}}(s) = \text{Tr}(a|D|^s)$$

$$\Re(s) \ll 0$$

Can you hear the shape of a drum?

$\text{Tr}(|\not{D}_X|^s)$  not enough: isospectral manifolds

What about  $\text{Tr}(f|\not{D}_X|^s)$ ?

**Goal:** Construct a (commutative) spectral triple encoding the action of  $\Gamma$  on  $\Lambda$  such that the family  $\zeta_{a,\mathcal{S}}(s)$  determines the (anti)conformal class of the Riemann surface

## Commutative spectral triple on $\Lambda = \Lambda_\Gamma$

$$\mathcal{S}_X = (A, H, D)$$

$$A = C(\Lambda) \text{ with } A_\infty = C(\Lambda, \mathbb{Z}) \otimes \mathbb{C}$$

$H =$  GNS representation for  $\tau$

Filtration:  $A_\infty = \varinjlim A_n$  (reduced words length  $\leq n$ )

Dirac operator

$$D := \lambda_0 P_0 + \sum_{n \geq 1} \lambda_n (P_n - P_{n-1}),$$

$$\lambda_n = (\dim A_n)^3$$

$Q_n := P_n - P_{n-1}$  projection onto graded pieces:  $H_n \ominus H_{n-1}$   
words of exact length  $n$

For  $a \in A_n$  and  $m \geq n$ ,  $a$  preserves  $A_m$

$$[D, a] = \sum_{i=0}^n \lambda_i [Q_i, a]$$

finite sum: bounded

$$\operatorname{tr}((1+D^2)^{-1/2}) = 1 + \sum_{n=1}^{\infty} (1+\lambda_n^2)^{-1/2} (\dim H_n - \dim H_{n-1})$$

$$\leq 1 + \sum_{n=1}^{\infty} (1+\lambda_n^2)^{-1/2} \dim A_n$$

$$\leq 1 + \sum_{n=1}^{\infty} (\dim A_n)^{-2} \leq 1 + \sum_{n=1}^{\infty} (n+1)^{-2} \leq 2$$

with  $\dim A_n \geq n+1 \Rightarrow 1$ -summable

Note: existence of a 1-summable triple and  
existence of a quasi-circle



## Ends of words:

$$\vec{w}_1 \cap \vec{w}_2 = \overline{\max\{w_1, w_2\}}$$

$\max\{w, v\}$  largest if comparable in  $\subseteq$  or  $\emptyset$

**Basis** for  $H_n$ :  $\chi_w$  for  $|w| = n$

$$\langle \chi_w | \chi_v \rangle = \mu(\overline{\max\{v, w\}})$$

relation  $\chi_{\vec{u}} = \sum_{\substack{|w|=n \\ u \subset w}} \chi_{\vec{w}}$

$$\dim A_n = \dim H_n = 2g(2g - 1)^{n-1}$$

Orthonormalization: start with  $|\Psi_e\rangle = \chi_\Lambda$  and

$$|\Psi_w\rangle := \frac{1}{\sqrt{\mu_X(\vec{w})}} \chi_{\vec{w}} \quad (|w| = 1)$$

$w$  length one  $w \neq w_0$  chosen, then  $\{|\Psi_w\rangle\}_{w \in I_1}$  with  $I_1 := S \cup \{e\}$  on basis for  $H_1$

Inductively  $I_{n+1} = I_n \cup \bigcup_{|w|=n} V_w$  with  $|w| = n$  and  $V_w$  set of  $2g - 2$  letters  $\neq t(w)^{-1}$

$\Rightarrow \{\chi_{\vec{w}}\}_{w \in I_{n+1} - I_n}$  basis of  $H_{n+1} \ominus H_n$

## Zeta functions $\zeta_{a, \mathcal{S}_X}(s)$

$$\begin{aligned} \text{tr}(aD^s) &= 1 + \sum_w \langle \Psi_w | a \sum_{n \geq 1} \lambda_n^s (P_n - P_{n-1}) \Psi_w \rangle \\ &= 1 + \sum_{n \geq 1} \lambda_n^s c_n(a) \end{aligned}$$

$$c_n(a) = \sum_{w \in I_n - I_{n-1}} \langle \Psi_w | a \Psi_w \rangle$$

**Lemma:** Given  $X_1, X_2$  compact Riemann surfaces  $g \geq 2$

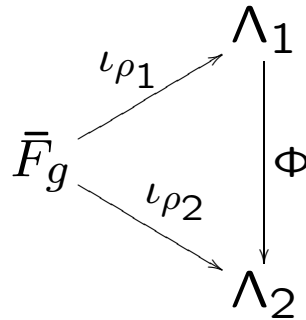
$$\zeta_{1, \mathcal{S}_{X_1}}(s) = \zeta_{1, \mathcal{S}_{X_2}}(s)$$

$\Rightarrow g_1 = g_2$  and

$$A_1 \cong A_2$$

$C^*$ -algebra isomorphism from homeomorphism

$$\Phi : \Lambda_1 \rightarrow \Lambda_2$$



Explicitly:

$$\zeta_{1, \mathcal{S}_X}(s) = 1 + \frac{2g - 2}{2g - 1} \cdot \frac{(2g)^{3s+1}}{1 - (2g - 1)^{3s+1}}$$

**Computing  $\zeta_{1,\mathcal{S}}(s)$ :**

$$\lambda_n = (\dim A_n)^3 = (2g)^3(2g-1)^{3n-3}$$

$$c_n(1) = \sum_{|w| \in I_n - I_{n-1}} \langle \Psi_w | \Psi_w \rangle$$

$$= \sum_{|w| \in I_n - I_{n-1}} 1 = 2g(2g-1)^{n-2}(2g-2)$$

$$\zeta_{1,\mathcal{S}}(s) = 1 + \sum_{n \geq 1} \lambda_n^s c_n(1) =$$

$$1 + (2g)^{3s+1} \frac{2g-2}{2g-1} \sum_{n \geq 1} (2g-1)^{(3s+1)(n-1)}$$

The condition  $\zeta_{1,\mathcal{S}_{X_1}}(s) = \zeta_{1,\mathcal{S}_{X_2}}(s)$  gives

$$\frac{2g_1-2}{2g_1-1} \cdot \frac{2g_2-1}{2g_2-2} \cdot \left(\frac{g_1}{g_2}\right)^{3s+1} = \frac{1 - (2g_1-1)^{3s+1}}{1 - (2g_2-1)^{3s+1}}$$

for  $\Re(s) \ll 0$ . For  $s \rightarrow -\infty$ , rhs  $\rightarrow 1$  and lhs  $\rightarrow 0$  unless  $g_1 = g_2$

Can then compare  $\zeta_{a, \mathcal{S}_{X_1}}(s)$  and  $\zeta_{a, \mathcal{S}_{X_2}}(s)$  for same  $a \in A_1 \cong A_2$  (under above identification)

**Lemma:**  $\zeta_{a, \mathcal{S}_{X_1}}(s) = \zeta_{a, \mathcal{S}_{X_2}}(s)$  gives

$$\sum_{n \geq 0} (c_{n,1}(a) - c_{n,2}(a)) \lambda_n^s \equiv 0$$

for  $\Re(s) \ll 0$  gives Dirichlet series

$$\sum_{N \geq 0} \tilde{c}_N N^s \equiv 0$$

for  $\Re(s) \ll 0$  with  $\tilde{c}_N = c_{n,1}(a) - c_{n,2}(a)$  if  $N = \lambda_n$  for some  $n$ , and  $\tilde{c}_N = 0$  otherwise

$\Rightarrow \tilde{c}_N = 0$  for all  $N$

$$c_{n,1}(a) = c_{n,2}(a)$$

**Lemma:** (inductively)

For  $a = \chi_{\vec{\eta}}$  and  $w$  length  $|w| = n < |\eta|$

$$\langle \Psi_w | a \Psi_w \rangle = \mu(\vec{\eta}) \cdot \kappa$$

$\kappa$  depends on measures  $\mu(\vec{v})$  words length  $|v| < |\eta|$

Note:  $c_{m-1}(a) \neq 0$  for  $a = \chi_{\vec{\eta}}$  with  $|\eta| = m$  since  $\exists w$   $\text{supp } \Psi_w$  intersects  $\vec{\eta}$  and

$$c_{m-1}(a) = \sum_{w \in I_{m-1} - I_{m-2}} \langle \Psi_w | a \Psi_w \rangle \geq 0$$

hence  $\kappa \neq 0$

## Reconstruction of PS measure

**Prop:**  $\zeta_{a, \mathcal{S}_{X_1}}(s) = \zeta_{a, \mathcal{S}_{X_2}}(s)$  gives

$$\mu_1(\overrightarrow{\eta}_{\rho_1}) = \mu_2(\overrightarrow{\eta}_{\rho_2})$$

for all  $\eta \in F_g$ ,  $\rho_i : F_g \rightarrow \Gamma_i \subset \text{PGL}(2, \mathbb{C})$

$|\eta| = 0 \Rightarrow \overrightarrow{\eta}_{\rho_i} = \Lambda_i$  for  $i = 1, 2$

$$c_{m-1,i}(\chi_{\overrightarrow{\eta}_{\rho_i}}) = \mu(\overrightarrow{\eta}_{\rho_i}) \cdot \kappa_i$$

$$c_{m-1,1}(\chi_{\overrightarrow{\eta}_{\rho_1}}) = c_{m-1,2}(\chi_{\overrightarrow{\eta}_{\rho_2}})$$

inductively:  $\kappa_i = \kappa$  (shorter lengths)  $\Rightarrow$

$$\mu(\overrightarrow{\eta}_{\rho_1}) = \mu(\overrightarrow{\eta}_{\rho_2})$$

**Theorem**  $\zeta_{a, \mathcal{S}_{X_1}}(s) = \zeta_{a, \mathcal{S}_{X_2}}(s)$  for all  $a \in A_\infty$   
 $\Rightarrow X_1$  and  $X_2$  conformally or anti-conformally  
equivalent Riemann surfaces

Same genus from  $a = 1$  hence  $\rho_i : F_g \rightarrow \Gamma_i \subset$   
 $\text{PGL}(2, \mathbb{C})$  and isomorphism

$$\alpha = \rho_2 \circ \rho_1^{-1} : \Gamma_1 \xrightarrow{\cong} \Gamma_2$$

$\Rightarrow \Phi : \Lambda_1 \rightarrow \Lambda_2$  homeomorphism

$\alpha$ -equivariant:  $\Phi(\gamma \cdot x) = \alpha(\gamma)\Phi(x)$

Measure preserving:  $\mu_2 \circ \Phi^* = \mu_1$  (from Prop)

$$\mu_2(\chi_{\Phi(\vec{w}_{\rho_1})}) = \mu_2(\chi_{\vec{w}_{\rho_2}}) = \mu_1(\chi_{\vec{w}_{\rho_1}})$$



## Ergodic rigidity (Chengbo Yue)

$\Gamma_1, \Gamma_2$  geometrically finite subgroups of simple connected adjoint Lie groups  $G_1$  and  $G_2$  real rank one

$\Gamma_1$  Zariski dense in  $G_1$

$\alpha : \Gamma_1 \rightarrow \Gamma_2$  be a type-preserving isomorphism

$\Rightarrow \exists$   $\alpha$ -equivariant homeomorphism

$$\phi : \Lambda_{\Gamma_1} \rightarrow \Lambda_{\Gamma_2}$$

If  $\phi$  preserves Patterson–Sullivan measure then  $\alpha$  extends to continuous homomorphism

$$\alpha : G_1 \rightarrow G_2$$

$G_1 = G_2 = \mathrm{PGL}(2, \mathbb{C})$  simple and connected  
adjoint real-rank-one Lie group

$\Gamma_i$  Schottky groups, geometrically finite

**Lemma** Schottky group  $g \geq 2$  Zariski dense in  
 $\mathrm{PGL}(2, \mathbb{C})$

$\hat{\Gamma}$  Zariski closure

(assume connected, else pass to fin index subgroup  $\Gamma \cap \hat{\Gamma}_0$   
id component with connected closure)

If  $\hat{\Gamma}$  connected of dimension  $\leq 2 \Rightarrow$  solvable

solvable group cannot contain free group rank  
 $g \geq 2$

then  $\dim \hat{\Gamma} = 3 \Rightarrow$  since  $\mathrm{PGL}(2)$  connected

$$\hat{\Gamma} = \mathrm{PGL}(2)$$

Since  $F_g$  no parabolic points  $\Rightarrow$  equivariant boundary homeomorphism  $\Phi$  unique and type-preserving (Tukia)

$\Rightarrow \alpha : \Lambda_1 \rightarrow \Lambda_2$  extends to continuous group automorphism  $\alpha \in \text{Aut}(\text{PGL}(2, \mathbb{C}))$

$\text{Aut}(\text{PGL}(2, k))$ , field  $k$  (Schreier and van der Waerden)  
outer automorphisms from field automorphisms of  $k$

$\Rightarrow \exists$  isomorphism  $\Gamma_1 \rightarrow \Gamma_2$

$$\gamma_1 \mapsto g\gamma_1^\sigma g^{-1}$$

for  $g \in \text{PGL}(2, \mathbb{C})$  and  $\sigma \in \text{Aut}(\mathbb{C}/\mathbb{R})$

$\Gamma_1$  and  $\Gamma_2^\sigma$  conjugate in  $\text{PGL}(2, \mathbb{C})$

$X_1$  and  $X_2^\sigma$  isomorphic Riemann surfaces  
( $X_1$  and  $X_2$  conformally or anti-conformally equivalent)